

Fair Political Practices Commission

Filing Schedule for Committees Primarily Formed to Support/Oppose Candidates for State Office Who Will be Voted Upon at the September 14, 2021 Gubernatorial Recall Election

Deadline	Period	Form	Notes
Within 3 Business Days <i>Top Ten Contributor List</i>	Ongoing <i>Except during the 16 days before the election – see notes</i>	T-10	<ul style="list-style-type: none"> Committees (primarily formed to support or oppose a state candidate) that raise \$1,000,000 or more, and that have received contributions of \$10,000 or more from a single source, must file a list of their top ten contributors. If the requirement is triggered within 16 days of the election, Form T-10 must be filed within 2 business days. Until the California Access Replacement System (CARS) is live, the Form T-10 must be filed with the FPPC by e-mail only. Once the system is live, this report will not be required.
Within 10 Days <i>Independent Expenditure Verification</i>	Ongoing	462	<ul style="list-style-type: none"> Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure. Until the California Access Replacement System (CARS) is live, committees making independent expenditures must file this form with the FPPC by e-mail only, no paper copy is required. Once the CARS system is live, this report will no longer be required.
Within 24 Hours <i>Election Cycle Reports</i>	7/1/21 – 9/14/21	496 497	<ul style="list-style-type: none"> 496: File if an independent expenditure of \$1,000 or more in the aggregate is made in connection with a candidate or ballot measure being voted on the September 14 ballot. 497: File if a contribution of \$1,000 or more in the aggregate is received from a single source. 497: File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the September 14 ballot or made to a political party committee. The recipient of a non-monetary contribution must file a Form 497 within 48 hours from the time the non-monetary contribution is received. E-file only. No paper copy is required.
<u>If combining first pre-election and semi-annual:</u>			
Aug 2, 2021	* – 7/31/21	460	<ul style="list-style-type: none"> The first pre-election and semi-annual statements <i>may</i> be combined. Filers using an electronic filing system may have to file two separate statements. If combining the first pre-election and semi-annual statements, the period covered is the day after the closing date of the last statement filed through July 31, 2021. If filing two separate statements, the period covered for the semi-annual statement is the day after the closing date of the last statement filed through June 30, 2021. The period covered for the first pre-election statement is July 1, 2021 through July 31, 2021.
<u>If filing separately:</u>			
Aug 2, 2021 <i>Semi-annual</i>	* – 6/30/21	460	
Aug 5, 2021 <i>1st Pre-Election</i>	7/1/21-7/31/21	460	

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Sep 2, 2021 <i>2nd Pre-Election</i>	8/1/21 – 8/28/21	460	<ul style="list-style-type: none">• All committees must file this statement.• Paper copies must be filed by personal delivery or guaranteed overnight service only. Once the California Access Replacement System (CARS) system is live, all state committee filings will be filed online or electronically with the Secretary of State.
Jan 31, 2022 <i>Semi-Annual</i>	8/29/21 – 12/31/21	460	<ul style="list-style-type: none">• All committees must file this statement.

Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- **Payments Related to a State Ballot Measure:** File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required. Payments of this type may change the nature of a primarily formed candidate committee. Until the California Access Replacement System (CARS) is live, **only e-filers file this report**. Once the CARS system is live, all filers file this report online or electronically with the Secretary of State.
- **Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). Until the California Access Replacement System (CARS) is live, **e-filers must also file a paper copy**. Once the CARS system is live, all state committees will file this report online or electronically with the Secretary of State, no paper copy will be required.
- **Form E-530:** File within 48 hours of making a payment or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- ***Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours regardless of the day of the week.
- **California Access Replacement System (CARS):** The new Cal-Access system is expected to go live in 2021.

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- **E-filer:** Until the California Access Replacement System (CARS) is live, only state committees that have received contributions or made expenditures totaling \$25,000 or more e-file. Once the CARS system is live, all state committees will file online or electronically with the Secretary of State.
- **Form 460:** All state committees, including e-filers, must file paper reports. Once the California Access Replacement System (CARS) system is live, all state committees will file online or electronically with the Secretary of State, no paper copies will be required.
- **Paper Filings:** Until the California Access Replacement System (CARS) is live, all paper filings may be filed by first class mail unless otherwise noted. Once the CARS system is live, all state committees will file online or electronically with the Secretary of State, no paper copies will be required.
- **Forms 496 and 497:** All reports filed online only.
- **Multipurpose Organizations (including non-profits):** A multipurpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file statements disclosing contributors. For more information, see the FPPC's fact sheet, [Campaign Reporting Rules for Multipurpose Organizations](#).
- **State Contribution Limits:** Contributions to state candidates and to committees that make contributions to state candidates are subject to limits. Refer to the [contribution limits chart](#) on the FPPC website. Committees making "issue ads" featuring state candidates are also subject to contribution limits.
- **Penalties:** Late statements and reports are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Once the CARS system is live, all state committees will file online or electronically with the Secretary of State and late e-filed statements or reports will be subject to a fine of \$10 per day. Failure to file a statement or report could result in an enforcement penalty of \$5,000 per violation.
- **Public Documents:** All statements and reports are public documents.
- **Resources:** Campaign manuals and other instructional materials are available on the [Campaign Rules](#) page. Or, visit www.fppc.ca.gov > Learn > Campaign Rules.