

Fair Political Practices Commission

CalPERS Candidate Controlled Committees Committees Primarily Formed to Support/Oppose CalPERS Candidates In Connection with the CalPERS May 16, 2022 Election & July 11, 2022 Runoff Election

<i>Deadline</i>	<i>Period</i>	<i>Form</i>	<i>Notes</i>
Within 10 Business Days <i>\$5,000 Report</i>	Ongoing File any time other than 90-day election cycle	497	<ul style="list-style-type: none"> File if a contribution of \$5,000 or more is received from a single source. Not required for committees primarily formed to support or oppose CalPERS candidates. No paper copy is required. File within 10 business days of receipt of contribution. Until the California Access Replacement System (CARS) is live, only e-filers file this report. Once the system is live, all filers file this report if a contribution of \$5,000 or more is received from a single source.
Within 10 Days <i>Independent Expenditure Verification</i>	Ongoing	462	<ul style="list-style-type: none"> Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required. Committees file only one Form 462 per election for each candidate supported or opposed by an independent expenditure. Once the California Access Replacement System (CARS) system is live, this report will be eliminated and no longer required.
Within 24 Hours <i>Election Cycle Reports</i>	2/15/22 – 5/16/22 <i>(for May 16 election)</i> 4/12/22 – 7/11/22 <i>(for July 11 runoff)</i>	496 497	<ul style="list-style-type: none"> 496: Primarily formed committees file if an independent expenditure of \$1,000 or more in the aggregate is <i>made</i> in connection with a candidate being voted on the May 16 and/or July 11 ballots. Candidates: Do not file for expenditures made on your own committee's behalf. 497: File if a contribution of \$1,000 or more in the aggregate is <i>received</i> from a single source. 497: File if a contribution of \$1,000 or more in the aggregate is <i>made</i> to or in connection with a candidate being voted on the May 16 and/or July 11 ballots. The recipient of a non-monetary contribution of \$1,000 or more in the aggregate must file a Form 497 within 48 hours from the time the non-monetary contribution is <i>received</i>. E-file only. No paper copy is required.
April 13, 2022 <i>1st Pre-Election</i>	1/1/22 – 4/10/22	460 or 470	<ul style="list-style-type: none"> Each candidate listed on the ballot must file either Form 460 or Form 470 (see notes below). All committees primarily formed for a candidate file a Form 460.
May 16, 2022 <i>2nd Pre-Election</i>	4/11/22 – 5/11/22	460	<ul style="list-style-type: none"> All committees must file this statement. Paper copies must be filed by personal delivery or guaranteed overnight service only. Once the California Access Replacement System (CARS) system is live, all state committee filings will be done online or electronically with the Secretary of State.

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<p><u>Pre-election (Runoff) and Semi-Annual must be combined if participating in the Runoff:</u></p> <p>Jul 11, 2022 <i>Pre-Election (Runoff) and Semi-Annual</i></p>	5/12/22 – 7/6/22	460	<ul style="list-style-type: none"> All committees must file this statement. Paper copies must be filed by personal delivery or guaranteed overnight service only. Once the California Access Replacement System (CARS) system is live, all state committee filings will be done online or electronically with the Secretary of State.
<p>Aug 1, 2022 <i>Semi-Annual for those not participating in the Runoff</i></p>	5/12/22 – 6/30/22	460	<ul style="list-style-type: none"> All committees not participating in the runoff election file this statement unless the committee filed termination Forms 410 and 460 on or before June 30, 2022. Paper copies must be filed by personal delivery or guaranteed overnight service only. Once the California Access Replacement System (CARS) system is live, all state committee filings will be filed online or electronically with the Secretary of State.
<p><u>If only the Semi-Annual statement was filed:</u></p> <p>Jan 31, 2023 <i>Semi-Annual</i></p> <p><u>If participated in the Runoff and filed combined Pre-Election and Semi-Annual:</u></p> <p>Jan 31, 2023 <i>Semi-Annual</i></p>	7/1/22 – 12/31/22	460	<ul style="list-style-type: none"> All committees must file Form 460 unless the committee files termination Forms 410 and 460 on or before December 31, 2022. If a committee filed only the semi-annual report, the period covered for this semi-annual statement is July 1, 2022 through December 31, 2022.
<p>Jan 31, 2023 <i>Semi-Annual</i></p>	7/7/22 – 12/31/22	460	<ul style="list-style-type: none"> All committees must file Form 460 unless the committee files termination Forms 410 and 460 on or before December 31, 2022. If the combined pre-election (Runoff) and semi-annual report was filed, the period covered for this semi-annual statement is July 7, 2022 through December 31, 2022.

Additional Notes:

- E-File:** A state committee that has received contributions or made expenditures totaling \$25,000 or more. Once the California Access Replacement System (CARS) is live, all state committees will file online or electronically with the Secretary of State.
- Where to File Form 460:** E-filers file the original online and one paper copy with the Secretary of State. Committees that may not e-file, file the original in paper format and one paper copy with the Secretary of State. E-filers and non e-filers must also e-mail a copy to CalPERS at Board_Election_Coordinator@calpers.ca.gov. Once the California Access Replacement System (CARS) system is live, all state committees will file online or electronically with the Secretary of State, no paper copies will be filed with the Secretary of State. A copy will still be required to be e-mailed to CalPERS.

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- **Form 460:** Candidates who have raised/spent \$2,000 or more file the Form 460. The Form 410 (Statement of Organization) must also be filed once \$2,000 or more has been raised/spent.
- **Form 470:** Candidates who do not raise or spend \$2,000 or more (or anticipate raising or spending \$2,000 or more) in 2022 and do not have an open committee may file Form 470 on or before April 13, 2022. If, later during the calendar year, the candidate raises or spends \$2,000 or more, a campaign committee must be opened, and a Form 470 Supplement and a Form 410 must be filed.
- **Forms 496 and 497:** All reports filed online only with the Secretary of State and e-mailed to CalPERS at Board_Election_Coordinator@calpers.ca.gov.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **California Access Replacement System (CARS):** The New Cal-Access System is currently in development with an undetermined go live date.
- **Multiple Candidate Controlled Committees:** All committees controlled by a CalPERS candidate must file statements on each filing deadline, in addition to the committee formed for this election.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before an election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- **Penalties:** Late statements are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement or report could result in an enforcement penalty of up to \$5,000 per violation.
- **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Contribution Limits:** Contributions to CalPERS candidates are subject to contribution limits of \$4,900 per election from individuals, businesses, committees/PACS; and \$9,700 per election from small contributor committees. Committees that make contributions to CalPERS candidates may only accept contributions of up to \$8,100 from a single source in a calendar year. Refer to the [contribution limits chart](#) on the FPPC website for further information.
- **Public Documents:** All statements and reports are public documents.
- **Resources:** Campaign manuals and instructional materials are available at www.fppc.ca.gov.
- **Voting Periods:** Begin April 15, 2022 and June 10, 2022.
- **Section 84200.9** sets pre-election deadlines.