To: Chair Remke, Commissioners Audero, Casher, Wasserman, and Wynne
From: Galena West, Enforcement Chief
Subject: Enforcement Division's Monthly Report
Date: $\quad$ November 6, 2015

During the period of September 29, 2015 through November 4, 2015, the Enforcement Division received 161 referrals and complaints as detailed in the chart below.

| Type | SWORN | PROACTIVE/INFORMAL | NON-FILER |
| :--- | :---: | :---: | :---: |
| Number <br> Received | 30 | 75 | 56 |
| Case Opened | 7 | 7 | 55 |
| Complaint <br> Rejected | 12 | 38 | 1 |
| Under <br> Review | 11 | 30 | 0 |

Also during this time, the Division closed a total of 271 cases including:

- 85 warning letters,
- 2 advisory letters,
- 42 no violation letters,
- 61 as a result of the adoption of stipulations and defaults at the October Commission meeting, and
- 81 committees were administratively terminated.

The Division had 1,131 cases in various stages of resolution at the time of the October Monthly Report and currently has 970 cases in various stages of resolution, including the 71 cases before the Commission as listed in the November 2015 agenda.

To give an overview of all cases within the Enforcement Division, in addition to the numbers provided above, below is a second chart to track the outcome of the 2,460 \$50 Annual Fee referrals that the Enforcement Division received from the Secretary of State's office on May 1, 2015.

|  | \$50 Annual Fee 2013 Cases: <br> Total Referrals = 2,460 |
| :--- | :---: |
| Referrals <br> Rejected | 625 |
| Administratively <br> Terminated | 65 |
| Slated for <br> Administrative <br> Termination <br> (Pending) | 1095 |
| Other <br> Terminations | 275 |
| Administrative <br> Fine | 91 (+62 proposed on November agenda) |
| Warning Letters | 87 |
| No Violation <br> Letters | 46 |
| Under Review | 114 |

