



STATE OF CALIFORNIA  
FAIR POLITICAL PRACTICES COMMISSION  
1102 Q Street • Suite 3000 • Sacramento, CA 95811  
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# EXECUTIVE STAFF REPORTS

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*February 18, 2021 Commission Hearing*

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# I. ENFORCEMENT DIVISION

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STAFF: ANGELA BRERETON, CHIEF OF ENFORCEMENT

## I. Overview

During the period of January 1, 2020 through January 31, 2020 the Enforcement Division received 45 complaints, opened 20 for investigation, and rejected 11. The Enforcement Division received 105 referrals during this time. The Enforcement Division closed a total of 36 cases including:

- 28 warning letters – advertisement violations (2), campaign violations (18), and statement of economic interests violations (8);
- 6 no action closure letters alleging – campaign violations (1), and statement of economic interests violations (5); and
- 2 committees were administratively terminated.

The Division had 1,376 cases in various stages of resolution at the time of the January Monthly Report and currently has approximately 1,453 cases in various stages of resolution, including the 21 cases before the Commission as listed in the February Agenda.

## II. Annual Statistics

On the next page, you will find a chart that details the annual statistics for enforcement complaints, referrals and cases. This chart includes the data from 2020, where Enforcement had a highly efficient and effective year, receiving over 2,900 complaints and referrals and closing 1,117 cases with violations found. The information in the chart has been gathered from public reports, information from the defunct and inactive database, and data that has been converted into current form, so the numbers are close estimates in some instances.

	<b>Year</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
1	Complaints Received	1,180	564	1,352	744	1,390
2	Referrals Received	350	1,616	1,529	1,950	1,518
3	Total Complaint and Referrals Received	1,530	2,180	2,881	2,694	2,908
4	Cases opened	1,315	1,480	1,561	1,820	1,155
5	Cases closed <sup>3</sup>	1,803	1,477	1,243	1,465	1,526
6	Cases with resolutions approved by the Commission <sup>1</sup>	311	340	235	343	278
	a Streamline cases approved by Commission	234	262	173	263	194
	b Mainline cases approved by Commission	70	66	56	73	77
	c Default cases approved by Commission	7	12	6	7	7
7	Total fines imposed by the Commission	\$894,257	\$1,126,933	\$499,606	\$797,384	\$1,940,107
8	Warning letters issued	489	505	554	584	777
9	Administrative terminations	668	297	177	104	62
10	Cases closed with violations found <sup>2</sup>	1,468	1,142	966	1,031	1,117
11	Advisory letters issued	14	17	20	9	7
12	No action closure letters	321	318	252	423	402

<sup>1</sup> Total for lines 6a, 6b, and 6c.

<sup>2</sup> Total for lines 6, 8, and 9.

<sup>3</sup> Total cases closed includes Commission approved cases from the previous year and Commission approved administrative judgements. It does not include Commission approved cases not yet closed.

### III. Unexecuted Streamline Stipulations

Streamline penalty stipulations are approved by the Chief of Enforcement and reported to the Commission for discussion only before they are executed. The following streamline stipulations are presented for that purpose. After the close of the hearing, the Chief of Enforcement may execute all or any of the streamline penalty stipulations, at her discretion per Regulation 18360.2.

#### Advertisements

**[In the Matter of Lyft, Inc.; FPPC No. 20/678.](#)** Staff: Christopher Burton, Assistant Chief of Enforcement. The respondent was represented by Darrin Lim of Politicom Law LLP. Lyft, Inc. was a major donor committee in 2020 that paid for certain advertisements supporting State Proposition 22 prior to the November 3, 2020 General Election. Lyft, Inc. failed to include the proper advertisement disclosures on electronic media and text message advertisements, in violation of Government Code Sections 84502 and 84504.7 (3 counts). **Total Proposed Penalty: \$3,371.**

**[In the Matter of Turlock Firefighters PAC, Jason Bernard, and Mike Harcksen; FPPC No. 18/222.](#)** Staff: Megan A. Van Arsdale, Commission Counsel and Rooney Petersen, Special Investigator. Turlock Firefighters PAC is a local general purpose committee that was active in the November 6, 2018 General Election. Mike Harcksen was the Committee's principal officer and Jason Bernard was the treasurer. The Committee and Bernard failed to timely file three 24-hour reports, in violation of Government Code Section 84204 (3 counts). The Committee and Harcksen failed to include the proper advertisement disclosures on a mailer, yard sign, and electronic advertisements, in violation of Government Code Sections 84502 and 84506.5 (7 counts). **Total Proposed Penalty: \$1,871.**

**[In the Matter of San Jose Police Officer's Association PAC and Paul Kelly; FPPC No. 20/828.](#)** Staff: David E. Castro, Commission Counsel and Jay Martin, Special Investigator. San Jose Police Officer's Association PAC is a local general purpose committee. Paul Kelly was the Committee's assistant treasurer and principal officer. The Committee and Kelly failed to include the proper advertisement disclosures on banner-style electronic media advertisement, in violation of Government Code Section 84504.3(b)(1) (1 count). **Total Proposed Penalty: \$149.**

**[In the Matter of Thiara for Supervisor 2020 and Sarb Thiara, FPPC No. 21/016.](#)** Staff: Angela Brereton, Chief of Enforcement and Tara Stock, Intake Manager. Sarb Thiara was an unsuccessful candidate for Sutter County Supervisor in the November 3, 2020 General Election. Thiara for Supervisor 2020 is his candidate-controlled committee. The Committee and Thiara failed to include the proper advertisement disclosures on a mass mailing, in violation of Government Code Section 84305 (1 count). **Total Proposed Penalty: \$115.**

#### Campaign Late Filer

**[In the Matter of Jamela Smith Folds for School Board 2020, Jamela Smith Folds, and Cameron Folds; FPPC No. 21/018.](#)** Staff: Angela Brereton, Chief of Enforcement and Tara Stock, Intake Manager. Jamela Smith Folds was a successful candidate for West Contra Costa Unified School Board in the November 3, 2020 General Election. Jamela Smith Folds for School

Board 2020 is her candidate-controlled committee. Cameron Folds is the Committee's treasurer. The Committee, Smith Folds, and Folds failed to timely file a preelection campaign statement, in violation of Government Code Section 84200.5 (1 count), and failed to file two 24-hour reports, in violation of Government Code Section 84203 (2 counts). **Total Proposed Penalty: \$800.**

**In the Matter of Harry Wiener for West County Wastewater Board - 2020; FPPC No. 21/022.** Staff: Angela Brereton, Chief of Enforcement and Tara Stock, Intake Manager. Harry Wiener was a successful candidate for West County Wastewater Board in the November 3, 2020 General Election. Harry Wiener for West County Wastewater Board - 2020 is his candidate-controlled committee. The Committee and Wiener failed to timely file two preelection campaign statements, in violation of Government Code Section 84200.5 (2 counts), and failed to file a 24-hour report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$675.**

**In the Matter of Republican Party of Orange County and Erik Weigand; FPPC No. 21/039.** Staff: Angela Brereton, Chief of Enforcement and Tara Stock, Intake Manager. The respondents were represented by Ashlee Titus of Bell, McAndrews & Hiltachk, LLP. Republican Party of Orange County is a state general purpose political party committee. Erik Weigand is the Committee's treasurer. The Committee and Weigand failed to timely file a 24-hour report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$550.**

**In the Matter of Antonio Martinez for Contra Costa Water District Director 2020 and Antonio Martinez; FPPC No. 21/014.** Staff: Angela Brereton, Chief of Enforcement and Tara Stock, Intake Manager. Antonio Martinez was a successful candidate for Contra Costa Water District Director in the November 3, 2020 General Election. Antonio Martinez for Contra Costa Water District Director 2020 is his candidate-controlled committee. The Committee and Martinez failed to timely file a preelection campaign statement, in violation of Government Code Section 84200.5 (1 count), and failed to file a 24-hour report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$451.**

**In the Matter of Tom DuBois and DuBois for City Council 2018; FPPC No. 20/872.** Staff: Angela Brereton, Chief of Enforcement and Chloe Hackert, Political Reform Consultant. Tom DuBois was a successful candidate for Palo Alto City Council in the November 6, 2018 General Election. DuBois for City Council 2018 is his candidate-controlled committee. The Committee and DuBois failed to timely file two semi-annual campaign statements, in violation of Government Code Section 84200 (2 counts). **Total Proposed Penalty: \$402.**

**In the Matter of Barrios for Orange City Council 2020, Arianna Barrios, and Lysa Ray; FPPC No. 21/049.** Staff: Angela Brereton, Chief of Enforcement and Ginny Lambing, Political Reform Consultant. Arianna Barrios was a successful candidate for Orange City Council in the November 3, 2020 General Election. Barrios for Orange City Council 2020 was her candidate-controlled committee. Lysa Ray was the Committee's treasurer. The Committee, Barrios, and Ray failed to timely file a 24-hour report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$230.**

**In the Matter of Spellman 4 CCUSD Schools Governing Board Member Culver City Unified School District November 2020 and Tiffany Spellman; FPPC No. 21/029.**

Staff: Angela Brereton, Chief of Enforcement and Tara Stock, Intake Manager. Tiffany Spellman was an unsuccessful candidate for Culver City Unified School Board in the November 3, 2020 General Election. Spellman 4 CCUSD Schools Governing Board Member Culver City Unified School District November 2020 is her candidate-controlled committee. The Committee and Spellman failed to timely file a preelection campaign statement, in violation of Government Code Section 84200.5 (1 count). **Total Proposed Penalty: \$220.**

**In the Matter of Elect Sahota for Selma Unified School Area 2, 2020 and Narinder Sahota; FPPC No. 21/048.**

Staff: Angela Brereton, Chief of Enforcement and Tara Stock, Intake Manager. Narinder Sahota was a successful candidate for Selma Unified School Board in the November 3, 2020 General Election. Elect Sahota for Selma Unified School Area 2, 2020 is his candidate-controlled committee. The Committee and Sahota failed to timely file a 24-hour report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$220.**

**Statement of Economic Interests Late Filer**

**In the Matter of Erick Rivera; FPPC No. 19/1211.** Staff: Angela Brereton, Chief of Enforcement and Amber Burnside, Assistant Political Reform Consultant. Erick Rivera, an Arts Instructor I for the Department of Cultural Affairs for the City of Los Angeles, failed to timely file a 2017 and 2018 Annual Statement of Economic Interests, in violation of Government Code Section 87300 (2 counts). **Total Proposed Penalty: \$400.**

**In the Matter of Teresa Keng; FPPC No. 19/1401.** Staff: Angela Brereton, Chief of Enforcement and Amber Burnside, Assistant Political Reform Consultant. Teresa Keng, a member of the Fremont City Council, failed to timely file an Assuming Office Statement of Economic Interests, in violation of Government Code Section 87202 (1 count). **Total Proposed Penalty: \$200.**

**Statement of Economic Interests Late Reporter**

**In the Matter of Donald Parris; FPPC No. 20/604.** Staff: Jenna C. Rinehart, Commission Counsel and Lance M. Hachigian, Special Investigator. Donald Parris, a member of the California City Council, failed to timely report an interest in real property on his 2019 Annual Statement of Economic Interests, in violation of Government Code Section 87206 (1 count). **Total Proposed Penalty: \$100.**

## II. LEGAL DIVISION

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**STAFF:****DAVE BAINBRIDGE, GENERAL COUNSEL****A. Pending Litigation**

***California State Association of Counties and California School Boards Association v. FPPC***  
County of Los Angeles Superior Court, Central District  
Case No. BS174653

On September 11, 2018, petitioners served a first amended petition for writ of mandate and complaint for declaratory relief. Petitioners allege that Regulations 18420.1 and 18901.1 are invalid as a matter of law, that the Commission has exceeded its jurisdiction in adopting these Regulations, and that the Regulations are unenforceable.

On March 29, 2019, the Commission's Motion for Judgment on the Pleadings was granted with leave to amend. The court vacated all hearing dates and set a new trial setting conference. Plaintiffs timely filed a second amended complaint on June 27, 2019.

The Commission filed a demurrer and the hearing occurred on November 22, 2019. In its ruling, the court: (1) sustained the demurrer to the first cause of action without leave to amend; (2) sustained the demurrer with leave to amend on plaintiff CSBA's standing on all cause of action and plaintiff CSAC's standing on all causes of actions challenging Regulation 18901.1; and (3) overruled the remaining grounds for demurrer.

Petitioners filed a third amended complaint, and the Commission filed an answer. The parties submitted pretrial briefs and after hearing on December 4, 2020, the court issued an order denying the writ. Notice of entry of the court's judgment was served on January 12, 2021. Petitioners' deadline to file a notice of appeal is March 15, 2021.

***Mat Wahlstrom v. California Fair Political Practices Commission and Steven J. Kaufman***  
San Diego County Superior Court, Central Division  
Case No. 37-2020-00030654-CU-WM-CTL

Petitioner filed a petition for writ of mandate on August 31, 2020. The petition seeks to set aside a streamline stipulation, decision and order against Todd Gloria adopted by the Commission in November 2019. The Attorney General is representing the Commission. The Commission filed an answer to the complaint on October 22, 2020.

**B. Outreach and Training**

*Webinars*

- January 6th, the FPPC hosted a Candidate/Treasurer webinar. Political Reform Consultant Katie Trumbly and Staff Services Manager Alana Jeydel presented. 13 people attended.
- Jan 12th, the FPPC hosted a Statement of Economic Interest Filers webinar. Staff Services Manager Alana Jeydel and Political Reform Consultant Stephen Hernandez presented, and Associate Governmental Program Analyst Christine Chen from the SEI Unit joined us as well to present on electronic filing. 20 people attended.
- Jan 14th, the FPPC hosted a Statement of Economic Interests Filing Officer webinar. Political Reform Consultant Adam Ramirez and Political Reform Consultant Katie Trumbly presented, and Associate Governmental Program Analyst Christine Chen from the SEI Unit joined us as well to present on electronic filing. 80 people attended.
- Jan 20th, Staff Services Manager Alana Jeydel and Political Reform Consultant Adam Ramirez presented an SEI Filers webinar to CalSTRS. 72 people attended.
- January 26th, the FPPC conducted an SEI Filer webinar for the Port of San Diego. Political Reform Consultant Katie Trumbly and Political Reform Consultant Stephen Hernandez presented. 218 people attended.
- January 29th, Staff Services Manager Alana Jeydel and Political Reform Consultant Adam Ramirez conducted an SEI Filers webinar to the State Personnel Board. 11 people attended.

#### *Scheduled Webinars*

- February 9th, the FPPC is hosting an SEI Filing Officer webinar.
- February 17th, the FPPC is hosting an SEI Filer webinar.
- February 23d, the FPPC is presenting a Candidate Treasurer webinar to the League of Cities Inland Empire Chapter.
- February 25th, the FPPC is presenting a Campaign Filing Officer webinar to the League of Cities Inland Empire Chapter.
- March 16th, the FPPC is presenting an SEI Filers webinar to the City of Santa Clarita.

#### *Video Tutorials*

- Commission video tutorials were accessed a total of 610 times in January. Form 700 videos were accessed 430 times, the Candidate/Treasurer video was accessed 70 times, and the filing officer videos were accessed 110 times.



### C. Advice

The January 29, 2021, Advice Letter Report is available at <https://fppc.ca.gov/advice/advice-opinion-search/advice-letter-reports/2021-advice-letter-reports/FPPC-advice-letter-report-jan-2021.html>.

In January, the Legal Division responded to the following requests for advice:

- **Education Program:** The Education Program responded to 574 requests for technical assistance via phone.
- **Requests for Advice:** The Email Advice and Conflict of Interest Code Program and Legal Division attorneys collectively responded to more than 795 e-mail and telephone requests for advice, 79 of which were not responded to within 24 hours.
- **Advice Letters:** The Legal Division received six advice letter requests under the Political Reform Act and completed six.
- **Section 1090 Letters:** The Legal Division received seven advice letter request concerning Section 1090 and completed two.

### D. Miscellaneous Decisions

None to report.

### E. Potential Upcoming Regulations

*March 18<sup>th</sup>, 2021*

- **Permissible Campaign Expenditures.** (New Regulation.) Provide guidance on whether an expenditure of campaign funds has a sufficient political, legislative, or governmental purpose under Article 4 of the Act. Provisions may include specific items previously addressed through the advice or opinion process, and/or generally applicable guidelines. (Prenotice Discussion.)
- **Cal-Access Replacement System (CARS).** (Regulations 18402.1, 18406, 18410, 18422.5, 18465, 18465.1, 18601, 18611, 18616, and 18616.4.) The Secretary of State's new electronic campaign and lobbyist reporting system, CARS, is scheduled to begin operating in February 2021. Numerous minor updates to existing regulations will be required as a result of CARS and its implementing legislation. (Adoption.)

*April 15<sup>th</sup>, 2021*

- Campaign Ads and Media. (Regulation 18421.5.) Update existing regulation requiring advertising disclosure for online content paid for by a committee and adopt a new regulation interpreting when a communication made in the style of a media report qualifies as an advertisement under the Act. (Prenotice.)

*May 20<sup>th</sup>, 2021*

- Permissible Campaign Expenditures. (New Regulation.) Provide guidance on whether an expenditure of campaign funds has a sufficient political, legislative, or governmental purpose under Article 4 of the Act. Provisions may include specific items previously addressed through the advice or opinion process, and/or generally applicable guidelines. (Adoption.)
- Disclose Act. (Regulations 18421.5, 18435.5, 18450.1, and 18450.11.) Various regulatory additions and amendments to interpret and clarify provisions of the Disclose Act including disclosures on advertisements in multiple languages, disclosures on electronic slate mailers, disclosures on various forms of social media, and paid spokesperson disclosure. (Prenotice.)

#### **F. Conflict of Interest Codes**

None to report.

#### **G. Probable Cause Hearings**

*Please note, a finding of probable cause does not constitute a finding that a violation has occurred. The respondents are presumed to be innocent of any violation of the Act unless a violation is proven in a subsequent proceeding.*

*The following matters were decided based solely on the papers. The respondents did not request a probable cause hearing.*

1. ***In the Matter of Robert Fritz, Case No. 18/1379.*** On January 20, 2021, probable cause was found to believe Respondent committed the following violation of the Act:

Count 1: Fritz failed to timely file a campaign statement in connection with his candidacy for the November 6, 2018 General Election, in violation of Government Code Sections 84200.5, 84200.8, and 84206.

2. ***In the Matter of Isaac Galvan and Galvan for Compton City Council 2013, ID #1357222, Case No. 16/207.*** On January 20, 2021, probable cause was found to believe Respondents committed the following violations of the Act:

- Count 1: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of July 1 through December 31, 2013, by the January 31, 2014 due date, violating Government Code Section 84200, subdivision (a).
- Count 2: Galvan and the Committee failed to timely report all contributions and expenditures, and failed to disclose all required contributor and payee information on the semi-annual campaign statement for the reporting period of July 1 through December 31, 2013, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).
- Count 3: Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made for the reporting period of July 1 through December 31, 2013, violating Government Code Section 84104.
- Count 4: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of January 1 through June 30, 2014, by the July 31, 2014 due date, violating Government Code Section 84200, subdivision (a).
- Count 5: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of July 1 through December 31, 2014, by the February 2, 2015 due date, violating Government Code Section 84200, subdivision (a).
- Count 6: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to timely disclose all required contributor and payee information, and failed to timely and accurately disclose the beginning and ending cash balances on the semi-annual campaign statement for the reporting period of January 1 through June 30, 2014, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (e), (f), (i), (j), and (k).
- Count 7: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to timely disclose all required contributor and payee information, and failed to timely and accurately disclose the beginning and ending cash balances on the semi-annual campaign statement for the reporting period of July 1 through December 31, 2014, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (e), (f), (i), (j), and (k).
- Count 8: In or about 2014, Galvan and the Committee received cash contributions of \$100 or more totaling approximately \$250, violating Government Code Section 84300, subdivision (a).
- Count 9: In or about October 2014, Galvan and the Committee made cash expenditures of \$100 or more, totaling approximately \$1,510, violating Government Code Section 84300, subdivision (b).

Count 10: In or about October 2014, Galvan and the Committee made cash expenditures of \$100 or more, totaling approximately \$1,510, violating Government Code Section 84300, subdivision (b).

Count 11: For the reporting period of January 1 through June 30, 2014, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 12: For the reporting period of July 1 through December 31, 2014, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 13: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of January 1 through June 30, 2015, by the July 31, 2015 due date, violating Government Code Section 84200, subdivision (a).

Count 14: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of July 1 through December 31, 2015, by the February 1, 2016 due date, violating Government Code Section 84200, subdivision (a).

Count 15: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the semi-annual campaign statement for the reporting period of January 1 through June 30, 2015, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Count 16: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the semi-annual campaign statement for the reporting period of July 1 through December 31, 2015, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Counts 17-22: In or about 2015, Galvan and the Committee made six cash expenditures of \$100 or more, totaling approximately \$3,569.00, violating Government Code Section 84300, subdivision (b), as itemized in the chart below:

<b>Count No.</b>	<b>Date</b>	<b>Transaction</b>	<b>Amount</b>	<b>Payee</b>	<b>Notes</b>
<b>17</b>	3/9/2015	[Cash withdrawal] Customer withdrawal image	\$500.00	Kirk Kim	Cashier's Check for \$500, no fees
<b>18</b>	3/10/2015	[Cash withdrawal] Customer withdrawal image	\$160.00	Daniel Beckham	Cashier's Check for \$150, plus \$10 Fee
<b>19</b>	3/27/2015	[Cash withdrawal] Customer withdrawal image	\$200.00	Denise Carias	Cashier's Check for \$200, no fees

Count No.	Date	Transaction	Amount	Payee	Notes
20	3/27/2015	[Cash withdrawal] Customer withdrawal image	\$1,125.00	Fidel Rodriguez	Cashier's Check for \$1,125, no fees
21	5/20/2015	[Cash withdrawal] Customer withdrawal image	\$973.95	Isaac Galvan	Cashier's Check for \$973.95, no fees
22	12/21/2015	[Cash withdrawal] Customer withdrawal image	\$610.00	Unknown	No withdrawal slip included with bank records
<b>TOTAL</b>			<b>\$3,568.95</b>		

Count 23: For the reporting period of January 1 through June 30, 2015, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 24: For the reporting period of July 1 through December 31, 2015, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 25: Galvan and the Committee failed to timely file a preelection campaign statement for the reporting period of January 1 through April 23, 2016, by the April 28, 2016 due date, violating Government Code Section 84200.5 and 84200.8.

Count 26: Galvan and the Committee failed to timely file a preelection campaign statement for the reporting period of April 24 through May 21, 2016, by the May 26, 2016 due date, violating Government Code Section 84200.5 and 84200.8.

Count 27: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of May 22 through June 30, 2016, by the August 1, 2016 due date, violating Government Code Section 84200, subdivision (a).

Count 28: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of July 1 through December 31, 2016, by the January 31, 2017 due date, violating Government Code Section 84200, subdivision (a).

Count 29: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the preelection campaign statement for the reporting period of January 1 through April 23, 2016, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Count 30: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the

preelection campaign statement for the reporting period of April 24 through May 21, 2016, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Count 31: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the preelection campaign statement for the reporting period of May 22 through June 30, 2016, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Count 32: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the semi-annual campaign statement for the reporting period of July 1 through December 31, 2016, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Counts 33-45: In or about 2016, Galvan and the Committee made 13 cash expenditures of \$100 or more, totaling approximately \$8,906.00, violating Government Code Section 84300, subdivision (b), as itemized in the chart below:

<b>Count No.</b>	<b>Date</b>	<b>Transaction</b>	<b>Amount</b>	<b>Payee</b>	<b>Notes</b>
<b>33</b>	4/6/2016	[Cash withdrawal] Customer withdrawal image	\$160.00	Robert Long	Cashier's Check for \$150 plus \$10 Fee
<b>34</b>	9/6/2016	[Cash withdrawal] Customer withdrawal image	\$130.00	Seantae Hughes	Cashier's Check for \$120 plus \$10 Fee
<b>35</b>	9/14/2016	[Cash withdrawal] Customer withdrawal image	\$1,010.00	Seantae Hughez	Cashier's Check for \$1,000 plus \$10 Fee
<b>36</b>	9/26/2016	The McCormick Withdrawal – [Hotel/Resort] Scottsdale, Arizona	\$203.00	Unknown	Cash Withdrawal
<b>37</b>	9/26/2016	The McCormick Withdrawal – [Hotel/Resort] Scottsdale, Arizona	\$103.00	Unknown	Cash Withdrawal
<b>38</b>	10/18/2016	[Cash withdrawal] Customer withdrawal image	\$510.00	Unknown	Cash Withdrawal
<b>39</b>	10/24/2016	[Cash withdrawal] Customer withdrawal image	\$510.00	CCA Foods Catering	Cashier's Check for \$500 plus \$10 Fee
<b>40</b>	11/21/2016	[Cash withdrawal] Customer withdrawal image	\$3,500.00	Unknown	Cash Withdrawal
<b>41</b>	12/5/2016	[Cash withdrawal] Customer withdrawal image	\$310.00	Eliana Mendoza	Cashier's Check for \$300 plus \$10 Fee

Count No.	Date	Transaction	Amount	Payee	Notes
42	12/6/2016	[Cash withdrawal] Customer withdrawal image	\$1,500.00	Sergio Calderon	Cashier's Check for \$1,500, no fees
43	12/12/2016	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$360.00	Unknown	Cash Withdrawal
44	12/20/2016	[Cash withdrawal] Customer withdrawal image	\$300.00	Eliana Mendoza	Cashier's Check for \$300, no fees
45	12/27/2016	[Cash withdrawal] Customer withdrawal image	\$310.00	City of Compton	Cashier's Check for \$300 plus \$10 Fee
	<b>TOTAL</b>		<b>\$8,906.00</b>		

Count 46: For the reporting period of January 1 through April 23, 2016, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 47: For the reporting period of April 24 through May 21, 2016, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 48: For the reporting period of May 22 through June 30, 2016, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 49: For the reporting period of July 1 through December 31, 2016, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 50: Galvan and the Committee failed to timely file a preelection campaign statement for the reporting period of January 1 through March 4, 2017, by the March 9, 2017 due date, violating Government Code Section 84200.5 and 84200.8.

Count 51: Galvan and the Committee failed to timely file a preelection campaign statement for the reporting period of March 5 through April 1, 2017, by the April 6, 2017 due date, violating Government Code Section 84200.5 and 84200.8.

Count 52: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of April 2 through June 30, 2017, by the July 31, 2017 due date, violating Government Code Section 84200, subdivision (a).

Count 53: Galvan and the Committee failed to timely file a semi-annual campaign statement for the reporting period of July 1 through December 31, 2017, by the January 31, 2018 due date, violating Government Code Section 84200, subdivision (a).

Counts 54-69: In or about 2017, Galvan and the Committee failed to timely file sixteen 24-hour contribution reports totaling approximately \$36,500.00, violating Government Code Section 84203, subdivision (a), as itemized in the chart below:

Count No.	Contribution Made On or About	Contributor	Amount	Report Due On or About	Date Filed: City Clerk	Days Late
54	1/20/2017	Service Employees International Union Local 721, CTW, CLC, State & Local – All Purpose, ID# 743794	\$1,000.00	1/21/2017	Not yet filed	920
55	1/30/2017	Maria Camacho	\$1,500.00	1/31/2017	Not yet filed	910
56	2/15/2017	Craig Garrett Cook	\$1,000.00	2/16/2017	Not yet filed	894
57	2/16/2017	Chris Brown	\$1,000.00	2/17/2017	Not yet filed	893
		Juan J. Sotelo	\$1,000.00			
		OSE International, Inc.	\$1,500.00			
		Raul Merin Garcia DBA Precision Truck Tires Service	\$1,000.00			
		Ray Sik Yun	\$1,000.00			
		Tafoya & Garcia, LLP	\$1,500.00			
		TLM Petro Labor Force, Inc.	\$1,000.00			
58	2/18/2017	JT Construction Group, Inc.	\$2,000.00	2/19/2017	Not yet filed	891
59	2/22/2017	DeMenno Kerdoon	\$2,500.00	2/23/2017	Not yet filed	888
60	3/7/2017	Law office of Felipe Plascencia, Inc., A Professional Corp.	\$1,000.00	3/8/2017	Not yet filed	874
61	3/16/2017	Avetik Harutunian	\$1,000.00	3/17/2017	Not yet filed	865
62	3/17/2017	Ms. Yanka V. Burgos	\$2,000.00	3/18/2017	Not yet filed	864
63	3/20/2017	Compton Commercial Development Renaissance Plaza, LLC	\$2,500.00	3/21/2017	Not yet filed	861



Count No.	Contribution Made On or About	Contributor	Amount	Report Due On or About	Date Filed: City Clerk	Days Late
64	3/22/2017	Service Employees International Union Local 721, CTW, CLC, State & Local – All Purpose, ID# 743794	\$2,000.00	3/23/2017	Not yet filed	859
65	3/31/2017	Levy Affiliated Holdings, LLC	\$1,000.00	4/1/2017	Not yet filed	850
66	4/4/2017	2CGPAK LLC DBA Archeon Group	\$2,500.00	4/5/2017	Not yet filed	847
		Meng Chung Wu	\$1,500.00			
		Ryon College, LLC	\$2,500.00			
67	4/5/2017	Top Notch Commercial Truck Wash, Inc.	\$1,000.00	4/6/2017	Not yet filed	846
68	4/12/2017	Katherman For WRD 2016 Election Committee	\$1,000.00	4/13/2017	Not yet filed	838
69	4/17/2017	DeMenno Kerdoon	\$2,500.00	4/18/2017	Not yet filed	833
<b>TOTAL</b>			<b>\$36,500.00</b>			

Count 70: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the preelection campaign statement for the reporting period of January 1 through March 4, 2017, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Count 71: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the preelection campaign statement for the reporting period of March 5 through April 1, 2017, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Count 72: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the semi-annual campaign statement for the reporting period of April 2 through June 30, 2017, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Count 73: Galvan and the Committee failed to timely report all contributions and expenditures, and/or failed to disclose all required contributor and payee information on the semi-annual campaign statement for the reporting period of July 1 through December 31,

2017, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

Counts 74-132: In or about 2017, Galvan and the Committee made 59 cash expenditures of \$100 or more, totaling approximately \$49,978, violating Government Code Section 84300, subdivision (b), as itemized in the chart below:

Count No.	Date	Transaction	Amount	Payee	Notes
74	1/6/2017	[Cash withdrawal] Customer withdrawal image	\$610.00	Eliana Mendoza	Cashier's Check for \$600, plus \$10 Fee
75	1/6/2017	[Cash withdrawal] Customer withdrawal image	\$1,925.00	Ramsey Bridges	Cashier's Check for \$1,915, plus \$10 Fee
76	1/6/2017	[Cash withdrawal] Customer withdrawal image	\$710.00	Luis Arandia	Cashier's Check for \$700, plus \$10 Fee
77	1/23/2017	[Cash withdrawal] Customer withdrawal image	\$2,010.00	City of Compton	Cashier's Check for \$2,000, plus \$10 Fee
78	1/31/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$250.00	Unknown	Cash Withdrawal
79	2/6/2017	[Cash withdrawal] Customer withdrawal image	\$260.00	Natalie Cervantes	Cashier's Check for \$200, plus \$10 Fee
80	2/13/2017	[Cash withdrawal] Customer withdrawal image	\$260.00	Natalie Cervantes	Cashier's Check for \$250, plus \$10 Fee
81	2/13/2017	[Cash withdrawal] Customer withdrawal image	\$360.00	Sergio Calderon	Cashier's Check for \$350, plus \$10 Fee
82	2/14/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$260.00	Unknown	Cash Withdrawal
83	2/21/2017	[Cash withdrawal] Customer withdrawal image	\$450.00	Sergio Calderon	Cashier's Check for \$450, no fees
84	2/23/2017	[Cash withdrawal] Customer withdrawal image	\$200.00	Jeanine Valdez	Cashier's Check for \$200, no fees
85	2/24/2017	[Cash withdrawal] Customer withdrawal image	\$410.00	Natalie Cervantes	Cashier's Check for \$400, plus \$10 Fee
86	2/27/2017	[Cash withdrawal] BOA ATM withdrawal – East Compton	\$160.00	Unknown	Cash Withdrawal
87	2/28/2017	[Cash withdrawal] Customer withdrawal image	\$210.00	Vanessa Aguirre	Cashier's Check for \$200, plus \$10 Fee
88	2/28/2017	[Cash withdrawal] Customer withdrawal image	\$170.00	Sergio Calderon	Cashier's Check for \$160, plus \$10 Fee

Count No.	Date	Transaction	Amount	Payee	Notes
89	3/2/2017	[Cash withdrawal] Customer withdrawal image	\$510.00	Thomas [sic] Alvarado	Cashier's Check for \$500, plus \$10 Fee
90	3/2/2017	[Cash withdrawal] Customer withdrawal image	\$360.00	Natalie Cervantes	Cashier's Check for \$350, plus \$10 Fee
91	3/2/2017	[Cash withdrawal] Customer withdrawal image	\$460.00	Segio [sic] Calderon	Cashier's Check for \$450, plus \$10 Fee
92	3/9/2017	[Cash withdrawal] Customer withdrawal image	\$100.00	Vanessa Aguiree	Cashier's Check for \$100, no fees
93	3/10/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$1,100.00	Unknown	Cash Withdrawal
94	3/14/2017	[Cash withdrawal] Customer withdrawal image	\$100.00	Vanessa Aguiree	Cashier's Check for \$100, no fees
95	3/16/2017	[Cash withdrawal] Customer withdrawal image	\$3,108.23	Angel Gonzalez	Cashier's Check for \$3,098.23, plus \$10 Fee
96	3/17/2017	[Cash withdrawal] Customer withdrawal image	\$360.00	Natalie Cervantes	Cashier's Check for \$350, plus \$10 Fee
97	3/17/2017	[Cash withdrawal] Customer withdrawal image	\$285.00	Andrew Delgado	Cashier's Check for \$275, plus \$10 Fee
98	3/17/2017	[Cash withdrawal] Customer withdrawal image	\$660.00	Thomas [sic] Alvarado	Cashier's Check for \$650, plus \$10 Fee
99	3/20/2017	[Cash withdrawal] Customer withdrawal image	\$318.00	Eric Luba	Cashier's Check for \$308, plus \$10 Fee
100	3/20/2017	[Cash withdrawal] Customer withdrawal image	\$510.00	Eliana Mendoza	Cashier's Check for \$500, plus \$10 Fee
101	3/20/2017	[Cash withdrawal] Customer withdrawal image	\$318.00	Susie Lopez	Cashier's Check for \$308, plus \$10 Fee
102	3/23/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$510.00	Unknown	Cash Withdrawal
103	3/23/2017	[Cash withdrawal] Customer withdrawal image	\$2,261.95	Angel Gonzalez	Cashier's Check for \$2,261.95, plus \$10 Fee
104	3/23/2017	[Cash withdrawal] Customer withdrawal image	\$510.00	Thomas [sic] Alvarado	Cashier's Check for \$500, plus \$10 Fee
105	3/23/2017	[Cash withdrawal] Customer withdrawal image	\$460.00	Sergio Calderon	Cashier's Check for \$450, plus \$10 Fee
106	3/27/2017	[Cash withdrawal] Customer withdrawal image	\$2,981.94	Angel Gonzalez	Cashier's Check for \$2,981.94, no fees

Count No.	Date	Transaction	Amount	Payee	Notes
107	3/27/2017	[Cash withdrawal] Customer withdrawal image	\$234.00	Lizy Lopez	Cashier's Check for \$224, plus \$10 Fee
108	3/27/2017	[Cash withdrawal] Customer withdrawal image	\$260.00	Thomas [sic] Alvarado	Cashier's Check for \$250, plus \$10 Fee
109	3/27/2017	[Cash withdrawal] Customer withdrawal image	\$310.00	Natalie Cervantes	Cashier's Check for \$300, plus \$10 Fee
110	3/27/2017	[Cash withdrawal] Customer withdrawal image	\$290.00	Erick Cuba	Cashier's Check for \$280, plus \$10 Fee
111	3/27/2017	[Cash withdrawal] Customer withdrawal image	\$250.00	Andrew Delgado	Cashier's Check for \$240, plus \$10 Fee
112	3/27/2017	[Cash withdrawal] Customer withdrawal image	\$106.00	Karen Tellez	Cashier's Check for \$96, plus \$10 Fee
113	3/29/2017	[Cash withdrawal] Customer withdrawal image	\$510.00	Chantal Lopes	Cashier's Check for \$500, plus \$10 Fee
114	4/3/2017	[Cash withdrawal] Customer withdrawal image	\$2,910.00	Angel Gonzalez	Cashier's Check for \$2,900, plus \$10 Fee
115	4/3/2017	[Cash withdrawal] Customer withdrawal image	\$2,910.00	Thomas [sic] Alvarado	Cashier's Check for \$2,900, plus \$10 Fee
116	4/5/2017	[Cash withdrawal] Customer withdrawal image	\$2,010.00	John Leung	Cashier's Check for \$2,000, plus \$10 Fee
117	4/5/2017	[Cash withdrawal] Customer withdrawal image	\$2,010.00	Angel Gonzalez	Cashier's Check for \$2,000, plus \$10 Fee
118	4/7/2017	[Cash withdrawal] Customer withdrawal image	\$180.00	Eliana Mendoza	Cashier's Check for \$170, plus \$10 Fee
119	4/7/2017	[Cash withdrawal] Customer withdrawal image	\$510.00	Tomas Alvarado	Cashier's Check for \$500, plus \$10 Fee
120	4/7/2017	[Cash withdrawal] Customer withdrawal image	\$260.00	Natalie Cervantes	Cashier's Check for \$250, plus \$10 Fee
121	4/7/2017	[Cash withdrawal] Customer withdrawal image	\$260.00	Andrew Delgado	Cashier's Check for \$250, plus \$10 Fee
122	4/10/2017	[Cash withdrawal] Customer withdrawal image	\$4,010.00	Angel Gonzalez	Cashier's Check for \$4,000, plus \$10 Fee
123	4/10/2017	[Cash withdrawal] Customer withdrawal image	\$1,110.00	Sergio Calderon	Cashier's Check for \$1,100, plus \$10 Fee
124	4/10/2017	[Cash withdrawal] Customer withdrawal image	\$210.00	Vaness [sic] Aguirre	Cashier's Check for \$200, plus \$10 Fee
125	4/14/2017	[Cash withdrawal] Customer Withdrawal image	\$210.00	Tomas Alvarado	Cashier's Check for \$200, plus \$10 Fee

Count No.	Date	Transaction	Amount	Payee	Notes
126	4/19/2017	[Cash withdrawal] Customer Withdrawal image	\$1,310.00	Sergio Calderon	Cashier's Check for \$1,300, plus \$10 Fee
127	4/19/2017	[Cash withdrawal] Customer Withdrawal image	\$1,210.00	Tomas Alvarado	Cashier's Check for \$1,200, plus \$10 Fee
128	5/9/2017	[Cash withdrawal] Customer Withdrawal Image	\$1,010.00	Sergio Calderon	Cashier's Check for \$1,000, plus \$10 Fee
129	5/9/2017	[Cash withdrawal] Customer Withdrawal Image	\$2,310.00	Angel Gonzalez	Cashier's Check for \$2,300, plus \$10 Fee
130	5/9/2017	[Cash withdrawal] Customer Withdrawal Image	\$410.00	Unknown	Likely a Cashier's Check for \$400, plus \$10 Fee
131	6/5/2017	[Cash withdrawal] Customer withdrawal image	\$1,010.00	Yolanda Pantoja	Cashier's Check for \$1,000, plus \$10 Fee
132	6/5/2017	[Cash withdrawal] Customer withdrawal image	\$1,010.00	Sergio Calderon	Cashier's Check for \$1,000, plus \$10 Fee
		<b>TOTAL</b>	<b>\$49,978.12</b>		

Count 133: For the reporting period of January 1 through March 4, 2017, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 134: For the reporting period of March 5 through April 1, 2017, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 135: For the reporting period of April 2 through June 30, 2017, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Count 136: For the reporting period of July 1 through December 31, 2017, Galvan and the Committee failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

Counts 137-183: In or about 2015 through 2017, Galvan spent approximately \$36,771 of campaign funds on personal expenditures not related to a political, legislative, or governmental purpose in violation of Government Code Sections 89512 and 89517, as itemized in the chart below:

Count No.	Date	Payee	Amount
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Count No.	Date	Payee	Amount
137	2/17/2015	[Checkcard] Four Seasons Bourbon Steak – [Restaurant] Washington, DC	\$313.50
138	2/20/2015	[Checkcard] Yxta Cocina Mexicana – [Restaurant] Downtown Los Angeles	\$302.08
139	3/30/2015	[Checkcard] STK Los Angeles – [Restaurant] West Beverly Hills	\$278.52
140	4/22/2015	[Checkcard] Stubhub Center [Sports/Entertainment Venue]	\$5,850.00
141	5/4/2015	[Checkcard] Real De Oaxaca Restaurant – Lynwood	\$376.20
142	5/11/2015	[Checkcard] AXS Tickets – SHC Events [Sports/Entertainment Venue]	\$1,340.10
		[Checkcard] Stubhub Center Carson [Sports/Entertainment Venue]	\$129.31
		[Checkcard] Stubhub, Inc. [Sports/Entertainment Venue]	\$225.20
143	8/10/2015	[Checkcard] Babita Mexicuisine – [Restaurant] San Gabriel	\$340.96
144	8/28/2015	Wal-Mart – [Purchase] Paramount	\$303.94
		Wal-Mart – [Purchase] Paramount	\$115.56
145	11/23/2015	[Checkcard] Staples Center – [Sports/Entertainment Venue] Los Angeles	\$1,571.87
146	12/21/2015	[Cash withdrawal] Customer withdrawal image	\$610.00
		<b>Total 2015 Personal Use</b>	<b>\$11,757.24</b>

147	1/12/2016	[Checkcard] Southwest Airlines	\$435.96
148	1/12/2016	[Checkcard] Sheraton Hotel – Sacramento	\$505.45
149	1/14/2016	[Checkcard] Chops Steakhouse – [Restaurant] Sacramento	\$327.91
150	1/19/2016	[Checkcard] Hyatt Hotels – Sacramento	\$401.95
151	2/8/2016	[Checkcard] Hyatt Hotels – Sacramento	\$300.08
152	9/16/2016	[Checkcard] La Tequila Restaurant – Maywood	\$575.75
153	9/26/2016	[Checkcard] Millennium McCormick – [Hotel/Resort] Scottsdale, Arizona	\$62.09
		The McCormick Withdrawal – [Hotel/Resort] Scottsdale, Arizona	\$203.00
		The McCormick Withdrawal – [Hotel/Resort] Scottsdale, Arizona	\$103.00
		The McCormick – [Withdrawal Fee] Scottsdale, Arizona	\$2.50
		The McCormick – [Withdrawal Fee] Scottsdale, Arizona	\$2.50
154	10/13/2016	[Checkcard] Disneyland Main Entrance – DLR Anaheim	\$400.00
155	10/17/2016	[Checkcard] La Tequila Restaurant – Maywood	\$275.00
156	10/18/2016	[Cash withdrawal] Customer withdrawal image	\$510.00

<b>157</b>	11/7/2016	[Checkcard] Cities Restaurant – Los Angeles	\$317.20
<b>158</b>	11/21/2016	[Cash withdrawal] Customer withdrawal image	\$3,500.00
<b>159</b>	12/5/2016	[Checkcard] Yard House – [Restaurant] Los Angeles	\$400.66
<b>160</b>	12/27/2016	[Checkcard] Fogo de Chao Brazilian Steakhouse – [Restaurant] Los Angeles	\$415.35
		<b>Total 2016 Personal Use</b>	<b>\$8,738.40</b>

<b>161</b>	1/3/2017	[Checkcard] Lure Nightclub – Hollywood	\$310.30
<b>162</b>	1/17/2017	[Checkcard] Catch LA – [Restaurant] West Hollywood	\$676.38
<b>163</b>	1/23/2017	[Checkcard] Mastro’s Steakhouse – [Restaurant] Costa Mesa	\$877.63
<b>164</b>	1/27/2017	[Checkcard] 71 Above Restaurant – Los Angeles	\$572.21
<b>165</b>	1/31/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$250.00
<b>166</b>	2/6/2017	[Purchase] Sky Mobile – [Mobile Phone Shop] Compton	\$210.00
<b>167</b>	2/14/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$260.00
<b>168</b>	2/16/2017	[Checkcard] TGI Friday’s – [Restaurant] Compton	\$230.20
<b>169</b>	2/21/2017	[Checkcard] Spear Steak & Seafood – [Restaurant] Los Angeles	\$1,315.83
<b>170</b>	2/21/2017	[Checkcard] Drago Centro – [Restaurant] Los Angeles	\$249.58
<b>171</b>	2/21/2017	[Checkcard] Lure Nightclub – Hollywood	\$1,044.00
<b>172</b>	3/6/2017	[Checkcard] Project Club LA – [Nightclub] Los Angeles	\$1,160.05
<b>173</b>	3/9/2017	[Purchase] Sky Mobile – [Mobile Phone Shop] Compton	\$403.00
<b>174</b>	3/10/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$1,100.00
<b>175</b>	3/23/2017	[Cash withdrawal] CA TLR cash withdrawal from CHK 2198	\$510.00
<b>176</b>	3/24/2017	[Checkcard] Mad Mind Studios – [Graphic/Web Design] Los Angeles	\$400.00
<b>177</b>	3/27/2017	[Checkcard] Alternative Source Printing Monterey Park	\$463.25
<b>178</b>	4/3/2017	[Checkcard] Tickets* LA Dodgers – [Professional Baseball Game Tickets] Los Angeles	\$4,500.00
<b>179</b>	6/12/2017	[Checkcard] Paypal *Chemeriacom	\$400.00
<b>180</b>	6/29/2017	[Checkcard] Wally’s Vinoteca – [Bar/Wine & Spirits] Beverly Hills	\$248.15
<b>181</b>	7/7/2017	[Checkcard] Mad Mind Studios – [Graphic/Web Design] Los Angeles	\$250.00

<b>182</b>	7/26/2017	[Checkcard] Betty Yee for Controller	\$500.00
<b>183</b>	8/7/2017	[Checkcard] Doubletree Carson Plaza [Hotel] Carson	\$344.94
		<b>Total 2017 Personal Use</b>	<b>\$16,275.52</b>
<b>TOTAL 2015 – 2017</b>			<b>\$36,771.16</b>

**3. In the Matter of Michael Lorig and Lorig for Auditor-Controller 2018, Case No. 19/1526.** On January 22, 2021, probable cause was found to believe Respondents committed the following violations of the Act:

Count 1: Lorig and the Committee failed to timely file an amendment to the Committee's statement of organization to disclose the Committee's date of qualification by the May 7, 2018 due date, in violation of Government Code Section 84103.

Count 2: On or around April 23, 2018, Lorig and the Committee failed to disclose on its statement of organization the name and address of the financial institution in which the Committee had established an account and the account number, in violation of Government Code Section 84102, subdivision (f).

Count 3: Lorig and the Committee failed to timely file a 24-hour contribution report for a late contribution received in the form of a loan on or about April 26, 2018, by the April 27, 2018 due date, in violation of Government Code Section 84203.

Count 4: Lorig and the Committee failed to timely file a 24-hour contribution report for a late contribution received in the form of a loan on or about May 19, 2018, by the May 21, 2018 due date, in violation of Government Code Section 84203.

Count 5: Lorig and the Committee failed to timely file a semi-annual campaign statement for the reporting period ending June 30, 2018, by the July 31, 2018 due date, in violation of Government Code Section 84200.

Count 6: Lorig and the Committee failed to timely file a semi-annual campaign statement for the reporting period ending December 31, 2018, by the January 31, 2019 due date, in violation of Government Code Section 84200.

Count 7: Lorig and the Committee failed to timely file a semi-annual campaign statement for the reporting period ending June 30, 2019, by the July 31, 2019 due date, in violation of Government Code Section 84200.

Count 8: Lorig and the Committee failed to timely file a semi-annual campaign statement for the reporting period ending December 31, 2019, by the January 31, 2020 due date, in violation of Government Code Section 84200.



Count 9: Lorig and the Committee failed to timely file a semi-annual campaign statement for the reporting period ending June 30, 2020, by the July 31, 2020 due date, in violation of Government Code Section 84200.

Count 10: Lorig and the Committee failed to timely report certain vendor information for payments made totaling approximately \$8,190, approximately 77% of the Committee's total expenditures, for the reporting periods occurring between January 1, 2018 and May 19, 2018, in violation of Government Code Section 84211, subdivision (k).

Count 11: Between January 1, 2018 and May 19, 2018, Lorig and the Committee failed to make approximately \$3,694.98 of its expenditures from the Committee's designated campaign bank account, in violation of Government Code Section 85201, subdivisions (d) and (e).

Count 12: During or about reporting periods occurring between April 23, 2018 and June 30, 2020, Lorig and the Committee failed to maintain detailed campaign records for contributions totaling at least \$8,817 and for expenditures totaling at least \$10,546, in violation of Government Code Section 84104.

4. ***In the Matter of Committee to Oppose Measure E, and James R. Nyman, Case No. 18/206.*** On January 29, 2021, probable cause was found to believe Respondent committed the following violations of the Act:

Count 1: The Committee and Nyman failed to timely file a preelection campaign statement for the reporting period of February 25, 2018 to March 24, 2018, in violation of Sections 84200.5, subdivision (a); and 84200.8, subdivision (b).

Count 2: The Committee and Nyman failed to timely report \$329 in contributions, \$999 in loans, \$2,469 in expenditures, and \$1,885 in subvendor payments on the Committee's semiannual campaign statement for the reporting period of March 25, 2018 to June 30, 2018, in violation of Section 84211, subdivisions (a), (b), (c), (f), (g), (i), and (k); and 84303.

Count 3: The Committee and Nyman failed to timely file an amendment to the Committee's statement of organization after the Committee qualified, in violation of Section 84103, subdivision (a).

5. ***In the Matter of Hanford Now and Dan Chin, Case No. 16/711.*** On February 2, 2021, probable cause was found to believe Respondents committed the following violations of the Act:

Count 1: Hanford Now and Chin accepted a cash contribution of \$1,000 on March 1, 2016 in violation of Government Code Section 84300.

- Count 2: Hanford Now and Chin accepted a cash contribution of \$100 on March 10, 2016, in violation of Government Code Section 84300.
- Count 3: Hanford Now and Chin accepted a cash contribution of \$100 on March 11, 2016, in violation of Government Code Section 84300.
- Count 4: Hanford Now and Chin accepted a cash contribution of \$100 on March 14, 2016, in violation of Government Code Section 84300.
- Count 5: Hanford Now and Chin accepted a cash contribution of \$100 on March 15, 2016, in violation of Government Code Section 84300.
- Count 6: Hanford Now and Chin accepted cash contributions of \$2,100 on May 5, 2016, in violation of Government Code Section 84300.
- Count 7: Hanford Now and Chin accepted a cash contribution of \$500 on August 22, 2016, in violation of Government Code Section 84300.
- Count 8: Hanford Now and Chin accepted a cash contribution of \$500 on June 16, 2017, in violation of Government Code Section 84300.
- Count 9: Hanford Now and Chin accepted a cash contribution of \$3,600 on July 17, 2017, in violation of Government Code Section 84300.
- Count 10: Hanford Now and Chin accepted a cash contribution of \$1,000 on July 26, 2017, in violation of Government Code Section 84300.
- Count 11: Hanford Now and Chin falsely reported Sylvia Chin as the true source of a \$500 loan made to Hanford Now on or around August 5, 2017 – instead of reporting Chin as the true source of the loan, in violation of Government Code Section 84211 and Regulation 18533.
- Count 12: Hanford Now and Chin falsely reported Sylvia Chin as the true source of a \$2,100 loan made to Hanford Now on or around August 9, 2017 – instead of reporting Chin as the true source of the loan, in violation of Government Code Section 84211 and Regulation 18533.
- Count 13: Hanford Now and Chin falsely reported Sylvia Chin as the true source of a \$2,400 loan made to Hanford Now on or around August 11, 2017 – instead of reporting Chin as the true source of the loan, in violation of Government Code Section 84211 and Regulation 18533.
- Count 14: Hanford Now and Chin falsely reported Sylvia Chin as the true source of a \$1,000 loan made to Hanford Now on or around August 18, 2017, in violation of Government Code Section 84211 and Regulation 18533.

Count 15: Hanford Now and Chin falsely reported Sylvia Chin as the true source of a \$500 loan made to Hanford Now on or around August 21, 2017 – instead of reporting Chin as the true source of the loan, in violation of Government Code Section 84211 and Regulation 18533.

Count 16: Hanford Now and Chin failed to timely file an amended statement of organization within 10 days of the re-calculation period on September 30, 2017, to change the status of the committee from general purpose committee to a primarily formed committee. Hanford Now and Chin also failed to change the name of the committee to reflect that it was primarily formed, in violation of Government Code 84103 and Regulation 18531.5, subdivision (c)(3).

Count 17: Hanford Now and Chin failed to timely file a quarterly campaign statement for reporting period July 1, 2017 through September 30, 2017, by the October 31, 2017, deadline, in violation of Government Code Section 84202.3.

Count 18: Hanford Now and Chin failed to timely file a pre-election campaign statement covering the reporting period of October 1, 2017 – December 9, 2017, due December 14, 2017, in violation of Government Code Sections 84200.5 and 84200.8.

Count 19: Hanford Now and Chin failed to timely file a pre-election campaign statement covering the reporting period of December 10, 2017 – January 6, 2018, due January 11, 2018, in violation of Government Code Sections 84200.5 and 84200.8.

Count 20: Hanford Now and Chin failed to timely file a semi-annual campaign statement for the period ending June 30, 2018, due July 31, 2018, in violation of Government Code Section 84200.

Count 21: Hanford Now and Chin failed to timely file a semi-annual campaign statement for the period ending on December 31, 2018, due January 31, 2019, in violation of Government Code Section 84200.

## III. ADMINISTRATION & TECHNOLOGY DIVISION

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STAFF: LORESSA HON, CHIEF OF ADMINISTRATION

### **New Employee Report**

No New Staff to Report

### **Statement of Economic Interest (Form 700)**

As of February 5, 2021, there were 200 Form 700 non-filers. The Statement of Economic Interest Unit has made 200 non-filer referrals to the Enforcement Division.