

1 Amend 2 Cal. Code Regs., Section 18746.1 to read:

2 **§ 18746.1. Revolving Door; State One-Year Ban.**

3 (a) The prohibitions of Section 87406 apply to the following:

4 (1) A member of the Legislature.

5 (2) An elected state officer.

6 (3) A member of a state board or commission with “decisionmaking authority,” as that
7 term is defined in Regulation 18700(c)(2).

8 (4) An employee of a state administrative agency who holds a position that is designated
9 or should be designated in the agency's conflict-of-interest code.

10 (5) An individual who holds a position enumerated in Section 87200 appointed to or
11 employed by a state administrative agency.

12 (b) A public official covered by subdivision (a) of this regulation is prohibited from
13 making any appearance or communication if all of the following apply:

14 (1) The official has permanently left, as defined in Regulation 18746.4(b), any particular
15 office or employment specified in subdivision (a) of this regulation.

16 (2) The appearance or communication is made within one year after leaving that office or
17 employment. For a member of the Legislature who resigns from office, however, the prohibition
18 period commences with the effective date of the resignation and concludes one year after the
19 adjournment sine die of the session in which the resignation occurred, meaning it concludes one
20 year after the final termination of the legislative session.

21 (3) The public official is compensated, or promised compensation, for the appearance or
22 communication. For purposes of Section 87406, a payment made for necessary travel, meals, and

1 accommodations received directly in connection with voluntary services is not considered
2 compensation.

3 (4) The appearance or communication is made on behalf of any person as an agent,
4 attorney, or representative of that person. An appearance or communication made by a public
5 official relating solely to represent ~~his or her~~ the official's personal interests under Regulation
6 18704(d)(2) is not prohibited or limited by this section.

7 (5) The appearance or communication is made by any of the following for the purpose of
8 influencing, as defined in Regulation 18746.2:

9 (A) A former member of the Legislature in a legislative action.

10 (B) A former elected state official, other than a former member of the Legislature, in an
11 administrative action, or any discretionary action involving the issuance, amendment, awarding,
12 or revocation of a permit, license, grant or contract, or the sale or purchase of goods or property.

13 (C) A former official as specified in subdivision (a)(3) through (5) in a legislative or
14 administrative action, or any discretionary action involving the issuance, amendment, awarding,
15 or revocation of a permit, license, grant or contract, or the sale or purchase of goods or property.

16 (6) The appearance or communication is any of the following:

17 (A) Made by a former member of the Legislature before the Legislature, any committee
18 or subcommittee thereof, any present member of the Legislature, or any officer or employee
19 thereof.

20 (B) Made by a former elected state official, other than a former member of the
21 Legislature, before any state or administrative agency, or any officer or employee thereof.

22 (C) Made by any former official as specified in subdivision (a)(3) through (a)(5) before
23 any of the following:

1 (i) The state administrative agency, or officer or employee thereof, that the public official
2 worked for or represented as specified in subdivision (a)(3) through (5). An employee loaned to
3 an agency is deemed to have worked for or represented that agency.

4 (ii) Any state administrative agency, or officer or employee thereof, whose budget,
5 personnel, and other operations are subject to the direction and control of any agency described
6 in subdivision (b)(6)(C)(i). However, whether an agency is provided technical assistance or legal
7 advice, or is subject to oversight, by another agency pursuant to state law, are not factors to be
8 considered in determining whether an agency is subject to the direction and control of another.

9 (iii) Any state administrative agency, or officer or employee thereof, subject to the
10 direction and control of the Governor, if the official was a designated employee of the
11 Governor's office.

12 (c) Services performed to administer, implement, or fulfill the requirements of an existing
13 permit, license, grant, contract, or sale agreement are excluded from the prohibitions of Section
14 87406 and this regulation, provided the services do not involve the issuance, amendment,
15 awarding, or revocation of any of these actions or proceedings. However, the prohibitions of
16 Government Code Sections 87401 and 87402 may apply.

17 Note: Authority cited: Section 83112, Government Code. Reference: Section 87406,
18 Government Code.