

**Fair Political Practices Commission**  
**Filing Schedule for**  
**Committees Primarily Formed to Support/Oppose Candidates for State Office**  
**Assembly District 1**  
**Listed on the August 27, 2019 and November 5, 2019 Ballots**

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Within 3 Business Days</b> <i>Top Ten Contributor List</i>	<b>Ongoing</b> <i>Except during the 16 days before the election – see notes</i>	<a href="#">T-10</a>	<ul style="list-style-type: none"> <li>Committees (primarily formed to support or oppose a state candidate) that raise \$1,000,000 or more, and that have received contributions of \$10,000 or more from a single source, must file a list of their top ten contributors. <b>If the requirement is triggered within 16 days of the election, Form T-10 must be filed within 2 business days.</b></li> <li>The Form T-10 must be filed with the FPPC by e-mail only.</li> </ul>
<b>Within 10 Days</b> <i>Independent Expenditure Verification</i>	<b>Ongoing</b>	<a href="#">462</a>	<ul style="list-style-type: none"> <li>Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required.</li> <li>Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure.</li> </ul>
<b>Within 24 Hours</b> <i>Election Cycle Reports</i>	<b>6/19/19 – 8/27/19</b> <i>(Special Primary)</i>  <b>8/7/19 – 11/5/19</b> <i>(Special General)</i>	<a href="#">496</a> <a href="#">497</a>	<ul style="list-style-type: none"> <li><b>496:</b> File if an independent expenditure of \$1,000 or more in the aggregate is made in connection with a candidate or ballot measure being voted on the August 27 and/or November 5 ballots.</li> <li><b>497:</b> File if a contribution of \$1,000 or more in the aggregate is received from a single source.</li> <li><b>497:</b> File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the August 27 and/or November 5 ballots, or made to a political party committee.</li> <li>The recipient of a non-monetary contribution must file a Form 497 within 48 hours from the time the non-monetary contribution is received.</li> <li>E-file only. No paper copy is required</li> </ul>
<u>If combining first pre-election for Special Primary and semi-annual:</u> <b>Jul 18, 2019**</b> <i>1<sup>st</sup> Pre-Election and Semi-Annual</i>	<b>*– 7/13/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this statement</li> <li>The first pre-election and semi-annual statements <i>may</i> be combined.</li> <li>If combining the first pre-election and semi-annual statements, the period covered is the day after the closing date of the last statement filed through July 13, 2019.</li> <li>Committees using an electronic filing system will likely have to file two separate statements.</li> <li>If filing two separate statements, the period covered for the semi-annual statement is the day after the closing date of the last statement filed through June 30, 2019. The period covered for the first pre-election statement is July 1, 2019 through July 13, 2019.</li> </ul>
<u>If filing separately:</u> <b>Jul 18, 2019</b> <i>1<sup>st</sup> Pre-Election</i>	<b>7/1/19 – 7/13/19</b>	<a href="#">460</a>	
<b>Jul 31, 2019</b> <i>Semi-Annual</i>	<b>*– 6/30/19</b>	<a href="#">460</a>	

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<b>Aug 15, 2019</b> <i>2<sup>nd</sup> Pre-Election (Special Primary)</i>	<b>7/14/19 – 8/10/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>▪ All committees must file this statement.</li> <li>▪ Paper copies must be filed by personal delivery or guaranteed overnight service only.</li> </ul>
<b>Sep 26, 2019</b> <i>1<sup>st</sup> Pre-Election (Special General)</i>	<b>8/11/19 – 9/21/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>▪ Committees formed to support or oppose candidates who were unsuccessful in the August 27 election do not file this report.</li> <li>▪ All other committees must file this report.</li> </ul>
<b>Oct 24, 2019</b> <i>2<sup>nd</sup> Pre-Election (Special General)</i>	<b>9/22/19 – 10/19/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>▪ Committees formed to support or oppose candidates who were unsuccessful in the August 27 election do not file this report.</li> <li>▪ All other committees must file this report.</li> <li>▪ Paper copies must be filed by personal delivery or guaranteed overnight service only.</li> </ul>
<b>Jan 31, 2020</b> <i>Semi-Annual</i>	<b>10/20/19 – 12/31/19</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>▪ All committees must file Form 460 unless the committee files termination Forms 410 and 460 before Dec 31, 2019.</li> </ul>

### Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- **Payments Related to a State Ballot Measure (E-Filers only):** File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required. Payments of this type may change the nature of a primarily formed candidate committee.
- **Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-filers must also file a paper copy.
- **Form E-530:** File within 48 hours of making a payment or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

### Additional Notes:

- **\* Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **\*\*Deadlines:** Due to the date of the August 27 Special Primary Election, the first pre-election statement for the August 27 Special Primary Election and the semi-annual statement may be combined

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- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10 Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours regardless of the day of the week.
- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including e-filers, must also file paper statements.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **Forms 496 and 497:** All reports filed online only.
- **Multipurpose Organizations (including non-profits):** A multipurpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file statements disclosing contributors. For more information, see the FPPC'S fact sheet, [Campaign Reporting Rules for Multipurpose Organizations](#).
- **State Contribution Limits:** Contributions to state candidates and to committees that make contributions to state candidates are subject to limits. Refer to the [contribution limits chart](#) on the FPPC website. Committees making "issue ads" featuring state candidates are also subject to contribution limits.
- **Penalties:** Late statement are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **Public Documents:** All statements are public documents.
- **Resources:** Campaign manuals and other instructional materials are available [here](#). Or, visit [www.fppc.ca.gov](http://www.fppc.ca.gov) > Learn > Campaign Rules.