

# ***PUBLIC INFORMATION SHEET***

## **Pasadena City Charter, Article XVII Taxpayer Protection Act**

The Taxpayer Protection Act ("TPA") is found at Article XVII of the City Charter. The City Council has adopted Guidelines for implementation of the TPA, which are updated as necessary.

### **I What actions does the TPA regulate?**

A. Generally, the TPA prohibits a "City public official" from exercising discretion to approve or voting to approve a "public benefit" and subsequently receiving a "personal or campaign advantage" from the person(s) who received the "public benefit" for specified time periods.

- The TPA is only triggered if the vote to confer the public benefit passes, or the public benefit is actually conferred.
- The TPA does not apply to the award of any public benefit arising out of an emergency as provided in Pasadena Municipal Code Section 4.08.150.
- The TPA does not apply to certain transactions which are not generally subject to influence by personal interests, such as government-to-government transactions and a narrow range of other transactions set forth in the Guidelines.
- The TPA does not apply to entities with a tax exempt status under Internal Revenue Code Section 501(c)(3), (4), or (6).

B. The TPA also prohibits a Council member or candidate for City Council from accepting "personal or campaign advantages" from entities who are in negotiations with the City regarding a contract, lease, or land sale that will be approved by the Council.

### **II Who may be a "City public official"?**

The Guidelines define City public official as the person(s) who make the final discretionary approvals which trigger the TPA, and is generally limited to the following:

- City Council members;
- Planning Commission, Board of Zoning Appeals, Design Commission, Historic Preservation Commission, Arts Commission, and Code Enforcement Commission;
- City Manager or official designees thereof;
- Department heads and Operating Company directors or official designees thereof;
- Board Members of each of the Operating Companies;
- Fire and Police Retirement Board members.

*Excluded* from the definition of "City public official" are: (i) any Board member or employee of the Pasadena Unified School District; (ii) any person(s) making recommendations, or acting within a series of required approvals, preceding the last required approval; (iii) any hearing officers who are not City employees; and (iv) any person(s) approving as to form, content, process, or execution only.

### **III What are the "public benefits" that trigger the TPA?**

The granting of any of the following public benefits, or the aggregate of any of the following to the specified amount within one fiscal year, may trigger the TPA:

- personal services contracts in excess of \$25,000;
- the sale of material, equipment or supplies to the City in excess of \$25,000;
- the purchase, sale or lease of real property to or from the City in excess of \$25,000;
- non-competitive franchise awards with gross revenue of \$50,000 or more;
- specific land use approvals (defined in the Guidelines), with a value in excess of \$25,000;
- tax "abatement, exception, or benefit" of a value in excess of \$5,000; or
- the payment of "cash or specie" of a net value to the recipient of \$25,000.

#### **IV Who is the recipient of the "public benefit"?**

The recipient of the public benefit, and those subject to the pre-contractual blackout provisions, include the applicant and anyone who is an officer in or has more than a 10% interest in the applicant entity. The TPA requires the City to ask that the applicant disclose to the City its trustees, directors, partners, officers, and those with a 10% equity, participation or revenue interest in the applicant. This means that applicants for land use approvals may not apply through a representative, but must apply in their own name. *These disclosures are public records and must be provided to the public if requested.*

#### **V What "personal or campaign advantages" is a "City public official" prohibited from receiving?**

After a City public official grants a public benefit, or during the pre-contractual blackout period as applied to Council members/candidates, the public official or Council member/candidate may not receive the following from the applicant:

- Any gift, honoraria, emolument or personal or pecuniary benefit in excess of \$50; but excluding gift exceptions contained in the State Fair Political Practices Commission regulations (2 CCR 18940 et seq.); and excluding gifts from public agencies or gifts distributed by the City;
- Any employment for compensation; or
- Any campaign contribution for any Pasadena elective office or ballot measure committee controlled by the official.

#### **VI How does a "City public official" track compliance with the TPA?**

The City maintains three lists: (1) one of persons or entities receiving public benefits from the City; (2) another of those on the pre-contractual blackout period list; and (3) although not required as part of the TPA, a list of 501(c)(3), (4) and (6) entities who receive public benefits. City public officials and Council members/candidates have a separate, independent duty to ensure that they do not receive any personal or campaign advantages from persons on the first two lists in violation of the TPA.

#### **VII What are the penalties for violation of the TPA?**

A civil action may be brought against any City public official who accepts a personal or campaign advantage in violation of the TPA, and a civil monetary penalty may be imposed. Knowing or willful violations may result in a misdemeanor charge.

**GUIDELINES FOR IMPLEMENTATION OF THE  
TAXPAYER PROTECTION ACT ("TPA")  
(PASADENA CITY CHARTER, ARTICLE XVII)**

**I. DEFINITIONS**

- A. "City public official" is partially defined in the TPA as "any elected or appointed public official acting in an official capacity." The City interprets the definition to:
- i. Exclude any Board member or employee of the Pasadena Unified School District; any person(s) making recommendations, or acting within a series of required approvals, preceding the last required approval; any hearing officers who are not City employees; any person(s) approving as to form, content, process, or execution only.
  - ii. Include only the person(s) who make the final approval, and is intended to be limited to the following when they have made the final approval decision on a matter:
    1. City Council members;
    2. The following commission members, to the extent any action taken by the following commissions is subject to the TPA: Planning Commission, Board of Zoning Appeals, Design Commission, Historic Preservation Commission, Arts Commission, and Code Enforcement Commission;
    3. City Manager or official designees thereof;
    4. Department heads and Operating Company directors or official designees thereof;
    5. Board Members of each of the Operating Companies;
    6. Fire and Police Retirement Board members.
- B. "Public benefit" as defined in the TPA "does not include public employment in the normal course of business for services rendered . . ." and thus excludes memoranda of understanding or other contracts primarily concerning compensation, benefits, or employment arrangements between the City and any union, employee association or employee. "Public benefit" is defined in the TPA, and is limited to "a contract, benefit, or arrangement between the City and any individual, corporation, firm, partnership, association, or other person or entity to:
- i. provide "personal services" of a value in excess of \$25,000 over any 12 month period [the contract price is the presumed value];

- ii. sell or furnish any material, supplies, or equipment to the City of a value in excess of \$25,000 over any 12 month period [the sale/contract price is the presumed value];
- iii. buy or sell any real property to or from the City with a value in excess of \$25,000, or lease any real property to or from the City with a value in excess of \$25,000 in any 12 month period [the sale/purchase/lease price is the presumed value];
- iv. receive an award of a franchise from the City to conduct any business activity in a territory in which the City agrees to limit competition to provide similar and competitive services, and for which gross revenue from the business activity exceeds \$50,000 in any 12 month period;
- v. confer a land use variance, special use permit, or other exception to a pre-existing master plan or land use ordinance pertaining to real property where such decision has a value in excess of \$25,000 [the presumed value of the land use application is as indicated by the applicant on the application]. The land use terms used herein are undefined and, on a literal basis, may be inapplicable in Pasadena. Accordingly, they are clarified by reference to specific Pasadena Municipal Code sections as follows: "land use variance" shall mean variances (17.61.070), adjustment permits (17.61.080), or sign exceptions (17.48.050); "special use permit" shall mean temporary use permits (17.61.040), conditional use permits, and master plans (17.61.050), and expressive use permits (17.61.060); "exception to a pre-existing master plan" shall mean master plan inconsistency projects (17.61.050(I)(2)(c)); "exception to land use ordinance pertaining to real property" shall mean a planned development (17.26.020(C));
- vi. confer a tax abatement, exception, or benefit not applicable to the public generally of a value in excess of \$5,000 in any 12 month period [the value is that determined by the Finance Director]; or
- vii. receive cash or specie of a net value to the recipient in excess of \$25,000 in any 12 month period.
- viii. The "12-month period" referenced in subsections (i) through (vii) above shall run concurrent with the City's fiscal calendar: July 1 through June 30.
- ix. The City need not track public benefits of less than \$5,000 (except as provided in subsection vi., above) unless it is reasonably

foreseeable that the amounts under \$5,000 will cumulate in excess of the threshold amounts set forth above in any 12 month period.

- x. The annual amounts set forth above shall be adjusted on July 1 every five years, starting in 2010, per the Consumer Price Index, and rounded to the nearest \$1,000 dollars.
- xi. Public benefit excludes, among others:
  - 1. third party benefits and/or indirect benefits arising from the receipt of a public benefit;
  - 2. loans which are reasonably certain to be paid back and are not forgiven (regardless of the interest rate charged);
  - 3. sponsorships;
  - 4. membership dues or obligations;
  - 5. purchases made with credit cards held by individual mid-level staff members for ongoing, minor purchases which are not aggregated under the City's purchasing ordinance;
  - 6. transactions in which the City has set a standard fee for use of City facilities (e.g., renting out or licensing the use of convention center space), or receipt of City permits to use public property (e.g., park use permits);
  - 7. settlement agreements;
  - 8. public utility trading transactions; and
  - 9. competitive low bid contracts awarded pursuant to the requirements of PMC Section 4.08.045.

C. "Personal or campaign advantage" is limited to:

- i. any gift, honoraria, emolument, or personal pecuniary benefit of a value in excess of \$50; excluding gift exceptions contained in the FPPC regulations (2 CCR 18940 et seq.); and excluding gifts from public agencies or gifts distributed by the City, or
- ii. any employment for compensation, or
- iii. any campaign contributions for any Pasadena City elective office said official might pursue, or for any City ballot measure committee controlled by the public official. (Any Pasadena City official who receives contributions for a campaign outside the City from a public benefit recipient may not use or transfer such contributions to any election for a Pasadena City race.)

D. "Persons or entities receiving public benefits" excludes governmental entities; excludes any organization that is exempt from income taxation under Section 501(c)(3), (4) or (6) of the Internal Revenue Code; excludes the spouse of the public benefit recipient; excludes any

agent for the applicant if the agent is not listed in subpart D(ii), below; requires disclosure of the real party in interest,<sup>1</sup> and includes:

- i. the individual, corporation, firm, partnership, association, or other person or entity so benefiting, and
- ii. any individual or person who, at the time the public benefit is conferred, or within the 12 month period where the public benefit accrues, if applicable:
  1. has more than a 10% equity, participation, or revenue interest in that entity, or
  2. is a trustee, director, partner, or officer of that entity.
  3. If any of the entities disclosed under subsections 1 and 2 directly above are themselves a corporation, firm, partnership or other entity, the TPA does not require further disclosure of those interest holders or corporate officers.
- iii. any political committee as defined by Government Code Section 82013 or 2 U.S.C. 431(4), and its trustees, directors, partners, or officers, or those who control such committees.

## II. REQUIREMENTS OF TPA

- A. The TPA does not apply to the exercise of a ministerial duty, or to the award of any public benefit arising out of an emergency as provided in Pasadena Municipal Code Section 4.08.150.
- B. The language within the TPA is inconsistent and unclear regarding whether its requirements are triggered upon any vote to confer a public benefit, or only upon a vote that results in actual award of the public benefit. The City reconciles these inconsistencies by finding that the TPA's requirements are only triggered when a public benefit is actually conferred. The denial of an application, permit or contract does not confer a public benefit.
- C. The TPA sets forth a contract negotiating blackout period, during which a City Council member or candidate for City Council may not accept campaign contributions, or contributions to committees controlled by the Council member or candidate, from any entity (or its trustees, directors, partners, officers, and 10% equity, participation, or revenue interest holders) who bids on a contract with the City, or is negotiating a lease agreement or land sales agreement, to be approved by the City Council, with a value in excess of \$25,000. The blackout period applies from the time the negotiations commence (for those

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<sup>1</sup> For example, a land use applicant who wishes to remain anonymous may not avoid disclosure by causing a project planner, architect or other persons to complete a land use application on their behalf.

contracts requiring a bid, on the due date for all bids and if no date is specified, the date when a bid is submitted; for leases/land sales, when instructions to negotiate have been given by Council), until the time the negotiations have terminated (for those contracts requiring a bid, when the contract is awarded; for leases/land sales, when the lease/sale agreement is awarded). The pre-contractual blackout period does not apply to competitive and noticed low bid contracts awarded pursuant to the requirements of PMC Chapter 4.08.

- D. A person who receives a public benefit may hire the employer of a public official who voted to grant the public benefit if: (1) the public official is not working on the person's matter, and (2) the public official does not have a 10% (or more) equity, participation, or revenue interest in his/her employer.

### III. DUTIES OF THE CITY

- A. Notice: The City will provide any person or entity applying/competing for a "public benefit" with notice of the TPA requirements. The City has revised its model contracts, requests for proposals, purchase orders, and discretionary application forms to include such notice.
- i. All applicants for a public benefit must disclose those individuals or other entities which would be "persons or entities receiving public benefits," as requested by the City. This disclosure will be considered a public record and will be transmitted to the City public official/body as an attachment to the applicable staff report, or otherwise transmitted to the City public official/body prior to the grant of the public benefit.
  - ii. Failure of an applicant to make this disclosure may result in disqualification for award of the contract, forfeiture of bid security, and/or designation of an application as incomplete, at the City's discretion.
- B. Lists of benefits conferred and recipients: The City will maintain lists of public benefits conferred, the date on which the public benefit was conferred, the value of the public benefit, and the recipients of the public benefit. The lists will be updated as required to delete transactions to which application of the TPA has expired. The City assumes any responsibility for any errors or omissions on the lists, excluding the knowing or intentional failure of a City public official to report a qualifying public benefit which gives rise to an error on the

City's list, and excluding erroneous or incomplete representations by recipients of public benefits.

- i. The City Clerk will maintain the list with regard to any public benefits conferred by the City Council.
- ii. Each Operating Company and the Fire and Police Retirement Board will maintain their own respective list with regard to any public benefits conferred by those respective bodies.
- iii. Each department within the City will maintain the list with regard to any public benefit conferred by that department.

C. Nonprofit tracking list: Although the definition of "persons or entities receiving public benefit" excludes any organization that is exempt from income taxation under Section 501(c)(3), (4) or (6) of the Internal Revenue Code, the City shall maintain a separate list of all public benefits conferred on such organizations, the date on which the public benefit was conferred, and the recipients of the public benefit. This list is maintained for the sole purpose of promoting open government and public information.

D. Pre-contractual blackout list: The City will maintain a list of contracts, leases and land sales agreements being negotiated which fall under the pre-contractual blackout period. The City assumes any responsibility for any errors or omissions on the lists, excluding the knowing or intentional failure of a City public official to report an item under negotiation which gives rise to an error on the City's list, and excluding erroneous or incomplete representations by the party seeking the public benefits.

E. The City shall post all lists set forth in this section on the City's website by July 1, 2007.

#### IV. DUTIES OF CITY PUBLIC OFFICIALS

A. City public officials have the following duties under the TPA:

- i. Keep track of all actions by which a public benefit is conferred. As set forth in section III above, the City assumes this duty. City public officials must report any approval of a qualifying public benefit for entry into the City's list(s).
- ii. Keep track of all campaign contributions for those elective offices for which a City public official has declared an intent to run, or has



established an account into which campaign contributions are deposited.

- iii. Return any personal or campaign advantages received within 10 days of receipt, or within 10 days of becoming aware that the personal or campaign advantage was received in violation of the TPA.
- iv. Provide, upon inquiry, the names of all public benefit recipients known, by referring the inquiring party to the appropriate City department responsible for maintaining the lists.

#### V. INDEMNITY

In the event that a City public official is sued for violating the TPA, the City will defend and indemnify the City public official, to the extent allowed by law.

**Disclosure Pursuant to the  
City of Pasadena Taxpayer Protection Amendment  
Pasadena City Charter, Article XVII**

Contractor/Organization hereby discloses its trustees, directors, partners, officers, and those with more than 10% equity, participation, or revenue interest in Contractor/Organization, as follows:

*(If printing, please print legibly. Use additional sheets as necessary.)*

<b>1. Contractor/Organization Name:</b>
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<b>2. Type of Entity:</b> <input type="checkbox"/> non-government <input type="checkbox"/> nonprofit 501(c)(3), (4), or (6)
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<b>3. Name(s) of trustees, directors, partners, officers of Contractor/Organization:</b>

<b>4. Names of those with more than a 10% equity, participation or revenue interest in Contractor/Organization:</b>

Prepared by: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Date: \_\_\_\_\_

**Disclosure Pursuant to the  
City of Pasadena Taxpayer Protection Act  
Pasadena City Charter, Article XVII**

- I. Does the value of this application/project *have the potential* to exceed \$25,000?  Yes  No (Applicant *must* mark one)
- II. Is the application being made on behalf of a government entity?  Yes  No
- III. Is the application being made on behalf of a non-profit 501(c) organization?  Yes  No  
If yes, please indicate the type of 501(c) organization:  501(c)(3)  501(c)(4)  501(c)(6)

Applicant's name: \_\_\_\_\_ Date of Application: \_\_\_\_\_

Owner's name: \_\_\_\_\_ Contact phone number: \_\_\_\_\_  
(for questions regarding this form)

Project Address: \_\_\_\_\_

Project Description: \_\_\_\_\_

- IV. Applicant and Property Owner must disclose all joint owners, trustees, directors, partners, officers and those with more than a 10% equity, participation or revenue interest in owner and/or project. If any of these are an organization/entity, include the name of the organization/entity and the first and last names of all parties of interest of that organization/entity. (List all parties below and use additional sheets as necessary, or provide all parties on an attachment) Please print legibly.  
Have any additional sheets or an attachment been provided?  Yes  No

Names of Owner(s), Trustees, Directors, Partners, Officers of Owner/Project	Names of Owner(s), Trustees, Directors, Partners, Officers of Owner/Project (continued)	Those with more than a 10% equity, participation or revenue interest in Owner and/or project

I hereby certify that I am the owner or designated agent and that the statements and answers contained herein, and the information attached, are in all respects true, accurate and complete to the best of my knowledge and belief.

Signature of Owner or Designated Agent: \_\_\_\_\_ Date: \_\_\_\_\_

**For Office Use Only**

Type of Application:  Variance (all types)  Adjustment Permit  Sign Exception  Temporary Use Permit  Expressive Use Permit  
 Conditional Use Permit (excluding Master Plan)  Master Plan Amendment  Planned Development  Other

Assigned Planner: \_\_\_\_\_ PLN#: \_\_\_\_\_

Attached Address: \_\_\_\_\_  No Attached Address

Appealed:  Yes  No Appeal PLN# \_\_\_\_\_  Application Withdrawn

Final Decision:  Approved  Denied Decision Date: \_\_\_\_\_ Decision Maker: \_\_\_\_\_  
(Name and Title, or Name of Commission/Committee)

Votes in favor (please print):  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Last Name, First Name	Company Name	Effective Date	Report ID	Value/amount	Bogaard	Gordo	Holden	McAustin	Madison	Masuda	Robinson	Tomek	Haderlein	Entered
18 West	18 West	28-Feb-08	CS	\$2,250,000.00	yes	yes	yes	yes	yes		yes		yes	3/6/2008
199 South Los Robles Corp.	199 South Los Robles Corp. (LRC)	13-Sep-10	1.	\$386,264.00	yes	yes	yes	yes	yes		yes	yes		9/16/2010
Aadland, Ted	David Evans & Associates, Inc.	15-Oct-07	3.A.(1)	\$400,000.00	yes	yes	yes	yes	yes		yes		yes	10/16/2007
Aadland, Ted	David Evans & Associates, Inc.	15-Oct-07	3.A.(2)	\$400,000.00	yes	yes	yes	yes	yes		yes		yes	10/16/2007
Abassi, Raymond	Avant Garde Inc (Advanced Avant Garde	14-Apr-08	3.A.(4)	\$125,000.00	yes	yes	yes	yes	yes		yes		yes	4/15/2008
Abell, Marty	Environmental Science Association (ESA)	11-Jun-07	3.A.(4)	\$250,000.00	yes			yes	yes		yes		yes	6/14/2007
ACES Power Marketing LLC	ACES Power Marketing LLC	9-Apr-07	5.D.(2)	\$3,000,000.00	yes	yes	yes		yes					4/26/2007
Acey Decy Lighting	Acey Decy Lighting	11-Jun-07	7.C.(1)	\$152,000.00	yes			yes	yes				yes	7/3/2007
ACS State & Local Solutions, Inc.	ACS State & Local Solutions, Inc.	13-Jul-09	5.B.(1)	>\$25,000.00	yes	yes	yes	yes	yes		yes	yes	yes	7/14/2009
Adachi, Masayuki	HORIBA Instruments, Inc.	27-Apr-09	3.A.(6)	\$124,000.00	yes	yes	yes	yes	yes		yes			4/28/2009
Adams, Garold B.	Parsons Transportation Group, Inc.	12-Jun-06	3.A.(1)	\$225,000.00	yes	yes	yes							6/29/2006
Adams, Garold B.	Parsons Transportation Group, Inc.	10-Dec-07	3.A.(2)	\$200,000.00	yes	yes		yes			yes		yes	12/18/2007
Adams, Garold B.	Parsons Transportation Group, Inc.	12-Jan-09	3.A.(4)	\$527,805.72	yes			yes			yes		yes	1/15/2009
Adams, Garold B.	Parsons Transportation Group, Inc.	5-Oct-09	3.A.(7)	\$369,707.00	yes	yes	yes	yes			yes	yes	yes	10/13/2009
Adams, Garold B.	Parsons Transportation Group, Inc.	13-Dec-10	33	\$245,007.00	yes	yes	yes	yes	yes				yes	3/18/2011
Adams, Lynn L.	R.W. Beck, Inc., an SAIC Company	18-Oct-10	1.	>\$25,000.00		yes	yes	yes	yes		yes	yes	yes	10/21/2010
Adanta, David E.	Davey Resource Group, a division of The Davey	8-Nov-10	8	\$200,000.00	yes	yes	yes	yes	yes		yes	yes	yes	11/10/2010
Aderhok, Dan	Motorola, Inc.	8-Dec-08	3.A.(6)	\$6,900,000.00	yes	yes	yes	yes	yes		yes		yes	12/11/2008
Adilman, Aaron	Daniel, Mann, Johnson, & Mendenhall (DMJM)	24-Jul-06	5.B.(2)	\$325,000.00	yes	yes	yes		yes				yes	1/25/2007
Adolfson, Molly	Environmental Science Association (ESA)	11-Jun-07	3.A.(4)	\$250,000.00	yes			yes	yes		yes		yes	6/14/2007
Adrians, Thomas P.	Pierce Manufacturing, Inv.	14-Jul-08	5.B.(2)	\$196,358.00	yes	yes	yes	yes	yes		yes		yes	8/19/2008
Adystech, Inc.	Adystech, Inc.	26-Oct-09	2	\$48,267.00		yes	yes	yes	yes		yes	yes	yes	6/17/2010
Adystech, Inc.	Adystech, Inc.	26-Oct-10	n/a	\$50,168.00		yes	yes	yes	yes		yes	yes	yes	4/28/2010
Agardy, Frank	GKK Works	19-Oct-09	3.A.(2)	\$68,050.00	yes	yes	yes	yes			yes			10/20/2009
Ahmedi, Hamid	Motorola, Inc.	8-Dec-08	3.A.(6)	\$6,900,000.00	yes	yes	yes	yes	yes		yes		yes	12/11/2008
Ahmed, Naseer	TMAD, Teylor, & Gaines	26-Jan-09	3.A.(3)	\$69,680.00	yes	yes	yes	yes	yes		yes		yes	1/27/2009
Ahmed, Rashid	Walker Parking Consultants/Engineers, Inc.	28-Feb-11	5	\$126,000.00	yes	yes	yes	yes	yes		yes	yes	yes	3/7/2011
Air Cleaning Systems	Air Cleaning Systems	2-Mar-09	3.A.(2)	\$160,000.00	yes	yes	yes				yes			3/3/2009
AirComm Airborne Audio Products	AirComm Airborne Audio Products	30-Apr-07	3.A.(5)	\$259,549.00	yes		yes		yes					5/1/2007
Akbar, Imran	Motorola, Inc.	8-Dec-08	3.A.(6)	\$6,900,000.00	yes	yes	yes	yes	yes		yes		yes	12/11/2008
Akhavan, Hamid R.	Utility Data Contractors, Inc.	1-Jun-09	3.A.(5)	\$400,000.00	yes	yes	yes	yes	yes		yes	yes	yes	6/2/2009
Akhtar, Mohammad	Motorola, Inc.	8-Dec-08	3.A.(6)	\$6,900,000.00	yes	yes	yes	yes	yes		yes		yes	12/11/2008
Akins, Dan	Trademark Development, LLC	26-Mar-07	8.C.	\$179,889.00	yes	yes							yes	1/31/2008
Akins, Dan	Trademark Development, LLC	11-Aug-08	8.D.	>\$25,000.00	yes	yes	yes	yes			yes		yes	8/21/2008
Akins, Dan	Trademark Development, LLC	13-Oct-08	5.B.(2)	>\$25,000.00	yes	yes	yes	yes					yes	10/16/2008
Akins, Dan	Trademark Development Company, LLC	10-May-10	15.	\$689,400.00	yes	yes	yes	yes	yes		yes	yes	yes	5/11/2010
Albers, Michael P.	Walker Parking Consultants/Engineers, Inc.	28-Feb-11	5	\$126,000.00	yes	yes	yes	yes	yes		yes	yes	yes	3/7/2011
Albert Group Architects	Albert Group Architects	18-Dec-06	5.B.(1)	\$171,000.00	yes	yes	yes		yes				yes	12/21/2006
Albert Group Architects	Albert Group Architects	15-Dec-06	7.A.(1)	\$91,275.00	yes	yes	yes	yes	yes		yes		yes	12/18/2008
Albert Group, The	The Albert Group Architects	2-Jun-08	5.B.(3)	\$1,267,600.00	yes	yes	yes	yes	yes				yes	6/5/2008
Albert, Stephen M	The Albert Group Architects	2-Jun-08	5.B.(3)	\$1,267,600.00	yes	yes	yes	yes	yes				yes	6/5/2008
Albert, Stephen M.	Albert Group Architects	18-Dec-06	5.B.(1)	\$171,000.00	yes	yes	yes	yes	yes				yes	1/25/2007
Albert, Stephen M.	Albert Group Architects	15-Dec-08	7.A.(1)	\$91,275.00	yes	yes	yes	yes	yes		yes		yes	12/18/2008
Alberth, Bill	Motorola, Inc.	8-Dec-08	3.A.(6)	\$6,900,000.00	yes	yes	yes	yes			yes		yes	12/11/2008
Albers, Rick	Environmental Science Association (ESA)	11-Jun-07	3.A.(4)	\$250,000.00	yes			yes	yes		yes		yes	6/14/2007
Alexander, Dan	Seagrave Fire Apparatus, LLC	25-Feb-08	3.A.(1)	\$957,301.00	yes	yes	yes		yes		yes		yes	2/29/2008
Alexander, Dan	Seagrave Fire Apparatus, LLC	23-Mar-09	3.A.(4)	\$1,004,817.00	yes	yes	yes	yes			yes		yes	4/14/2009
Alexander, Dan	Seagrave Fire Apparatus, LLC	13-Sep-10	8.	\$1,183,340.00	yes	yes	yes	yes	yes		yes	yes	yes	9/18/2010
Alexander, Dan	Seagrave Fire Apparatus, LLC	11-Apr-11	1	\$130,000.00	yes	yes	yes	yes	yes		yes	yes	yes	4/14/2011
Afferi, scott	Dialogic Communications Corporation	14-Sep-09	3.A.(4)	\$100,400.00	yes		yes	yes	yes		yes	yes	yes	10/13/2009
Ali, Mohamad	Avaya, Inc.	9-Aug-10	2.	\$178,698.00	yes	yes	yes	yes	yes		yes	yes	yes	8/2/2010
Al-Imam, Ken	Mayer Hoffman McCann P.C.	22-Jun-09	5.B.(2)	>\$25,000.00	yes		yes	yes	yes			yes	yes	6/23/2009
All City Management Services Inc.	All City Management Services Inc	23-Jun-08	3.A.(7)	\$170,000.00	yes	yes	yes	yes	yes		yes		yes	6/26/2008
Allee, Debra	Environmental Science Association (ESA)	11-Jun-07	3.A.(4)	\$250,000.00	yes			yes	yes		yes		yes	6/14/2007
Allen, Keith O.	Coca-Cola Bottling Company of Los Angeles	23-Apr-10	5.A.(1)	>\$25,000.00	yes		yes						yes	8/6/2010
Allen, C.E.	Carmenita Truck Center	8-May-06	5.B.(1)	\$1,423,317.00	yes	yes	yes						yes	6/22/2006
Allen, Christopher O.	Daniel, Mann, Johnson, & Mendenhall (DMJM)	24-Jul-06	5.B.(2)	\$325,000.00	yes	yes	yes		yes				yes	12/16/2006
Allen, Jeffrey B.	SMV Technology Partners LLC	8-Oct-07	9.B.(2)	>\$25,000.00	yes	yes	yes	yes	yes		yes		yes	11/1/2007
Allen, Kerwin C.	Kennedy / Jenks Consultants, Inc.	28-Jun-06	3.A.(3)	\$25,000.00	yes	yes	yes	yes	yes				yes	10/26/2006
Allen, Stuart	Parsons Transportation Group, Inc.	12-Jun-08	3.A.(1)	\$225,000.00	yes	yes	yes							6/29/2008
Allen, Stuart	Parsons Transportation Group, Inc.	10-Dec-07	3.A.(2)	\$200,000.00	yes	yes		yes			yes		yes	12/18/2007
Allen, Stuart	Parsons Transportation Group, Inc.	12-Jan-09	3.A.(4)	\$527,805.72	yes			yes			yes		yes	1/15/2009
Allen, Stuart	Parsons Transportation Group, Inc.	5-Oct-09	3.A.(7)	\$369,707.00	yes	yes	yes	yes	yes		yes	yes	yes	10/13/2009
Allen, Stuart	Parsons Transportation Group, Inc.	13-Dec-10	33	\$245,007.00	yes	yes	yes	yes	yes				yes	3/18/2011
Allen-Brigden, LLC	Trademark Development Company, LLC	10-May-10	15.	\$689,400.00	yes	yes	yes	yes	yes		yes	yes	yes	5/11/2010

## TAXPAYER PROTECTION AMENDMENT

Under the provisions of the City of Pasadena Taxpayer Protection Amendment ("Taxpayer Protection Act"), the Contractor/Organization will be considered a "recipient of a public benefit." The full provisions of the Taxpayer Protection Act are set forth in Pasadena City Charter, Article XVII. Under the Taxpayer Protection Act, City public officials who approve this Contract are prohibited from receiving specified gifts, campaign contributions or employment from Contractor for a specified time. As well, if this Contract is to be approved by the City Council, Councilmembers or candidates for Council are prohibited from receiving campaign contributions during the time this Contract is being negotiated. This prohibition extends to individuals and entities that are specified and identified in the Taxpayer Protection Act and includes Contractor/Organization and its trustees, directors, partners, corporate officers and those with more than a 10% equity, participation, or revenue interest in Contractor/Organization. Contractor/Organization understands and agrees that: (A) Contractor/Organization is aware of the Taxpayer Protection Act; (B) Contractor/Organization will complete and return the forms provided by the City in order to identify all of the recipients of a public benefit specified in the Taxpayer Protection Act; and (C) Contractor/Organization will not make any prohibited gift, campaign contribution or offer of employment to any public official who negotiated and/or approved this Contract.