

Form 700 Filers Statement of Economic Interests **Schedule A-1: Investments**



PRESENTED BY
EXTERNAL AFFAIRS AND EDUCATION DIVISION
FAIR POLITICAL PRACTICES COMMISSION

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File a Form 700

Statement of Economic Interests



Form 700

A Public Document

Also available on the FPPC website:

- ***Form 700 in Excel format***
- *Reference Pamphlet for Form 700*

California Fair Political Practices Commission

Email Advice: advice@fppc.ca.gov

Toll-free advice line: 1 (866) ASK-FPPC • 1 (866) 275-3772

Telephone: (916)322-5660 • Website: www.fppc.ca.gov

Use Schedule A-1...

- if you, your spouse, or dependent children have direct or indirect financial interest in a business, and
- if the business is reportable per your agency's conflict of interest code, and
- if the business is located in or doing business in your jurisdiction, and
- if your investment in the business reached a value of \$2,000 or more during the reporting period.

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
 Name **PAT CLARK**

<p>▶ NAME OF BUSINESS ENTITY Utel</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS Technology</p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: <u>10</u>/<u>03</u> <input checked="" type="checkbox"/> ACQUIRED <u> </u>/<u> </u> <input checked="" type="checkbox"/> DISPOSED</p>	<p>▶ NAME OF BUSINESS ENTITY Apple</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS Technology</p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: <u> </u>/<u> </u> <input checked="" type="checkbox"/> ACQUIRED <u> </u>/<u> </u> <input checked="" type="checkbox"/> DISPOSED</p>
<p>▶ NAME OF BUSINESS ENTITY Intel</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS Software</p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: <u> </u>/<u> </u> <input checked="" type="checkbox"/> ACQUIRED <u> </u>/<u> </u> <input checked="" type="checkbox"/> DISPOSED</p>	<p>▶ NAME OF BUSINESS ENTITY Horton Inc.</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS</p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input checked="" type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: <u> </u>/<u> </u> <input checked="" type="checkbox"/> ACQUIRED <u> </u>/<u> </u> <input checked="" type="checkbox"/> DISPOSED</p>
<p>▶ NAME OF BUSINESS ENTITY Johnson & Johnson</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS Pharmaceuticals</p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: <u> </u>/<u> </u> <input checked="" type="checkbox"/> ACQUIRED <u> </u>/<u> </u> <input checked="" type="checkbox"/> DISPOSED</p>	<p>▶ NAME OF BUSINESS ENTITY</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS</p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: <u> </u>/<u> </u> <input checked="" type="checkbox"/> ACQUIRED <u> </u>/<u> </u> <input checked="" type="checkbox"/> DISPOSED</p>

Schedule A-1

Reportable Interests

- Stocks
- Non-governmental bonds
- Partnerships
- Ownership interests of less than 10%
- Certain types of assets in retirement accounts

Schedule A-1

Non-Reportable Interests

- Diversified mutual funds
- Insurance policies and annuities
- Shares in a credit union
- Money in a checking or savings account
- Government bonds
- CalPERS/CalSTRS accounts
- Retirement accounts invested in non-reportable interests
- Stock dividends and income from the sale of stock (unless the source can be identified)

Completing A-1

- Enter the name of the business entity.
- Give a brief, general description of the business.

▶ NAME OF BUSINESS ENTITY
Utel

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10 / **03** / **XX** ____ / ____ / **XX**
ACQUIRED DISPOSED

Completing A-1

- Check the box indicating the highest fair market value of your investment during the reporting period.
- Identify the nature of your investment.

► NAME OF BUSINESS ENTITY
Utel

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10 / **03** / **XX** ____ / ____ / **XX**
ACQUIRED DISPOSED

Completing A-1

- Enter the dates only if you acquired the investment anew, or sold all interest in the investment during the reporting period.

► NAME OF BUSINESS ENTITY
Utel

GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
 \$2,000 - \$10,000 \$10,001 - \$100,000
 \$100,001 - \$1,000,000 Over \$1,000,000

NATURE OF INVESTMENT
 Stock Other _____ (Describe)
 Partnership Income Received of \$0 - \$499
 Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
10 / **03** / **XX** ____ / ____ / **XX**
ACQUIRED DISPOSED

Schedule A-1

Excel Format

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

700

CALIFORNIA FORM

FAIR POLITICAL PRACTICES COMMISSION

NAME:

Pat Clark

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/20XX)	
				ACQUIRED	DISPOSED
COMCAST	UTILITIES	\$2,000 - \$10,000	Stock		
AT&T	TELECOMMUNICATIONS	\$2,000 - \$10,000	Stock		
APPLE	COMPUTER SYSTEMS	\$2,000 - \$10,000	Stock		
JOHNSON & JOHNSON	PHARMACEUTICAL	\$2,000 - \$10,000	Stock		
WAL-MART	RETAIL	\$2,000 - \$10,000	Stock		
COCA-COLA	BEVERAGE	\$2,000 - \$10,000	Stock		
MACY'S	RETAIL	\$2,000 - \$10,000	Stock		
UNDER ARMOUR	CLOTHING	\$2,000 - \$10,000	Stock		
FITBIT INC	HEALTH/TECHNOLOGY	\$2,000 - \$10,000	Stock		
BANK OF AMERICA	FINANCE	\$2,000 - \$10,000	Stock		
ACTIVISION BLIZZARD	VIDEO GAME	\$2,000 - \$10,000	Stock		
INTEL CORP	SOFTWARE	\$2,000 - \$10,000	Stock		
FORD MOTOR CO	VEHICLE MANUFACTURING	\$2,000 - \$10,000	Stock		
NEWS CORP	NEWS	\$2,000 - \$10,000	Stock		
ELECTRONIC ARTS INC	VIDEO GAME	\$2,000 - \$10,000	Stock		
NORDSTROM INC	RETAIL	\$2,000 - \$10,000	Stock		
MICRON TECHNOLOGY INC	ROBOTICS/TECHNOLOGY	\$2,000 - \$10,000	Stock		

Amending Schedule A-1

- File amendments as soon as error or omission is discovered.
- Complete only the schedule with error(s).
- Amended schedule is retained with entire, original statement.

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
AMENDMENT

<p>▶ NAME OF BUSINESS ENTITY _____</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS _____</p> <hr/> <p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 <p>NATURE OF INVESTMENT</p> <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C) <p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;">____/____/16 ____/____/16 ACQUIRED DISPOSED</p>	<p>▶ NAME OF BUSINESS ENTITY _____</p> <p>GENERAL DESCRIPTION OF THIS BUSINESS _____</p> <hr/> <p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 <p>NATURE OF INVESTMENT</p> <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership <input type="radio"/> Income Received of \$0 - \$499 <input type="radio"/> Income Received of \$500 or More (Report on Schedule C) <p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;">____/____/16 ____/____/16 ACQUIRED DISPOSED</p>
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Comments: _____

Questions

- Call 916-322-5660 or 866-275-3772 (866-ASK-FPPC)
 - Monday - Thursday, 9–11:30 a.m.
- E-mail advice@fppc.ca.gov

E-Filing Problems

- Your agency's system: Contact your filing officer
- FPPC's system: E-mail form700@fppc.ca.gov