



# EXECUTIVE STAFF REPORTS

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## *April 25, 2024, Commission Hearing*

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# I. ENFORCEMENT DIVISION

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STAFF: JAMES M. LINDSAY, CHIEF OF ENFORCEMENT

## A. Overview

During the period of March 1, 2024, through March 31, 2024, the Enforcement Division received the following complaints and referrals:

	Sworn	Non-Sworn	Anonymous	Referrals
Number Received	32	9	19	176

The number of complaints received by the Enforcement Division in March decreased in comparison to January and February, while referrals increased significantly.

For the month of March, more time to respond beyond the 14-day statutory period was needed for approximately 8<sup>1</sup> sworn complaints. Generally, additional time to respond was utilized in order to give respondents more time to respond to inquiries when it was requested.

Additionally, the Enforcement Division opened 117 cases, rejected 153 complaints and referrals, and closed a total of 124 cases including:

- 65 warning letters – advertisement violations (2), campaign violations (11), and statement of economic interests violations (52);
- 20 no action closure letters: PREP completed – statement of economic interests violations;
- 13 no action closure letters alleging – advertisement violations (4), campaign violations (1), conflict of interests (2), and statement of economic interests violations (6);
- 15 approved stipulations from the March Commission meeting;
- 1 approved default from the January Commission meeting,
- 7 committees were administratively terminated;
- 1 opened in error; and
- 2 closed as duplicates.

The Division had a total of 995 cases in various stages of resolution at the time of the March Monthly Report and currently has approximately 971 cases in various stages of resolution, including the 10 streamline cases and 2 mainline cases before the Commission as listed in the April Agenda. Net case closures since the last monthly report totaled 24.

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<sup>1</sup> This figure includes 6 sworn complaints received in February 2024.

## B. Annual Statistics

The following is a chart that details the annual statistics for enforcement complaints, referrals and cases over the past five years. The statistics show a marked increase in complaints during election years while referrals have generally increased gradually over time.

	Year	2019	2020	2021	2022	2023
1	Complaints Received	744	1,390	606	1,379	653
2	Referrals Received	1,950	1,518	1,751	1,724	1,971
3	Total Complaint and Referrals Received	2,694	2,908	2,357	3,103	2,624
4	Cases opened	1,820	1,155	1,185	990	831
5	Cases closed <sup>3</sup>	1,465	1,526	1,058	1,075	1,295
6	Cases with penalties <sup>2</sup>	343	278	269	161	98
	a Streamline cases	263	194	234	142	78
	b Mainline cases	73	77	32	14	18
	c Default cases	7	7	3	5	2
7	Total fines imposed	\$797,384	\$1,940,107	\$472,248	\$617,548	\$376,531
8	Warning letters issued	584	777	475	681	813
9	Administrative terminations	104	62	59	53	87
10	Cases closed with violations found <sup>3</sup>	1,031	1,117	803	895	998
11	Advisory letters issued	9	7	16	8	15
12	No action closure letters	423	402	237	217	296

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<sup>2</sup> Total for lines 6a, 6b, and 6c.

<sup>3</sup> Total for lines 6, 8, and 9.

<sup>3</sup> Total cases closed includes Commission approved cases from the previous year and Commission approved administrative judgements. It does not include Commission approved cases not yet closed.

### C. 2022-2023 Quarterly Caseload Summary

The following is a chart that compares the number of cases from given years at the end of each quarter during 2023. Enforcement has successfully focused on the resolution of older cases.

	June 2023	September 2023	December 2023	March 2024	Difference in Existing Caseload Overall
<b>2017</b>	2	2	1	0	<b>-2</b>
<b>2018</b>	56	34	21	12	<b>-44</b>
<b>2019</b>	125	69	39	23	<b>-102</b>
<b>2020</b>	234	201	153	118	<b>-116</b>
<b>2021</b>	298	232	167	148	<b>-150</b>
<b>2022</b>	329	307	248	213	<b>-116</b>
<b>2023</b>	220	295	323	276	<b>+56</b>

### D. Presentations

None to report.

### E. Unexecuted Streamline Stipulations

Streamline penalty stipulations are approved by the Chief of Enforcement and reported to the Commission for discussion only before they are executed. The following streamline stipulations are presented for that purpose. After the close of the hearing, the Chief of Enforcement may execute all or any of the streamline penalty stipulations, at the Chief's discretion per Regulation 18360.2.

Since the last Monthly Report, the Enforcement Chief has not used discretion to increase the penalties for Tier Two advertising violations resulting in public confusion, under Regulation 18360.3(d)(7)(B)(i)(b), or to increase the penalties for Tier Two violations involving the same candidate, committee, or principal officer who has paid a Tier One penalty to the Commission or received a Warning Letter from the Commission for the same type of violation occurring within the last five years, based on the resulting public harm.

The Enforcement Chief used discretion under Regulations 18360.1 and 18360.3 to exclude violations in the following streamline cases presented with this report:

- In the Matter of Committee to Elect Kathy Strong for Indian Wells City Council 2020, Kathy Strong, and John Eger; FPPC No. 20/802 [The Chief of Enforcement may include or exclude any filer from receiving a streamline penalty based upon mitigating or aggravating circumstances and the totality of the circumstances, including situations where an exclusion may apply.]

- In the Matter of Save Lafayette and Michael Griffiths; FPPC No. 18/323 [The Chief of Enforcement may include or exclude any filer from receiving a streamline penalty based upon mitigating or aggravating circumstances and the totality of the circumstances, including situations where an exclusion may apply.]
- In the Matter of Yuba County Republican Party, Joanna Lassaga, Rosemary Daoust; FPPC No. 24/239 [The Chief of Enforcement may include or exclude any filer from receiving a streamline penalty based upon mitigating or aggravating circumstances and the totality of the circumstances, including situations where an exclusion may apply.]
- In the Matter of Andrew Thorburn; FPPC No. 24/282 [The Chief of Enforcement may include or exclude any filer from receiving a streamline penalty based upon mitigating or aggravating circumstances and the totality of the circumstances, including situations where an exclusion may apply.]
- In the Matter of Berkeley Council of Classified Employees AFT Local 6192 COPE and Shauna Rabinowitz; FPPC No. 23/593 [The Chief of Enforcement may include or exclude any filer from receiving a streamline penalty based upon mitigating or aggravating circumstances and the totality of the circumstances, including situations where an exclusion may apply, and the committee raised and spent less than \$10,000. Here, in the interest of settlement, two counts for failing to timely file semiannual campaign statements (84200) have been excluded.]
- In the Matter of Eric Borba; FPPC No. 20/076 [The Chief of Enforcement may include or exclude any filer from receiving a streamline penalty based upon mitigating or aggravating circumstances and the totality of the circumstances, including situations where an exclusion may apply, and the total penalty exceeds the amount that would be paid in a Mainline Stipulation. Here, in the interest of settlement, 180 counts of failing to timely disclose interests (87206) have been excluded.]

### Campaign Bank Account

**In the Matter of Kathy Strong for Indian Wells City Council 2020, Kathy Strong and John Eger; FPPC No. 20/802.** Staff: Marissa Corona, Commission Counsel and Ann Flaherty, Special Investigator. Kathy Strong was an unsuccessful candidate for Indian Wells City Council in the November 3, 2020 General Election. Kathy Strong for Indian Wells City Council 2020 was Kathy Strong's candidate-controlled committee. John Eger served as the Committee's treasurer. The Committee, Strong, and Eger improperly accepted cash contributions of \$100 or more, in violation of Government Code Section 84300 (1 count), and failed to process \$2,500 through the campaign bank account over two reporting periods, in violation of Government Code Section 85201 (2 counts). **Total Penalty: \$1,075 (Tier Two).**

### Advertisements

**In the Matter of Save Lafayette and Michael Griffiths; FPPC No. 18/323.** Staff: Neal Bucknell, Senior Commission Counsel and Lance Hachigian, Supervising Special Investigator. Save Lafayette was a primarily formed committee to oppose Measure L on the June 5, 2018 Primary Election in Lafayette, California. Michael Griffiths served as the Committee's treasurer. The Committee and Griffiths failed to timely report contributions received by the Committee,

expenditures made by the Committee, and payments to subvendors in amounts of \$500 or more, in violation of Government Code Sections 84211 and 84303 (2 counts). Additionally, the Committee failed to include “Paid for by” language and failed to include the name of the committee on a mass mailing, in violation of Government Code Sections 84502 and 84504.2 (1 count). **Total Penalty: \$772 (Tiers One & Two).**

**In the Matter of Yuba County Republican Party, Joanna Lassaga and Rosemary Daoust: FPPC No. 24/239.** Staff: Chance Felkins, Commission Counsel. Yuba County Republican Party is a general purpose committee. Joanna Lassaga is the Committee’s chairwoman, and Rosemary Daoust serves as the Committee’s treasurer. The Committee failed to include “Ad Paid for by” language and the Committee name on a yard sign to oppose the Marysville Joint Unified School District, Measure S, prior to the March 5, 2024 Primary Election, in violation of Government Code Section 84502 (1 count). **Total Penalty: \$117 (Tier One).**

### **Campaign Late Filer**

**In the Matter of Committee to Elect Edgard Garcia for Imperial County District Attorney 2022; Committee to Elect Edgard Garcia for State Assembly 2024; Edgard Garcia and Arezoo Garcia; FPPC No. 23/538.** Staff: Chance Felkins, Commission Counsel. Edgard Garcia was an unsuccessful candidate for Imperial County District Attorney in the June 7, 2022 Primary Election. Committee to Elect Edgard Garcia for Imperial County District Attorney 2022 was Edgard Garcia’s local candidate-controlled committee. Arezoo Garcia served as the Committee’s treasurer. The Committee, Edgard Garcia, and Arezoo Garcia failed to timely file two pre-election campaign statements and two 24-Hour Reports, in violation of Government Code Sections 84200.5 and 84203 (4 counts). Committee to Elect Edgard Garcia for State Assembly 2024 is Edgard Garcia’s state candidate-controlled committee. Arezoo Garcia served as the Committee’s treasurer. Edgard Garcia was an unsuccessful candidate for the California State Assembly District 36 in the March 5, 2024 Primary Election. The Committee, Edgard Garcia, and Arezoo Garcia failed to timely file a pre-election campaign statement and twelve 24-Hour Reports, in violation of Government Code Sections 84200.5 and 84203 (13 counts). **Total Penalty: \$7,959 (Tier Two).**

**In the Matter of Andrew Thorburn; FPPC No. 24/282.** Staff: James M. Lindsay, Chief of Enforcement and Tara Stock, Intake Manager. Andrew Thorburn, a major donor committee for the calendar year 2020, failed to timely file four 24-Hour Reports, in violation of Government Code Section 84203 (4 counts). **Total Penalty: \$1,600 (Tier One).**

**In the Matter of Berkeley Council of Classified Employees AFT Local 6192 COPE, and Shauna Rabinowitz; FPPC No. 23/593.** Staff: Jonathan Rivera, Commission Counsel. The respondents are represented by Sue Vang and Richard Rios of Olson Remcho LLP. The Berkeley Council of Classified Employees AFT Local 6192 COPE is an active general purpose committee. Shauna Rabinowitz serves as the Committee’s treasurer. The Committee and Rabinowitz failed to timely file two semi-annual campaign statements, in violation of Government Code Section 84200 (2 counts). **Total Penalty: \$494 (Tier One).**

### Statement of Economic Interests Late Filer

**In the Matter of Ismael Herrera; FPPC No. 24/106.** Staff: James M. Lindsay, Chief of Enforcement and Amber Rodriguez, Staff Services Analyst. Ismael Herrera, a City Council Member for the City of Kerman, failed to timely file a 2020 Annual, 2021 Annual, and 2022 Annual Statement of Economic Interests, in violation of Government Code Section 87203 (3 counts). **Total Penalty: \$600 (Tier One).**

**In the Matter of Brad Griffin; FPPC No. 23/758.** Staff: Jaleena Evans, Commission Counsel. Brad Griffin, a Planning Commissioner for the City of Salinas, failed to timely file a 2022 Annual Statement of Economic Interests, in violation of Government Code Section 87203 (1 Count). **Total Penalty: \$200 (Tier One).**

**In the Matter of Joe Green; FPPC No. 24/182.** Staff: James M. Lindsay, Chief of Enforcement and Fela Williams, Staff Services Analyst. Joe Green, a City Council Member for the city of Vista, failed to timely file a 2022 Annual Statement of Economic Interests, in violation of Government Code Section 87300 (1 count). **Total Penalty: \$200 (Tier One).**

### Statement of Economic Interests Late Reporter

**In the Matter of Eric Borba; FPPC No. 20/076.** Staff: Jenna C. Rinehart, Senior Commission Counsel and George Aradi, Special Investigator. The respondent is represented by Lauren D. Layne of Baker Manock & Jensen, PC. Eric Borba, a Director for the Eastern Tule Groundwater Sustainability Agency, Porterville Irrigation District, and Friant Water Authority, failed to timely disclose certain interests in stocks and real properties on the 2018, 2019, 2020, and 2021 Annual Statements of Economic Interests, in violation of Government Code Section 87206 (54 counts). **Total Penalty: \$5,400 (Tier One).**

## II. AUDIT DIVISION

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STAFF: SHRDHA SHAH, CHIEF OF AUDITS

*Audits are conducted per Government Code sections 90000 - 90008 of the Political Reform Act (the Act). The Act requires full disclosure of receipts and expenditures of candidates and committees and disclosure of the finances of lobbyists. The purpose of the audits is to determine the level of compliance of the auditees with the Act. The opinions expressed in the audit report reflect the level of compliance with the Act. The FPPC is not responsible for the contents of the candidate's documents. Audit reports are then submitted to the Enforcement Division for further review.*

### A. Overview

The audit reports prepared by the FPPC summarize findings with respect to the filer's conformity with the disclosure and recordkeeping requirements of the Political Reform Act. Copies of audit reports are always sent to the auditees and those included in the audit report (such as the prior treasurer, current treasurer, and/or their representatives). These reports are public documents.

### B. Current Period Updates

During the period of March 1, 2024 to March 31, 2024, the Audits and Assistance Division adopted 2 audit reports, both with findings. Currently, the team has 33 audits in progress at various stages, including fieldwork, post-fieldwork, and quality review.

Details of the audit report adopted during the period are as follows:

#### 1. Armendarez for Supervisor 2020 (ID# 1419589)

##### **Finding noted:**

- On December 2, 2019, \$31,000 was received from Jesse Armendarez for Fontana City Council 2020 ID# 1390019 (2020 City Committee), the funds were not attributed to specific 2020 City Committee contributors.

This finding was agreed to in the Fair Political Practices Commission Stipulation (FPPC), Decision and Order, FPPC Case No. 20/00365, approved on June 18, 2020.

#### 2. Steevonna Evans for Board of Supervisor 2020 (ID# 1421911)

##### **Findings noted:**

- Copies of contributors' checks and ActBlue records for contributions received totaling \$8,059 and copies of canceled checks and bank statements for expenditures made totaling \$7,471 were not maintained.



- Records were not provided for individual contributors' occupation and/or employer information for contributions totaling \$2,350.
- Invoices and/or receipts were not maintained for expenditures made totaling \$7,406.
- A Form 460 Semi-annual Statement was not filed with San Bernardino County for the period February 16 through June 30, 2020.

## III. LEGAL DIVISION

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STAFF: DAVE BAINBRIDGE, GENERAL COUNSEL

### A. Pending Litigation

None

### B. Outreach and Training

#### *Webinars & Workshops*

- March 6, the FPPC presented a Statement of Economic Interests Filers webinar requested by the County of Sonoma. Political Reform Consultant, Alexandra Castillo, presented. 202 people attended.
- March 8, the FPPC presented a Statement of Economic Interests Filers webinar requested by the Office of the State Public Defender. Political Reform Consultant, Stephen Hernandez, presented. 28 people attended.
- March 12, the FPPC presented a Campaign Filing Officer webinar. Political Reform Consultants, Stephen Hernandez and Adam Ramirez, presented. 58 people attended.
- March 14, the FPPC presented a Statement of Economic Interests Filing Officer webinar. Political Reform Consultants, Adam Ramirez and Katie Trumbly, presented. 70 people attended.
- March 19, the FPPC presented a Statement of Economic Interests Filers webinar. Political Reform Consultants, Katie Trumbly and Alexandra Castillo, presented. 50 people attended.

#### *Scheduled Webinars*

- April 11, the FPPC is presenting a Campaign Filing Officer webinar.
- April 16, the FPPC is presenting a Behested Payments (Form 803) webinar.
- April 23, the FPPC is presenting a Candidate/Treasurer – After the Election webinar.

*Video Tutorials:* Commission video tutorials were accessed a total of 1,156 times in March. Form 700 videos were accessed 896 times, the Candidate/Treasurer video was accessed 108 times, and the filing officer videos were accessed 152 times.

### C. New and Updated Educational Resources

None

## D. Political Reform Education Program (PREP):

In March, PREP received 23 referrals for the Statement of Economic Interests course. During this time, 21 learners completed PREP, including 1 learner who failed to complete PREP in February but, due to mitigating circumstances, was allowed to complete it in March. 1 learner failed to complete PREP in March, and 36 learners are enrolled but have not yet completed the course.

## E. Advice

The [March Advice Letter Report](https://www.fppc.ca.gov/advice/advice-opinion-search/advice-letter-reports/2024-advice-letter-reports.html) is available on the FPPC website at <https://www.fppc.ca.gov/advice/advice-opinion-search/advice-letter-reports/2024-advice-letter-reports.html>.

In March, the Legal Division responded to the following requests for advice:

- **Education:** The Education unit responded to 809 requests for technical assistance via telephone.
- **Requests for Advice:** Political Reform Consultants and Legal Division attorneys collectively responded to 902 email requests for advice, 363 of which were not responded to within 24 hours and 254 of those were not responded to within 48 hours.
- **Advice Letters:** The Legal Division received 8 advice letter requests under the Political Reform Act and completed 4.
- **Section 1090 Letters:** The Legal Division received 3 advice letter request concerning Section 1090 and completed 6.

## F. Miscellaneous Decisions

None

## G. Upcoming Regulation Projects

May

**Cryptocurrency reporting** – Amend regulation on reporting campaign contributions made in cryptocurrency so that such a contribution is reported as a monetary contribution. Also, clarify in regulation that cryptocurrency need not be reported on a statement of economic interests. (Adoption)

**Committee jurisdiction determination** – Amend existing regulation to clarify which contributions and expenditures are counted in calculating the 70% threshold for determining whether a committee should file at the local or state level. (Adoption)

## June

**Audits by the FPPC** – Clarify in regulation that certain requirements and provisions applicable to the Franchise Tax Board when conducting audits under the Political Reform Act also apply to the FPPC in the conduct of audits. This proposal would also bring back a prior regulatory provision which permitted the FPPC to exclude from audit draws jurisdictions where its candidates were already subject to audit by the FPPC or a local agency. (Pre-notice)

**Honorarium ban** – Amend current regulation to permit elected officials to receive payment for public speaking engagements when the official had a business that preceded their election to public office. (Adoption)

**Paid social media posts** – Amend existing regulation concerning disclosure on third-party social media posts and adopt a new related regulation in response to SB 678. (Adoption)

For more information on these and other regulation projects planned for 2024, go to <https://www.fppc.ca.gov/the-law/fppc-regulations.html>.

## **H. Conflict of Interest Codes**

### **Adoptions and Amendments**

#### *State Agencies*

- CA Ocean Science Trust

#### *Multi-County Agencies*

- Pajaro Valley Health Care District Hospital Corporation
- Rural Counties' Environmental Services Joint Powers Authority

### **Exemptions**

- Wildfire Technology Research and Development Review Advisory Board

### **Extensions**

None

## **I. Probable Cause Proceedings**

Probable cause proceedings are conducted per Government Code section 83115.5. A finding of probable cause exists when the evidence supports a reasonable belief or strong suspicion that a violation occurred, but does not constitute a finding a violation occurred. Respondents are presumed innocent of any violation of the Act until a violation is proven in a subsequent proceeding.

***In the Matter of City of Norco and Andy Okoro, Case No. 18-789.*** On March 5, 2024, probable cause was found to believe Respondents committed the following violations of the Act:

- Count 1: The City and Okoro sent or caused to be sent 9,314 copies of an identical and prohibited campaign related mass mailing at public expense at a total cost of \$4,196.86 which unambiguously urged the passage of local tax Measure R, in violation of Section 89001 and the criteria set forth in Regulation 18901.1.
- Count 2: The City failed to include a proper advertising disclosure on the campaign related mass mailing, which was sent on or around September 4, 2018, in violation of Sections 84502 and 84504.2.
- Count 3: The City failed to file a 24-hour independent expenditure report for the \$4,196.86 independent expenditure on or around October 4, 2018, in violation of Section 84204, subdivision (a).
- Count 4: The City failed to file a semi-annual campaign statement for the reporting period of July 1, 2018, to December 31, 2018, reporting the expenditure activity, in violation of Section 84200, subdivision (b).

***In the Matter of Karen Paz Dominguez, Case No. 2019-01459.*** On March 22, 2024, probable cause was found, on the papers, that Respondent committed the following violations of the Act:

- Count 1: Dominguez failed to timely file an Assuming Office SEI by February 6, 2019, in violation of Government Code Section 87300.
- Count 2: Dominguez failed to timely file a 2019 Annual SEI by June 1, 2020, in violation of Regulations Government Code Section 87300.
- Count 3: Dominguez failed to timely file a 2021 Annual SEI by April 1, 2022, in violation of Regulations Government Code Section 87300.
- Count 4: Dominguez failed to timely file a Leaving Office SEI by August 1, 2022, in violation of Regulations Government Code Section 87300.

***In the Matter of Karen Paz Dominguez, and Karen Paz Dominguez for Auditor-Controller 2018/Re-Elect Karen Paz Dominguez for Auditor-Controller 2022 (I.D. No. 1403878), Case No. 2019-01534.*** On March 22, 2024, probable cause was found, on the papers, that Respondent committed the following violations of the Act:

- Count 1: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of July 1, 2018 – December 31, 2018, by the January 31, 2019 due date, in violation of Government Code Section 84200.
- Count 2: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of January 1, 2019 – June 30, 2019, by the July 31, 2019 due date, in violation of Government Code Section 84200.

- Count 3: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of July 1, 2019 – December 31, 2019, by the January 31, 2020 due date, in violation of Government Code Section 84200.
- Count 4: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of January 1, 2020 – June 30, 2020, by the July 31, 2020 due date, in violation of Government Code Section 84200.
- Count 5: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of July 1, 2020 – December 31, 2020, by the February 1, 2021 due date, in violation of Government Code Section 84200.
- Count 6: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of January 21, 2021 – June 30, 2021, by the August 2, 2021 due date, in violation of Government Code Section 84200.
- Count 7: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of July 1, 2021 - December 31, 2021, by the January 31, 2022 due date, in violation of Government Code Section 84200.
- Count 8: Dominguez and the Committee failed to timely file a 24-Hour Contribution Report to disclose a late contribution, totaling \$2,444.50 for a loan from Fernando Paz received on March 9, 2022, by the March 10, 2022 due date, in violation of Government Code Section 84203.
- Count 9: Dominguez and the Committee failed to timely file a 24-Hour Contribution Report to disclose a late contribution, totaling \$2,451.00 for a loan from Dominguez received on March 11, 2022, by the March 12, 2022 due date, in violation of Regulations Government Code Section 84203.
- Count 10: Dominguez and the Committee failed to timely file a pre-election campaign statement, covering the reporting period of April 24, 2022 – May 21, 2022, by the May 26, 2022 due date, in violation of Government Code Sections 84200.5 and 84200.8, and Regulation 18405.
- Count 11: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of May 22, 2022 – June 30, 2022, by the August 1, 2022 due date, in violation of Government Code Section 84200.
- Count 12: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of July 1, 2022 – December 31, 2022, by the January 31, 2022 due date, in violation of Government Code Section 84200.
- Count 13: Dominguez and the Committee failed to timely file a semi-annual campaign statement, covering the reporting period of January 1, 2023 – June 30, 2023, by the July 31, 2023 due date, in violation of Government Code Section 84200.

## IV. ADMINISTRATION & TECHNOLOGY DIVISION

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STAFF: JUE WANG, ACTING CHIEF OF ADMINISTRATION

### A. New Employee Report

#### Enforcement Division

Lance Hachigian, Supervising Special Investigator I (Promotion)

#### Administration Division

Teresa Atkinson, Associate Personnel Analyst

### B. Hiring Process

#### Enforcement Division

Associate Governmental Program Analyst  
Attorney, FPPC Enforcement  
Special Investigator

#### Administration Division

CEA, Chief of Admin

### C. Statement of Economic Interest (SEI, Form 700)

#### March 1 Filing Status

As of April 10, 2024, 99.72% of the March 1 group filed Form 700 with the FPPC. Seven non-filers remain.

March 1 Group	Total	Filed on time	Filed late	Not Filed
Constitutional Officers	53	44	7	2
Courts	2266	2220	41	5
Legislature	120	120	0	0
State Agencies	18	18	0	0
<b>Total</b>	<b>2457</b>	<b>2402</b>	<b>48</b>	<b>7</b>
		97.76%	1.96%	0.28%

#### April 2 Filing Status

As of April 10, 2024, 13,482 2023 annual statements, representing approximately 84.42% of the total, have been received and processed.

**SEI Unit March Summary**

- Referred 15 cases to the Enforcement
- Issued 41 fine letters
- Issued 236 Non-filer letters
- Processed 244 Form 462
- Responded 3951 emails from [form700@fppc.ca.gov](mailto:form700@fppc.ca.gov)
- Approved three new local e-filing systems and re-certified nine existing local e-filing systems

**D. Collection/Revenue**

March Report of Collection				
Category	Check	Credit Card	FTB Offset	Total
SEI Filing Fee	\$ 2,000	-	-	\$ 2,000
SEI Fine	\$ 700	\$ 1,420	\$ 425.81	\$ 2,545.81
PREP	-	\$ 250	-	\$ 250
Enforcement Collections	-	\$ 200	\$ 1,785	\$ 1,985
Enforcement Stipulations	\$ 97,594	\$ 3,333	-	\$ 100,927
<b>Total</b>	<b>\$ 100,294</b>	<b>\$ 5,203</b>	<b>\$ 2,210.81</b>	<b>\$ 107,707.81</b>