To: Chair Remke, Commissioners Audero, Casher, Wasserman, and Wynne

From: Galena West, Enforcement Chief

Subject: Enforcement Division's Monthly Report

Date: November 6, 2015

During the period of September 29, 2015 through November 4, 2015, the Enforcement Division received 161 referrals and complaints as detailed in the chart below.

Туре	SWORN	PROACTIVE/INFORMAL	NON-FILER
Number Received	30	75	56
Case Opened	7	7	55
Complaint Rejected	12	38	1
Under Review	11	30	0

Also during this time, the Division closed a total of 271 cases including:

- 85 warning letters,
- 2 advisory letters,
- 42 no violation letters,
- 61 as a result of the adoption of stipulations and defaults at the October Commission meeting, and
- 81 committees were administratively terminated.

The Division had 1,131 cases in various stages of resolution at the time of the October Monthly Report and currently has 970 cases in various stages of resolution, including the 71 cases before the Commission as listed in the November 2015 agenda.

To give an overview of all cases within the Enforcement Division, in addition to the numbers provided above, below is a second chart to track the outcome of the 2,460 \$50 Annual Fee referrals that the Enforcement Division received from the Secretary of State's office on May 1, 2015.

	\$50 Annual Fee 2013 Cases: Total Referrals = 2,460
Referrals Rejected	625
Administratively Terminated	65
Slated for Administrative Termination (Pending)	1095
Other Terminations	275
Administrative Fine	91 (+62 proposed on November agenda)
Warning Letters	87
No Violation Letters	46
Under Review	114