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7						
8	BEFORE THE FAIR POLITICAL	PRACTICES COMMISSION				
9	STATE OF CA	LIFORNIA				
10						
11	In the Matter of) FPPC Nos. 2017/01397 and) 2018/00821				
12	CALIFORNIANS IN SUPPORT OF MIKE					
13	ANTONOVICH STATE SENATE 2016 and SHELLEY LEVINE,	 DEFAULT DECISION AND ORDER 				
14)) (Government Code Sections 11506				
15	Pagnondonta) and 11520)				
16	Respondents.					
17	Complainant, the Enforcement Division of t	he Fair Political Practices Commission, hereby				
18	submits this Default Decision and Order for considera	tion by the Fair Political Practices Commission at				
19	its next regularly scheduled meeting.					
20	Pursuant to the California Administrative Procedure Act, ¹ Californians in Support of Mike					
21	Antonovich State Senate 2016 ("the Committee") and Shelley Levine ("Levine") have been served with					
22	all of the documents necessary to conduct an administrative hearing regarding the above-captioned					
23	matter, including the following:					
24	1. An Order Finding Probable Cause;					
25	2. An Accusation;					
26	3. A Notice of Defense (Two Copies per Respondent);					
27						
28	¹ The California Administrative Procedure Act, which governs administrative adjudications, is contained in Sections 11370 through 11529 of the Government Code. 1					

- 4. A Statement to Respondent; and
- 5. Copies of Sections 11506, 11507.5, 11507.6 and 11507.7 of the Government Code.

Government Code Section 11506 provides that failure of a respondent to file a Notice of Defense within fifteen days after being served with an Accusation shall constitute a waiver of respondent's right to a hearing on the merits of the Accusation. The Statement to Respondent, served on the Committee and Levine, stated that a Notice of Defense must be filed in order to request a hearing. The Committee and Levine failed to file a Notice of Defense within fifteen days of being served with an Accusation. Government Code Section 11520 provides that, if the respondent fails to file a Notice of Defense, the Commission may take action, by way of a default, based upon the respondent's express admissions or upon other evidence, and that affidavits may be used as evidence without any notice to the respondent.

The Committee and Levine violated the Political Reform Act as described in Exhibit 1, which is attached hereto and incorporated by reference as though fully set forth herein. Exhibit 1 is a true and accurate summary of the law and evidence in this matter. This Default Decision and Order is submitted to the Commission to obtain a final disposition of this matter.

Dated: 2/18/2022

Angela J. Brereton, Chief of Enforcement Fair Political Practices Commission

<u>ORDER</u>

The Commission issues this Default Decision and Order and imposes a total administrative penalty of \$21,000 upon Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine, payable to the "General Fund of the State of California."

IT IS SO ORDERED, effective upon execution below by the Chair of the Fair Political Practices Commission at Sacramento, California.

, Dated: _____

Richard C. Miadich, Chair Fair Political Practices Commission

EXHIBIT 1

INTRODUCTION

Respondent Californians in Support of Mike Antonovich State Senate 2016 (the "Committee") was a committee formed primarily to support Michael D. Antonovich, a candidate for State Senate District 25 during the June 7, 2016 Primary Election and the November 8, 2016 General Election. Respondent Shelley Levine ("Levine") served as the Committee's treasurer.

The Political Reform Act (the "Act")¹ requires every recipient committee to amend its statement of organization within ten days of a change. Recipient committees are also required to timely file pre-election and semiannual campaign statements as well as 24-hour contribution reports. Additionally, the Act requires recipient committees to maintain supporting records for all contributions received and expenditures made.

This matter arose from an audit conducted by the Franchise Tax Board ("FTB") and a referral submitted by the Secretary of State ("SOS"). The FTB audit report covered the audit period of January 1, 2016 through December 13, 2016. The SOS referred the Committee for failure to timely file a pre-election campaign statement.

As a recipient committee and its treasurer, the Committee and Levine failed to timely amend a statement of organization, failed to timely file four pre-election campaign statements and two 24-hour contribution reports, and failed to maintain campaign records.

DEFAULT PROCEEDINGS UNDER THE ADMINISTRATIVE PROCEDURE ACT

When the Commission determines that there is probable cause for believing that the Act has been violated, it may hold a hearing to determine if a violation has occurred.² Notice of the hearing, and the hearing itself, must be conducted in accordance with the Administrative Procedure Act (the "APA").³ A hearing to determine whether the Act has been violated is initiated by the filing of an accusation, which shall be a concise written statement of the charges, specifying the statutes and rules which the respondent is alleged to have violated.⁴

Included among the rights afforded a respondent under the APA, is the right to file the Notice of Defense with the Commission within 15 days after service of the accusation, by which

¹ The Act is contained in Government Code Sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission ("Commission") are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

² Section 83116.

³ The California Administrative Procedure Act, which governs administrative adjudications, is contained in Sections 11370 through 11529 of the Government Code; Section 83116.

⁴ Section 11503.

the respondent may (1) request a hearing; (2) object to the accusation on the ground it does not state acts or omissions upon which the agency may proceed; (3) object to the form of the accusation on the ground that it is so indefinite or certain that the respondent cannot identify the transaction or prepare a defense; (4) admit the accusation in whole or in part; (5) present new matter by way of a defense; or (6) object to the accusation on the ground that, under the circumstances, compliance with a Commission regulation would result in a material violation of another department's regulation affecting substantive rights.⁵

The APA provides that a respondent's failure to file a Notice of Defense within 15 days after service of an accusation constitutes a waiver of the respondent's right to a hearing.⁶ Moreover, when a respondent fails to file a Notice of Defense, the Commission may take action based on the respondent's express admissions or upon other evidence and affidavits may be used as evidence without any notice to the respondent.⁷

PROCEDURAL REQUIREMENTS AND HISTORY

A. <u>Initiation of the Administrative Action</u>

The service of the probable cause hearing notice, as required by Section 83115.5, upon the person alleged to have violated starts the administrative action.⁸

A finding of probable cause may not be made by the Commission unless the person alleged to have violated the Act is 1) notified of the violation by service of process or registered mail with return receipt requested; 2) provided with a summary of the evidence; and 3) informed of his or her right to be present in person and represented by counsel at any proceeding of the Commission held for the purpose of considering whether probable cause exists for believing the person violated the Act.⁹ Additionally, the required notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office.¹⁰

No administrative action pursuant to Chapter 3 of the Act alleging a violation of any of the provisions of the Act may be commenced more than five years after the date on which the violation occurred.¹¹

Documents supporting the procedural history are included in the attached Certification of Records ("Certification") filed herewith at Exhibit 1, A-1 through A-18, and incorporated herein by reference.

⁵ Section 11506, subd. (a)(1)–(6).

⁶ Section 11506, subd. (c).

⁷ Section 11520, subd. (a).

⁸ Section 91000.5, subd. (a).

⁹ Section 83115.5.

¹⁰ Section 83115.5.

¹¹ Section 91000.5.

In accordance with Sections 83115.5 and 91000.5, the Enforcement Division initiated the administrative action against the Committee and Levine in this matter by serving them with a Report in Support of a Finding of Probable Cause (the "Report") (Certification, Exhibit A-1) by certified mail.¹² The Committee and Levine were served with the Report on June 22, 2020. (Certification, Exhibit A-2.) The administrative action commenced on June 22, 2020, and the five-year statute of limitations was effectively tolled on this date.

As required by Section 83115.5, the packet served on the Committee and Levine contained a cover letter and a memorandum describing probable cause proceedings, advising that the Committee and Levine had 21 days in which to request a probable cause conference, file a written response to the Report, or both. (Certification, Exhibit A-3.) The Committee and Levine did not request a probable cause conference or submit a written response to the Report.

B. <u>Ex Parte Request for a Finding of Probable Cause</u>

Because the Committee and Levine failed to request a probable cause conference or submit a written response to the Report by the statutory deadline, the Enforcement Division submitted an Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served to the Hearing Officer of the Commission on July 28, 2020. (Certification, Exhibit A-4.)

On July 31, 2020, the Hearing Officer, Legal Division, Jack Woodside, issued a Finding of Probable Cause and an Order to Prepare and Serve an Accusation on the Committee and Levine. (Certification, Exhibit A-5.)

C. <u>The Issuance and Service of the Accusation</u>

Under the Act, if the Hearing Officer makes a finding of probable cause, the Enforcement Division must prepare an accusation pursuant to Section 11503 of the APA, and have it served on the persons who are the subject of the probable cause finding.¹³

Section 11503 states:

A hearing to determine whether a right, authority, license, or privilege should be revoked, suspended, limited, or conditioned shall be initiated by filing an accusation or District Statement of Reduction in Force. The accusation or District Statement of Reduction in Force shall be a written statement of charges that shall set forth in ordinary and concise language the acts or omissions with which the respondent is charged, to the end that the respondent will be able to prepare his or her defense. It shall specify the statutes and rules that the respondent is alleged to

¹² Section 83115.5.

¹³ Regulation 18361.4, subd. (e).

have violated, but shall not consist merely of charges phrased in the language of those statutes and rules. The accusation or District Statement of Reduction in Force shall be verified unless made by a public officer acting in his or her official capacity or by an employee of the agency before which the proceeding is to be held. The verification may be on information and belief.

Upon the filing of the accusation, the agency must 1) serve a copy thereof on the respondent as provided in Section 11505, subdivision (c); 2) include a post card or other form entitled Notice of Defense that, when signed by or on behalf of the respondent and returned to the agency, will acknowledge service of the accusation and constitute a notice of defense under Section 11506; 3) include (i) a statement that respondent may request a hearing by filing a notice of defense as provided in Section 11506 within 15 days after service upon the respondent of the accusation, and that failure to do so will constitute a waiver of the respondent's right to a hearing, and (ii) copies of Sections 11507.5, 11507.6, and 11507.7.¹⁴ The APA also sets forth the language required in the accompanying statement to the respondent.¹⁵

The Accusation and accompanying information may be sent to the respondent by any means selected by the agency, but no order adversely affecting the rights of the respondent may be made by the agency in any case unless the respondent has been served personally or by registered mail as set forth in the APA.¹⁶

On January 22, 2021, the Commission's Chief of Enforcement, Angela J. Brereton, issued an Accusation against the Committee and Levine. (Certification, Exhibit A-6.) In accordance with Section 11505, the Accusation and accompanying information, consisting of a Statement to Respondent, two copies of a Notice of Defense Form for each respondent, copies of Government Code Sections 11506, 11507.5, 11507.6, and 11507.7, were served upon the Committee and Levine by personal service on January 25, 2021. (Certification, Exhibit A-7.)

Along with the Accusation, the Enforcement Division served the Committee and Levine with a "Statement to Respondent," which notified them that they could request a hearing on the merits and warned that, unless a Notice of Defense was filed within 15 days of service of the Accusation, they would be deemed to have waived the right to a hearing. (Certification, Exhibit A-8.) The Committee and Levine did not file a Notice of Defense within the statutory time period, which ended on February 9, 2021.

As a result, on January 28, 2022, the Enforcement Division sent a letter to the Committee and Levine advising that this matter would be submitted for a Default Decision and Order at the Commission's public meeting scheduled for February 17, 2022. (Certification, Exhibit A-17.)

On February 18, 2022, the Enforcement Division sent another letter to the Committee and Levine advising that this matter would be submitted for a Default Decision and Order at the

¹⁴ Section 11505, subd. (a).

¹⁵ Section 11505, subd. (b).

¹⁶ Section 11505, subd. (c).

Commission's public meeting scheduled for March 17, 2022. (Certification, Exhibit A-18.) A copy of the Default Decision and Order, and this accompanying Exhibit 1 with attachments, was included with the letter.

SUMMARY OF THE LAW

The Act and its regulations are amended from time to time. The violations in this case occurred in 2016. For this reason, all legal references and discussions of law pertain to the Act's provisions as they existed at that time.

An express purpose of the Act is to ensure voters are fully informed and improper practices are inhibited by requiring all candidates, as well as the committees that support or oppose them, to disclose all contributions and expenditures made throughout a campaign.¹⁷ Along these lines, the Act includes a comprehensive campaign reporting system.¹⁸

A "committee" includes any person or combination of persons who received contributions totaling \$2,000 or more in a calendar year, commonly known as a "recipient committee."¹⁹ Any committee that comes under the definition of a recipient committee is required to file a statement of organization with the SOS and with the local filing officer.²⁰ Once the committee qualifies as a committee, it must amend the statement of organization to include the date of qualification and the name and address of the financial institution where the committee has established a designated campaign contribution account and the account number.²¹ The committee must submit an amended statement within 10 days of any change in the information submitted on the form, such as updating the form to indicate the date the committee reached the threshold of raising or spending \$2,000 and qualifying as a committee.²²

A "primarily formed committee" means a recipient committee which is formed or exists primarily to support or oppose either a single candidate; a single measure; a group of specific candidates being voted upon in the same city, county, or multicounty election; or two or more measures being voted upon in the same city, county, multicounty, or state election.²³

A "sponsored committee" is defined as a committee, other than a candidate-controlled committee, with one or more sponsors. An organization sponsors a committee if any of the following apply: (1) the committee receives 80 percent or more of its contributions from the organization; (2) the organization collects contributions for the committee by use of payroll deduction or dues; (3) the organization provides all or nearly all of the administrative services for

¹⁷ Section 81002, subd. (a).

¹⁸ Section 84200, *et seq*.

¹⁹ Section 82013, subd. (a).

²⁰ Section 84101.

²¹ Sections 84101 and 84102.

²² Section 84103, subd. (a).

²³ Section 82047.5.

the committee; or (4) the organization sets the policies for soliciting contributions or making expenditures of committee funds.²⁴

The name of the committee is required to include the name of the sponsor.²⁵ Whenever identification of a sponsored committee is required, such as on advertisement disclosures or sender identification on mass mailings, the identification shall include the full name of the committee as required in its statement of organization.²⁶

At the core of the Act's campaign reporting system is the requirement that committees file campaign statements and reports for certain reporting periods, by certain deadlines, and including certain information.²⁷ The Act requires committees primarily formed to support or oppose a candidate appearing on the ballot at the next election to file two pre-election campaign statements. For the period ending 45 days before the election, the statement shall be filed no later than 40 days before the election. For the period ending 17 days before the election, the statement shall be filed no later than 12 days before the election. 2^{28}

In connection with the 2016 Primary Election held on June 7, 2016, the first pre-election campaign statement was due by April 28, 2016 and covered the period from January 1, 2016 through April 23, 2016, and the second pre-election campaign statement was due by May 26, 2016 and covered the period from April 24, 2016 through May 21, 2016. In connection with the 2016 General Election held on November 8, 2016, the first pre-election campaign statement was due by September 29, 2016 and covered the period from July 1, 2016 through September 24, 2016, and the second pre-election campaign statement was due by October 27, 2016 and covered the period from September 25, 2016 through October 22, 2016.²⁹

Committees formed primarily to support or oppose candidates for members of the Legislature shall file a campaign statement by online or electronic means, provided the committee has received or spent \$25,000 or more, and shall file the original and one copy of the campaign statement in paper format with the SOS.³⁰

Each candidate or committee that makes or receives a late contribution must file a report within 24 hours of making or receiving the contribution.³¹A "late contribution" includes a contribution in total or in the aggregate \$1,000 or more that is made or received by a candidate, a controlled committee, or a committee formed or existing primarily to support or oppose a candidate or measure during the 90-day period preceding the date of the election or on the date of the election at which the candidate is to be voted on.³² The late contribution period began on March 9, 2016

- ²⁷ Sections 84200, *et seq*. ²⁸ Section 84200.5, subd. (a)(1).
- ²⁹ Section 84200.8.
- ³⁰ Sections 84215 and 84605.

²⁴ Section 82048.7.

²⁵ Section 84102.

²⁶ Section 84106.

³¹ Section 84203.

³² Section 82036.

for the June 7, 2016 Primary Election and on August 10, 2016 for the November 8, 2016 General Election.

Treasurers have a duty to maintain detailed accounts, records, bills, and receipts necessary to prepare campaign statements and establish campaign statements were properly filed.³³ This duty includes maintenance of detailed information and original source documentation for a period of four years following the date the campaign statement to which they relate is filed.³⁴ Examples of original source documentation that must be maintained include copies of bills, receipts, and invoices for expenditures of \$25 or more.³⁵

SUMMARY OF THE EVIDENCE

The Committee formed as a primarily formed committee supporting Michael D. Antonovich by filing a statement of organization with the SOS on March 22, 2016. (Certification, Exhibit A-9.) On this statement, the Committee indicated that it did not yet qualify as a recipient committee. Levine was listed as both the treasurer and the principal officer.

Michael D. Antonovich was a successful candidate for State Senate District 25 during the June 7, 2016 Primary Election, but he was unsuccessful in the November 8, 2016 General Election. Between January 1, 2016 through December 13, 2016, the Committee raised approximately \$193,000 and spent \$192,580.

The Committee qualified on or around April 4, 2016 when it reported receiving a \$100,000 contribution from De Anza Properties, also known as De Anza Building and Maintenance, Inc. (Certification, Exhibit A-10.) The contributor's full name, according to committee records and corporation filings, is De Anza Building and Maintenance, Inc. According to campaign statements and committee records, the Committee received no additional contributions until after the Primary Election held on June 7, 2016. (Certification, Exhibits A-11; A-12; A-13.)

De Anza Building and Maintenance, Inc. contributed an additional \$80,000 on or around September 30, 2016. (Certification, Exhibit A-14.) During the life of the Committee, De Anza Building and Maintenance, Inc. contributed \$180,000, accounting for approximately 93% of the Committee's contributions, qualifying as the Committee's sponsor by exceeding 80% of the Committee's total contributions.

The Committee and Levine were required to file an amended statement of organization within 10 days of qualifying to indicate that the Committee had qualified as a recipient committee and to indicate that the Committee was sponsored by De Anza Building and Maintenance, Inc. The Committee and Levine were also required to include the name of the sponsor in the name of the Committee. The Committee and Levine failed to do so.

³³ Section 84104.

³⁴ Regulation 18401.

³⁵ Regulation 18401, subd. (a)(4).

The Committee's expenditures were either administrative or paid for the supported candidate, Antonovich, to be featured in slate mailers distributed by slate mailer organizations. Therefore, the Committee's name did not need to be disclosed on any advertisement disclosures. However, slate mailer organizations are required to disclose payments received and therefore, due to the failure of the Committee to amend the name, the slate mailer organizations did not disclose on their filings that the payments were made by a committee sponsored by De Anza Building and Maintenance, Inc.

The Committee and Levine were required to timely file pre-election and semiannual campaign statements and 24-hour contribution reports in electronic and/or paper format but failed to do so, as described in the chart below. The Committee and Levine were also required to file campaign statements for the reporting periods prescribed by law but failed to do so, as described in the chart below.

Required Reporting Period	Reporting Period as Filed	Date Due	Date Filed	Contributions/Expenditures	
Pre-election 01/01/16 – 04/23/16	03/21/16 – 04/25/16	04/28/16	Electronic: 4/25/16 Paper: 6/19/17 (417 days late)	\$100,000 Contributions \$53,394 Expenditures	
Pre-election 04/24/16 – 05/21/16	04/26/16 – 05/26/16	05/26/16	Electronic: 5/30/16 (4 days late) Paper: 06/02/16 (7 days late)	\$0 Contributions \$44,827 Expenditures	
Semiannual 05/22/16 – 06/30/16 ³⁶		08/01/16	Electronic: 06/20/16 Paper: 06/19/17 (322 days late)	\$0 Contributions \$889 Expenditures	
Pre-election 07/01/16 – 09/24/16		09/29/16	Electronic: 10/8/16 (9 days late) Paper: 06/19/17 (263 days late)	\$0 Contributions \$0 Expenditures	
24-Hr Report		10/19/16	11/13/16 (25 days late) (Certification, Exhibit A- 15.)	\$10,000 from Fieldstead & Company received on 10/18/16	
Pre-election 09/25/16 – 10/22/16		10/27/16	NOT FILED	\$90,000 Contributions \$60,370 Expenditures *Per bank records and per Form 497s and 496s filed.	
24-Hr Report		11/2/16	11/13/16 (11 days late) (Certification, Exhibit A- 15.)	\$3,000 from Margaret A. Perenchio received on 11/01/16	

³⁶ Both the filed paper copy and the electronic format copy included only 3 pages as submitted to the Secretary of State. Both versions indicated that the total page length of the statement was longer than 3 pages. However, to date, the Committee has not filed an amended version to fully report all reportable activity.

Termination 10/23/16 – 12/31/16	11/6/16 – 12/13/16	01/31/17	Electronic only: 12/13/16	\$0 Contributions \$14,300 Expenditures
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The Committee and Levine were required to maintain adequate records and source documentation to substantiate the filings but failed to do so. For example, the Committee received a \$100,000 contribution on or around April 4, 2016 but failed to keep a copy of the check. A check register, duplicate deposit slip, and bank statements were provided.

Receipts and/or invoices were not maintained for the expenditures during the audit period that totaled approximately \$25,627. This included an expenditure of \$3,500, which was reported as office expenses and the payee was reportedly Levine, but the bank records show this was a cash withdrawal on April 6, 2016. The lack of records regarding this expenditure inhibits the ability to determine if this expenditure violated the prohibition on cash expenditures of \$100 or more or if the expenditure was a legitimate use of campaign funds.

For a \$9,000 payment made on or by November 16, 2016, the Committee provided only an undated invoice and a bank statement. There was no copy of the canceled check or electronic image provided to verify the payee.

The Committee filed a statement of termination with the SOS on December 13, 2016. (Certification, Exhibit A-16.)

Summary of Contact

Overall, the Enforcement Division contacted the Committee and Levine approximately 12 times throughout this case, as follows:

- May 31, 2017: letter sent
- October 30, 2017; email sent
- November 21, 2017: letter sent
- December 12, 2017: letter sent
- March 23, 2020: letter and email sent
- May 5, 2020: emails exchanged
- June 22, 2020: Report in Support of Probable Cause served on the Committee and Levine
- July 28, 2020: copy of Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served mailed to the Committee and Levine
- January 25, 2021: Accusation served on the Committee and Levine
- March 10, 2021: email sent
- March 24, 2021: email received from Levine and Enforcement Division responded by email
- January 28, 2022: letter to the Committee and Levine informing them the Default Decision and Order would appear on the agenda for the February 17, 2022 Commission meeting as

a notice item, and would be presented at the March 17, 2022 meeting for Commission action

- February 2, 2022: emailed a copy of the letter to the Committee and Levine informing them the Default Decision and Order would appear on the agenda for the February 17, 2022 Commission meeting as a notice item, and would be presented at the March 17, 2022 meeting for Commission action
- February 18, 2022: Notice of Intent to Enter Default Decision and Order to the Committee and Levine informing them that the Default Decision and Order would be presented at the March 17, 2022 meeting for Commission action

VIOLATIONS

The Committee and Levine committed eight violations of the Act as follows:

COUNT 1

Failure to Amend the Statement of Organization and to Include Sponsor in the Name of the Committee

The Committee and Levine had a duty to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and had a duty to include the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee. By failing to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failing to include the name of the sponsor, De Anza Building and Maintenance, in the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee, the Committee and Levine violated Government Code Sections 84103 and 84106.

COUNT 2

Failure to Timely File Pre-election Campaign Statements

The Committee and Levine had a duty to file a pre-election campaign statement in paper format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline of April 28, 2016. The Committee and Levine had a duty to file a pre-election campaign statement in electronic and paper format for the reporting period of April 24, 2016 through May 21, 2016 by the deadline of May 26, 2016. By failing to file the pre-election campaign statements in electronic and/or paper format by the respective deadline, the Committee and Levine violated Government Code Section 84200.5.

COUNT 3

Failure to Timely File Pre-election Campaign Statements

The Committee and Levine had a duty to file a pre-election campaign statement in electronic and paper format for the reporting period of July 1, 2016 through September 24, 2016 by the deadline of September 29, 2016. The Committee and Levine had a duty to file a pre-election campaign statement in electronic and paper format for the reporting period of September 25, 2016 through October 22, 2016 by the deadline of October 27, 2016. By failing to file the pre-election campaign statements in electronic and/or paper format by respective deadlines, the Committee and Levine violated Government Code Section 84200.5.

COUNT 4

Failure to Timely File 24-Hour Contribution Reports

The Committee and Levine had a duty to file a 24-hour contribution report for a \$10,000 contribution by the deadline of October 19, 2016. By failing to file the 24-hour contribution report for a \$10,000 contribution by October 19, 2016 and by failing to file the 24-hour contribution report for a \$3,000 contribution by November 2, 2016, the Committee and Levine violated Government Code Section 84203.

COUNT 5

Failure to Maintain Campaign Records

The Committee and Levine had a duty to maintain adequate source documentation and records sufficient to substantiate the reporting for the period occurring between January 1, 2016 through December 13, 2016. By failing to maintain adequate source documentation and records sufficient to substantiate the reporting for the period occurring between January 1, 2016 through December 13, 2016, the Committee and Levine violated Government Code Section 84104.

CONCLUSION

This matter consists of five counts of violating the Act, which carry a maximum total administrative penalty of 40,000.³⁷

In determining the appropriate penalty for a particular violation of the Act, the Enforcement Division considers the typical treatment of a violation in the overall statutory scheme of the Act, with an emphasis on serving the purposes and intent of the Act. Additionally, the Enforcement Division considers the facts and circumstances of the violation in the context of the following factors set forth in Regulation 18361.5 subdivision (e)(1) through (8): (1) The extent and gravity

³⁷ Section 83116, subd. (c).

of the public harm caused by the specific violation; (2) The level of experience of the violator with the requirements of the Political Reform Act; (3) Penalties previously imposed by the Commission in comparable cases; (4) The presence or absence of any intention to conceal, deceive or mislead; (5) Whether the violation was deliberate, negligent or inadvertent; (6) Whether the violator demonstrated good faith by consulting the Commission staff or any other governmental agency in a manner not constituting complete defense under Government Code Section 83114(b); (7) Whether the violation was isolated or part of a pattern and whether the violator has a prior record of violations of the Political Reform Act or similar laws; and (8) Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.³⁸

In this matter, the Committee and Levine failed to amend the statement of organization and failed to include the name of the sponsor in the name of the Committee. Failing to identify the sponsor deprives the public of transparency as to the true source of political spending.

The Committee and Levine also failed to timely file four pre-election campaign statements and two 24-hour contribution reports and failed to maintain campaign records. The public harm inherent in campaign reporting violations is that the public is deprived of important, time-sensitive information regarding campaign activity. Here, the failure to comply with campaign filing obligations resulted in a lack of complete and accurate information for the voting public regarding the Committee's campaign activity before the June 7, 2016 Primary Election and the November 8, 2016 General Election. In mitigation, the Committee and Levine provided some disclosure before the relevant elections in electronic format. To date, the Committee has failed to file a pre-election campaign statement for the reporting period ending on October 22, 2016, even though the Committee approximately raised \$90,000 and spent \$60,370 during this time. The Committee's two pre-election campaign statements and the termination campaign statement were filed for the incorrect reporting periods. The filing violations and failure to maintain records appear to be the result of negligence, as opposed to being deliberate or inadvertent acts. Additionally, the filing violations were part of a pattern, as opposed to an isolated incident, as the Committee and Levine failed to timely file the required campaign statements during two election cycles. In mitigation, some reports were filed prior to the election or timely

Levine does not appear to be an experienced treasurer; however, she has been contacted multiple times regarding the required campaign statements by the SOS and the Enforcement Division and failed to file the outstanding campaign statements.

The Committee and Levine do not have prior enforcement history. There is no information or evidence to show that Levine sought or received advice from the Commission or any other governmental agency.

The Enforcement Division also takes into consideration previous cases that were approved by the Commission in determining penalties. In this matter, the following cases were used as guidelines.

³⁸ Regulation 18361.5, subd. (e).

Count 1

• In the Matter of California Citizens for Fair Government and Chris Anderson, FPPC No. 14/507. (The Commission approved a stipulated decision on November 19, 2015.) The Respondents failed to include their sponsor in the committee's name and failed to disclose the sponsor on the committee's statement of organization. However, in mitigation, contributions received from the sponsor were properly disclosed on the Respondents' campaign statements. The Commission approved a penalty of \$3,500 per count.

Here, the Committee and Levine also failed to include their sponsor in the Committee's name and failed to amend the statement of organization. The contribution of \$100,000 received from the sponsor was disclosed on a pre-election campaign statement filed before the election only in electronic format. The second contribution of \$80,000 was disclosed timely on a 24-hour contribution report. In mitigation, the Committee did not pay for ads where a failure to amend a statement of organization would have resulted in an advertisement disclosure violation. In consideration of the factors, a penalty of \$4,000 is recommended.

Counts 2-3

- In the Matter of Ralph Denney and Vote Ralph Denney 2012 Committee to Elect Ralph Denney to the Assembly 78th District, FPPC No. 15/156. (The Commission approved a default decision on April 20, 2017.) The respondents, among other violations, failed to timely file two pre-election campaign statements. The respondents filed the required statements in electronic format, albeit late and well after the election. The Commission imposed a penalty of \$4,000 per count.
- In the Matter of Alfonso Sanchez and Alfonso Sanchez for School Board 2016, FPPC No. 16/20105. (The Commission approved a default decision on January 15, 2020.) The respondents, among other violations, failed to timely file two pre-election campaign statements. The statements were not filed before the relevant election. The Commission imposed a penalty of \$5,000 per count.

The Committee and Levine failed to timely file three pre-election campaign statements. The statements in question were filed late but before the relevant elections in electronic format. Additionally, the Committee and Levine failed to timely file a pre-election campaign statement and to date, it has not been filed. Here, the Committee and Levine were not experienced filers. Most of the relevant information, including large contributions, were disclosed, albeit untimely, on 24-hour reports filed prior to the election. Most of the statements were filed either timely electronically or were filed only a few days late but before the election. Though some information continues to fail to be disclosed properly, there is sufficient mitigation to justify combining violations into a single count. For Count 2, where the Respondents filed two statements late but before the relevant election in electronic format, a penalty of \$4,500 is recommended. For Count

3, where the Respondents filed one statement late but never filed another pre-election statement, a penalty of \$5,000 is recommended.

Count 4

• In the Matter of Angel Santiago, Friends of Angel Santiago for Inland Empire Utilities Agency Director Division 4, and Valerie Santiago, FPPC No. 13/547. (The Commission approved a default decision on December 17, 2015.) The Respondents, among other violations, failed to timely file four 24-hour contribution reports for contributions in the amount of \$1,500; \$5,000; \$1,000; and \$3,000. The respondents were experienced with the Act and failed to disclose the contributions in question before the election. The Commission imposed a penalty of \$4,000 per count.

Here, the Respondents were aware of the 24-hour filing obligation as they timely filed a 24-hour contribution for a contribution received on September 30, 2016. They failed to timely file two 24-hour contribution reports for contributions of \$10,000 and \$3,000. Both reports were filed after the election, and contributions in question were not disclosed before the election. Unlike in the comparable case, the Respondents were not experienced with the Act. Therefore, a penalty of \$4,500 is recommended.

Count 5

• In the Matter of Wyman for Attorney General 2014 and James M. O'Hearn, FPPC No. 17/378. (The Commission approved a default decision April 16, 2020.) The Respondents, among other violations, failed to maintain adequate records for \$29,863 in contributions received and \$1,207.49 in expenditures made. The Commission imposed a penalty of \$3,000 per count.

The Committee and Levine failed to keep a copy of the check for a \$100,000 contribution and failed to maintain receipts and/or invoices for expenditures made totaling \$25,627. A similar penalty is recommended.

PROPOSED PENALTY

After considering the factors of Regulation 18361.5 and the penalties imposed in prior cases, the following penalties are proposed:

Counts	Violations	Proposed Penalty
1	Failure to Amend the Statement of Organization	\$4,000
1	and to Include Sponsor in the Name of the Committee	\$4,000
2	Failure to Timely File a Pre-election Campaign Statement	\$4,500
3	Failure to Timely File a Pre-election Campaign Statement	\$5,000
4	Failure to Timely File 24-Hour Contribution Reports	\$4,500
5	Failure to Maintain Campaign Records	\$3,000
	Total:	\$21,000

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, California 95811. On February 22, 2022, I served the following document(s):

- 1. Letter re: Notice of Default Decision and Order and Administrative Fine, dated 02/18/2022, from Theresa Gilbertson, Senior Commission Counsel, Enforcement Division;
- 2. Copy of the signed Default Decision and Order, FPPC No. 17/193
- 3. Exhibit 1 in Support of a Default Decision and Order and Exhibits A-1 through A-18; and
- 4. Copy of California Code of Regulations, Title 2, Section 18361.11, "Default Proceedings."

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

<u>By United States Postal Service</u>. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by first class mail, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Shelley Levine Californians in Support of Mike Antonovich State Senate 2016

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on February 22, 2022.

Ginny Lambing



DECLARATION OF CUSTODIAN OF RECORDS CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION Enforcement Division

CERTIFICATION OF RECORDS

The undersigned declares and certifies as follows:

- 1. I am employed as an Associate Governmental Program Analyst by the California Fair Political Practices Commission (Commission). My business address is: California Fair Political Practices Commission, 1102 Q St, Ste 3000, Sacramento, CA 95811.
- 2. I am a duly authorized custodian of the records maintained by the Commission in the Enforcement Division. As such, I am authorized to certify copies of those records as being true and correct copies of the original business records which are in the custody of the Commission.
- 3. I have reviewed documents maintained in *FPPC Case Nos. 2017/01397 and 2018/00821; Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine*, and have caused copies to be made of documents contained therein. I certify that the copies attached hereto are true and correct copies of the documents prepared in the normal course of business and which are contained in files maintained by the Commission. The attached documents are as follows:
- EXHIBIT A-1: Report in Support of a Finding of Probable Cause, dated June 17, 2020
- EXHIBIT A-2: Proof of Service for the Report in Support of a Finding of Probable Cause and applicable statutes and regulations, dated June 18, 2020, USPS tracking, and a certified mail receipt
- EXHIBIT A-3: Cover letter to the Respondents regarding the Report in Support of a Finding of Probable Cause, dated June 17, 2020, memorandum describing Probable Cause Proceedings, and applicable statutes and regulations
- EXHIBIT A-4: Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served, dated July 28, 2020
- EXHIBIT A-5: Finding of Probable Cause and Order to Prepare and Serve an Accusation, dated July 31, 2020

- EXHIBIT A-6: Accusation, dated January 22, 2021
- EXHIBIT A-7: Proof of Service on January 25, 2021, for Accusation and accompanying documents, dated February 3, 2021
- EXHIBIT A-8: Statement to Respondents, Notices of Defense, Office of Administrative Hearings Consent to Electronic Service (E-Service or "SFT") Agreement, Office of Administrative Hearings Privacy Notice, and applicable statutes
- EXHIBIT A-9: Initial statement of organization, filed on March 22, 2016
- EXHIBIT A-10: Pre-election campaign statement for the reporting period of March 21, 2016 through April 25, 2016
- EXHIBIT A-11: Pre-election campaign statement for the reporting period of April 26, 2016 through May 26, 2016
- EXHIBIT A-12: Semiannual campaign statement for the reporting period of May 22, 2016 through June 30, 2016
- EXHIBIT A-13: Pre-election campaign statement for the reporting period July 1, 2016 through September 24, 2016
- EXHIBIT A-14: 24-hour contribution report for a contribution of \$80,000 received from DeAnza Properties
- EXHIBIT A-15: 24-hour contribution report for a contribution of \$10,000 received from Fieldstead & Company and a contribution of \$3,000 received from Margaret Perenchio
- EXHIBIT A-16: Termination campaign statement for the reporting period of November 6, 2016 through December 13, 2016
- EXHIBIT A-17: Notice of Default Decision and Order, dated January 28, 2022
- EXHIBIT A:18: Notice of Intent to Enter Default Decision and Order, dated February 18, 2022

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on February 22, 2022, at Sacramento, California.

Shaina Ellin

Shaina Elkin Associate Governmental Program Analyst Enforcement Division Fair Political Practices Commission

Exhibit A-1

1 2 3 4 5 6 7	GALENA WEST Chief of Enforcement THERESA GILBERTSON Commission Counsel FAIR POLITICAL PRACTICES COMMISSION 1102 Q Street, Suite 3000 Sacramento, CA 95811 Telephone: (916) 323-6421 Email: tgilbertson@fppc.ca.gov Attorneys for Complainant Enforcement Division of the Fair Political Practices of			
8 9	BEFORE THE FAIR POLITICA			
10	STATE OF CA	ALIFORNIA		
11	In the Matter of ())	FPPC Nos. 2017/01397 and 2018/00821		
12 13	CALIFORNIANS IN SUPPORT OF)) MIKE ANTONOVICH STATE SENATE))	REPORT IN SUPPORT OF A FINDING OF PROBABLE CAUSE Conference Date: TBA		
14 15 16	ll j)	Conference Time: TBA Conference Location: Commission Offices 1102 Q Street, Suite 3000 Sacramento, CA 95811		
17	INTRODU	JCTION		
18	Respondent Californians in Support of Mike	Antonovich State Senate 2016 ("Committee") was		
19 20	a primarily formed committee formed to support M	ichael D. Antonovich, a candidate for State Senate		
21	District 25 during the June 7, 2016 Primary Election a	nd the November 8, 2016 General Election. Shelley		
22	Levine ("Levine") served as the committee's treasurer. Antonovich was successful in the primary but			
23	was ultimately unsuccessful during the general election. This case arose from an audit conducted by the			
24	Franchise Tax Board ("FTB"). The FTB audit report covered the audit period of January 1, 2016 through			
25	December 13, 2016. During the audit period, the Committee raised approximately \$193,000 and spent			
26	\$192,580. The FTB's audit found, and the Enfo	rcement Division of the Fair Political Practices		
27	1			
	DEDODT IN CUIDODT OF FINI			

Commission confirmed, that the Committee and Levine violated the Political Reform Act¹ ("Act") by failing to timely file pre-election campaign statements for both the primary and general elections, failing to timely file two 24-hour contribution reports, failed to amend the statement of organization to indicate the date of qualification and to include the name of the sponsor, and failed to maintain adequate records and source documents to substantiate the campaign reporting.

SUMMARY OF THE LAW

7 Jurisdiction

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The Fair Political Practices Commission (the "Commission") has administrative jurisdiction to enforce the provisions of the Act.²

10 Probable Cause Proceedings

Prior to the Enforcement Division commencing an administrative action, the General Counsel of the Commission or his designee (the "hearing officer"), must make a finding that there is probable cause to believe the respondent has violated the Act.³ After a finding of probable cause, the Commission may hold a noticed hearing in accordance with the Administrative Procedure Act⁴ to determine whether violations occurred, and levy an administrative penalty of up to \$5,000 for each violation.⁵

16 Standard for Finding Probable Cause

To make a finding of probable cause, the hearing officer must be presented with sufficient evidence to lead a person of ordinary caution and prudence to believe, or entertain a strong suspicion, that a respondent committed or caused a violation.⁶

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- ¹ The Political Reform Act—sometimes simply referred to as the Act—is contained in Government Code sections 81000 through 91014. All statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to this source.
 - ² Section 83116.
 - ³ Section 83115.5 and Regulations 18361 and 18361.4.
 - ⁴ Section 11500, et seq.
 - ⁵ Section 83116 and Regulation 18361.4, subdivision (e).
 - ⁶ Section 18361.4, subdivision (e).

2 REPORT IN SUPPORT OF FINDING OF PROBABLE CAUSE FPPC Case Nos. 2017/01397 and 2018/00821

Need for Liberal Construction and Vigorous Enforcement of the Political Reform Act

When enacting the Political Reform Act, the people of the state of California found and declared previous laws regulating political practices suffered from inadequate enforcement by state and local authorities.⁷ To that end, the Act must be liberally construed to achieve its purposes.⁸

A central purpose of the Act is to ensure voters are fully informed and improper practices are inhibited by requiring committees to disclose all contributions and expenditures made throughout a campaign.⁹ Another is to provide adequate enforcement mechanisms so that the Act will be "vigorously enforced."¹⁰

Definition of Committee

A "committee" included any person or combination of persons who received contributions totaling \$2,000 or more in a calendar year.¹¹ This type of committee is commonly referred to as a "recipient committee." A recipient committee controlled by a candidate is called a controlled committee. Qualification and Statements of Organization

Any committee that comes under the definition of a recipient committee is required to file a statement of organization with the Secretary of State and with the local filing officer.¹² Once the committee qualifies as a committee, it must amend the statement of organization to include the date of qualification. The committee must submit an amended statement within 10 days of any change in the information submitted on the form, such as updating the form to indicate the date the committee reached the threshold of raising or spending \$2,000 and qualifying as a committee.¹³ Once the Committee qualifies, the statement of organization must be updated to include the name and address of the financial

⁷ Section 81001, subdivision (h).
⁸ Section 81003.
⁹ Section 81002, subdivision (a).
¹⁰ Section 81002, subdivision (f).
¹¹ Section 82013, subdivision (a).
¹² Section 84101.
¹³ Section 84103, subdivision (a).

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institution where the candidate has established a designated campaign contribution account and the
 account number.¹⁴

Primarily Formed Committee

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A "primarily formed committee" means a recipient committee which is formed or exists primarily to support or oppose either a single candidate; a single measure; a group of specific candidates being voted upon in the same city, county, or multicounty election; or two or more measures being voted upon in the same city, county, multicounty, or state election.¹⁵

Committee Sponsor

A "sponsored committee" is defined as a committee, other than a candidate-controlled committee, with one or more sponsors. An organization sponsors a committee if any of the following apply: (1) the committee receives 80 percent or more of its contributions from the organization; (2) the organization collects contributions for the committee by use of payroll deduction or dues; (3) the organization provides all or nearly all of the administrative services for the committee; or (4) the organization sets the policies for soliciting contributions or making expenditures of committee funds.¹⁶ The name of the committee is required to include the name of the sponsor.¹⁷ Whenever identification of a sponsored committee is required, such as on advertisement disclosures or sender identification on mass mailings, the identification shall include the full name of the committee as required in its statement of organization.¹⁸ Mandatory Filing of Campaign Statements

At the core of the Act's campaign reporting system is the requirement that committees file campaign statements and reports for certain reporting periods, by certain deadlines, and including certain information.¹⁹ The Act requires committees primarily formed to support or oppose a candidate appearing on the ballot at the next election.²⁰ In connection with the 2016 General Election held on November 8,

¹⁴ Section 85200 and 85001.
¹⁵ Section 82047.5.
¹⁶ Section 82048.7.
¹⁷ Section 84102.
¹⁸ Section 84106.
¹⁹ Sections 84200, *et seq.*²⁰ Section 84200.5, subdivision (a)(1).

2016, the first pre-election campaign statement was due by September 29, 2016 and covered the period
 from July 1, 2016 through September 24, 2016 and the second pre-election campaign statement was due
 by October 27, 2016 and covered the period from September 25, 2016 through October 22, 2016.²¹

Committees formed primarily to support or oppose candidates for members of the Legislature shall file a campaign statement by online or electronic means, provided the committee has received or spent totals \$25,000 or more, and shall file the original and one copy of the campaign statement in paper format with the Secretary of State.²²

24-hour Contribution Reports

Each candidate or committee that makes or receives a late contribution must file a report within 24 hours of making or receiving the contribution.²³ A "late contribution" includes a contribution in total or in the aggregate \$1,000 or more that is made or received by a candidate, a controlled committee, or a committee formed or existing primarily to support or oppose a candidate or measure during the 90-day period preceding the date of the election or on the date of the election at which the candidate is to be voted on.²⁴ The late contribution period began on March 9, 2016 for the June 7, 2016 Primary Election and on August 10, 2016 for the November 8, 2016 General Election.

Recordkeeping Requirements

Treasurers have a duty to maintain detailed accounts, records, bills, and receipts necessary to prepare campaign statements and establish campaign statements were properly filed.²⁵ This duty includes maintenance of detailed information and original source documentation for a period of four years following the date the campaign statement to which they relate is filed.²⁶ Examples of original source documentation that must be maintained include copies of bills, receipts, and invoices for expenditures of \$25 or more.²⁷

- ²¹ Section 84200.8.
 ²² Section 84215 and 84605.
 ²³ Section 84203.
- ²⁴ Section 82036.
 ²⁵ Section 84104.
- ²⁶ Regulation 18401.
 - ²⁷ Regulation 18401, subdivision (a)(4).

REPORT IN SUPPORT OF FINDING OF PROBABLE CAUSE FPPC Case Nos. 2017/01397 and 2018/00821

Treasurer Liability

Under the Act, it is the duty of the treasurer of a committee to ensure that the committee complies with all the requirements of the Act concerning the receipt, expenditure, and reporting of funds.²⁸ The treasurer may be held jointly and severally liable, along with the committee, for violations committed by the committee.²⁹

SUMMARY OF THE EVIDENCE

The Committee formed as a primarily formed recipient committee by filing a statement of organization with the Secretary of State on March 22, 2016. On this statement, the Committee indicated that it did not yet qualify. Levine was listed as both the treasurer and the principal officer.

The Committee qualified on or around April 4, 2016 when it reported receiving a \$100,000 contribution from De Anza Properties. The contributor's full name, according to committee records and corporation filings, is De Anza Building and Maintenance, Inc. According to campaign statements and committee records, the Committee received no additional contributions until after the Primary Election held on June 7, 2016. De Anza Building and Maintenance, Inc. contributed an additional \$80,000 on or around September 30, 2016. During the life of the Committee, De Anza Building and Maintenance, Inc. contributed \$180,000 in contributions, accounting for approximately 93% of the Committee's contributions. The Committee and Levine were required to file an amended statement of organization within 10 days of qualifying to indicate that the Committee had qualified and to indicate that the Committee was sponsored by De Anza Building and Maintenance, Inc. The Committee and Levine were required to include the name of the sponsor in the name of the Committee.

According to campaign statements, the Committee made no contributions or expenditures to candidates or measures. Instead, the Committee's expenditures were either administrative or paid for the candidate, Antonovich, to be featured in slate mailers distributed by slate mailer organizations. Therefore, the Committee's name did not need to be disclosed on any advertisement disclosures. However, the slate

²⁹ Sections 83116.5 and 91006.

²⁸ Sections 81004, 84100, 84104, 84213, and Regulation 18427.

mailer organizations are required to disclose payments received and therefore, due to the failure of the Committee to amend the name, the slate mailer organizations did not disclose on their filings that the payments were made by a committee sponsored by De Anza Building and Maintenance, Inc.

The Committee failed to timely file electronic and/or paper copies of four pre-election campaign statements and two 24-hour contribution reports, as described in the chart below. The Committee also failed to report for the full required reporting period. For example, the first pre-election campaign statement was required to include disclosure for the period dating back to January 1, 2016 but the statement as filed only covered the period starting March 21, 2016. In addition, some of the reporting periods as filed did not match the required reporting periods prescribed by law:

Required	Reporting	Date	Date Filed	Contributions/Expenditure
Reporting	Period as	Due		
Period	Filed			
Pre-election	03/21/16 -	4/28/16	Electronic: 4/25/16	\$100,000 Contributions
01/01/16 -	04/25/16		Paper: 6/19/17	\$53,394 Expenditures
04/23/16			(417 days late)	
Pre-election	04/26/16 -	05/25/16	Electronic: 5/30/16	\$0 Contributions
04/24/16 -	05/26/16		(4 days late)	\$44,827 Expenditures
05/21/16			Paper: 06/02/16	
			(373 days late)	
Semiannual		08/01/16	Electronic: 06/20/16	\$0 Contributions
05/22/16 -			Paper: 06/19/17	\$889 Expenditures
06/30/16			(322 days late)	
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REPORT IN SUPPORT OF FINDING OF PROBABLE CAUSE FPPC Case Nos. 2017/01397 and 2018/00821				

Pre-election		09/29/16	Electronic: 10/8/16	\$0 Contributions
07/01/16 -			(9 days late)	\$0 Expenditures
09/24/16			Paper: 06/19/17	
			(263 days late)	
24-Hr Report		10/19/16	11/13/16 (25 days late)	\$10,000 from Fieldstead &
				Company received on
				10/18/16
Pre-election		10/27/16	NOT FILED	\$90,000 Contributions
09/25/16 -				\$60,370 Expenditures
10/22/16				*Per bank records and per
				Form 497s and 496s filed.
24-Hr Report		11/2/16	11/13/16 (11 days late)	\$3,000 from Margaret A.
				Perenchio received on
				11/01/16
Termination	11/6/16 –	01/31/17	Electronic only:	\$0 Contributions
10/23/16 -	012/13/16		12/13/16	\$14,300 Expenditures
12/31/16				
	07/01/16 – 09/24/16 24-Hr Report Pre-election 09/25/16 – 10/22/16 24-Hr Report Termination 10/23/16 –	07/01/16 – 09/24/16 24-Hr Report Pre-election 09/25/16 – 10/22/16 24-Hr Report 24-Hr Report Termination 11/6/16 – 10/23/16 – 012/13/16	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$

According to the audit and verified by the Enforcement Division, the Committee failed to maintain adequate records and source documentation to substantiate the filings. For example, the Committee received a \$100,000 contribution on or around April 4, 2016 but failed to keep a copy of the check. A check register, duplicate deposit slip, and bank statements were provided. Receipts and/or invoices were not maintained for expenditures during the audit period that totaled approximately \$25,627. This included an expenditure of \$3,500, which was reported as office expenses and the payee was reportedly Levine, but the bank records show this was a cash withdrawal on April 6, 2016. The lack of records regarding this expenditure inhibits the ability to determine if this expenditure violated the

REPORT IN SUPPORT OF FINDING OF PROBABLE CAUSE FPPC Case Nos. 2017/01397 and 2018/00821

prohibition on cash expenditures of \$100 or more or if the expenditure was a legitimate use of campaign 1 2 funds. For a \$9,000 payment made on or by November 16, 2016, the Committee provided only an undated 3 invoice and a bank statement. There was no copy of the canceled check or electronic image provided to verify the payee. 4

5 The Committee filed a statement of termination with the Secretary of State on December 16, 2016. 6

VIOLATIONS

Count 1: Failure to Amend the Statement of Organization and

to Include Sponsor in the Name of the Committee

The Committee and Levine failed to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failed to include the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee, in violation of Government Code sections 84103 and 84106.

Count 2: Failure to Timely File a Pre-election Campaign Statement

The Committee and Levine failed to timely file a pre-election campaign statement in paper format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline of April 28, 2016, in violation of Government Code section 84200.5.

Count 3: Failure to Timely File a Pre-election Campaign Statement

The Committee and Levine failed to timely file a pre-election campaign statement in electronic and paper format for the reporting period of April 24, 2016 through May 21, 2016 by the deadline of May 26, 2016, in violation of Government Code section 84200.5.

Count 4: Failure to Timely File a Pre-election Campaign Statements

The Committee and Levine failed to timely file a pre-election campaign statement in electronic and paper format for the reporting period of July 1, 2016 through September 24, 2016 by the deadline of September 29, 2016, in violation of Government Code section 84200.5.

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The Committee and Levine failed to timely file a pre-election campaign statement in electronic and paper format for the reporting period of September 25, 2016 through October 22, 2016 by the deadline of October 27, 2016, in violation of Government Code section 84200.5. <u>Count 6: Failure to Timely File a 24-Hour Contribution Report</u> The Committee and Levine failed to timely file a 24-hour contribution report due on October 19, 2016 for a \$10,000 contribution, in violation of Government Code section 84203. <u>Count 7: Failure to Timely File a 24-Hour Contribution Report</u> The Committee and Levine failed to timely file a 24-hour contribution report due on November 2, 2016 for a \$3,000 contribution, in violation of Government Code section 84203. <u>Count 8: Failure to Timely File a 24-hour contribution report</u> due on November 2, 2016 for a \$3,000 contribution, in violation of Government Code section 84203. <u>Count 8: Failure to Maintain Campaign Records</u> The Committee and Levine failed to maintain adequate source documentation and records sufficient to substantiate the reporting for the period occurring between January 1, 2016 through December 13, 2016 in violation of Government Code section 84104. **EXCULPATORY OR MITIGATING INFORMATION** The Committee and Levine have no prior record of violations. The filing violations and failure to

Count 5: Failure to Timely File a Pre-election Campaign Statements

maintain records appear to be the result of negligence, as opposed to being deliberate or inadvertent acts. The electronic filing of campaign statements, in some instances, close to the deadline, providing some disclosure to the public.

OTHER RELEVANT MATERIAL

The failure to include the name of the sponsor and the choice to pay for features of the supported candidate, Antonovich, in several slate mailers suggests that the intention was to hide or minimize the role of the sponsor, De Anza Building and Maintenance, Inc., in supporting the candidate, Antonovich. Failing to identify the sponsor deprives the public of transparency as to the true source of political spending.

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10 REPORT IN SUPPORT OF FINDING OF PROBABLE CAUSE FPPC Case Nos. 2017/01397 and 2018/00821

The failure to timely file pre-election campaign statements and 24-hour contribution reports
causes public harm as it deprives the public of transparency in political spending during the crucial weeks
prior to an election. The Committee and Levine have failed to file all outstanding campaign statements.

CONCLUSION

Probable cause exists to believe that the Committee and Levine violated the Act as described above. The Enforcement Division respectfully requests an order finding probable cause pursuant to Section 83115.5 and Regulation 18361.4.

Dated: 06/17/2020

Respectfully Submitted,
FAIR POLITICAL PRACTICES COMMISSION

Galena West Enforcement Chief

By: Theresa Gilbertson Commission Counsel Enforcement Division

Exhibit A-2

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On June 18, 2020, I served the following document(s):

- 1. Letter dated June 17, 2020, from Theresa Gilbertson;
- 2. FPPC No. 17/01397 and 18/00821 Report in Support of a Finding of Probable Cause;
- 3. Probable Cause Fact Sheet;
- 4. Selected Sections of the California Government Code regarding Probable Cause Proceedings for the Fair Political Practices Commission; and
- 5. Selected Regulations of the Fair Political Practices Commission regarding Probable Cause Proceedings.

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by certified mail, return receipt requested, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Certified Mail, Return Receipt Requested

Shelley Levine

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on June 18, 2020.

Kem Kimberly Rawnsley

USPS Tracking[®]

Track Another Package +

Tracking Number: 70182290000191663216

Your item was delivered to an individual at the address at 3:09 pm on June 22, 2020 in STUDIO CITY, CA 91604.

✓ Delivered June 22, 2020 at 3:09 pm Delivered, Left with Individual STUDIO CITY, CA 91604 Get Updates ✓

Text & Email Updates	\checkmark
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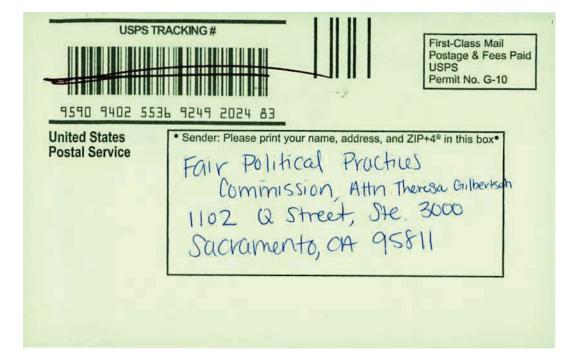
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FAQs





INTRODUCTION

The Fair Political Practices Commission is required by law to determine whether probable cause exists to believe that the Political Reform Act (the "Act") was violated before a public administrative accusation may be issued.

The probable cause proceedings before the Fair Political Practices Commission are unique, and most respondents and their attorneys are unfamiliar with them. Therefore, we have prepared this summary to acquaint you with the process.

THE LAW

Government Code sections 83115.5 and 83116 set forth the basic requirement that a finding of probable cause be made in a "private" proceeding before a public accusation is issued and a public hearing conducted in accordance with the Administrative Procedure Act.

The Commission has promulgated regulations further defining the probable cause procedure and delegating to the General Counsel (the "Hearing Officer" for purposes of these proceedings) the authority to preside over such proceedings and decide probable cause. A copy of these statutes and regulations are attached for your convenience.

In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
- d) If a timely request was made, a non-public conference with the General Counsel and the Enforcement Division staff to consider whether or not probable cause exists to believe the Act was violated.

THE PROCEDURE

Probable Cause Report

Administrative enforcement proceedings are commenced with the service, by registered or certified mail or in person, of a probable cause report. The report will contain a summary of the law and the evidence, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. It is filed with the Hearing Officer.

Discovery

Within 21 calendar days following the service of the probable cause report, you may request discovery of the evidence in the possession of the Enforcement Division. This is not a right to full discovery of the Enforcement Division file, but to the evidence relied upon by the Division along with any exculpatory or mitigating evidence.¹

This request must be sent by registered or certified mail to the Commission Assistant.

Response to Probable Cause Report

Within 21 calendar days following the service of the probable cause report (or, if you timely requested discovery, within 21 calendar days from the service of the evidence) you may submit a response to the Report. By regulation, the written response may contain, "... a summary of evidence, legal arguments, and any mitigating or exculpatory information."²

You must file your response with the Commission Assistant and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report.

Staff Reply

Within 10 calendar days following the date the response was filed with the Commission Assistant, Commission staff may submit any evidence or argument in rebuttal. You will be served with a copy of any such reply.

Probable Cause Conference

Probable cause conferences are held at the offices of the Fair Political Practices Commission, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone. The proceedings are not public unless all proposed respondents agree to open the conference to the public. Otherwise, the probable cause report, any written responses, and the probable cause conference itself are confidential.

Unless the probable cause conference is public, the only persons who may attend are the staff of the Commission, any proposed respondent and his or her attorney or representative, and, at the discretion of the Hearing Officer, witnesses.

The Hearing Officer may, but need not, permit testimony from witnesses. Probable cause conferences are less formal than court proceedings. The rules of evidence do not apply. The conferences will be recorded and a copy of the recording will be provided upon request.

Since it has the burden of proof, the Enforcement Division is permitted to open and close the conference presentations. The Hearing Officer may also hold the record open to receive additional evidence or arguments.

Probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether or not there is probable cause to believe that the Political Reform Act was violated. Anyone who wishes to discuss settlement with the Enforcement

¹ But see 2 CCR § 18362, which states that the Commission provides access to complaints, responses to complaints, and investigative files and information in accordance with the requirements of the Public Records Act (Govt. Code § 6250, et seq.).

² 2 CCR § 18361.4, subd. (c).

Division may do so before or after the probable cause conference but not during the conference.

The Hearing Officer will find probable cause "if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation."³

Ordinarily, probable cause determinations are made based upon the written probable cause report, any written response by the respondent, any written reply by the Enforcement Division, and the oral arguments presented at the conference. Timely written presentations are strongly recommended.

Probable Cause Order and Accusation

Once the matter is submitted to the Hearing Officer, the probable cause decision will normally be made within ten days. If the Hearing Officer finds probable cause, he will issue a Finding of Probable Cause, which will be publicly announced at the next Commission Meeting. An Accusation will be issued soon after the Finding of Probable Cause is publicly announced.

Continuances

Every reasonable effort is made to accommodate the schedules of parties and counsel. However, once a date has been set, it is assumed to be firm and will not be continued except upon the order of the Hearing Officer after a showing of good cause.

Settlements

Settlement discussions may take place at any time between a proposed respondent (or his or her counsel or representative) and the Enforcement Division except during the probable cause conference. The Hearing Officer will not participate in any settlement negotiations.

CONCLUSION

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

Attachments: Relevant Sections of (1) California Government Code, and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.

³ 2 CCR §18361.4, subd. (e).

CALIFORNIA GOVERNMENT CODE

Probable Cause Statutes

§ 83115.5. Probable cause; violation of title; notice of violation; summary of evidence; notice of rights; private proceedings

No finding of probable cause to believe this title has been violated shall be made by the commission unless, at least 21 days prior to the commission's consideration of the alleged violation, the person alleged to have violated this title is notified of the violation by service of process or registered mail with return receipt requested, provided with a summary of the evidence, and informed of his right to be present in person and represented by counsel at any proceeding of the commission held for the purpose of considering whether probable cause exists for believing the person violated this title. Notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. A proceeding held for the purpose of considering probable cause shall be private unless the alleged violator files with the commission a written request that the proceeding be public.

§ 83116. Violation of title; probable cause; hearing; order

When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.

REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS

Probable Cause Regulations

§ 18361 (b). Delegation by the Executive Director Pertaining to Enforcement Proceedings and Authority to Hear Probable Cause Proceedings.

Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

§ 18361.4. Probable Cause Proceedings

(a) <u>Probable Cause Report</u>. If the Chief of the Enforcement Division decides to commence probable cause proceedings pursuant to Sections 83115.5 and 83116, he or she shall direct the Enforcement Division staff to prepare a written report, hereafter referred to as "the probable cause report." The probable cause report shall contain a summary of the law and evidence gathered in connection with the investigation, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. The evidence recited in the probable cause report may include hearsay, including declarations of investigators or others relating the statements of witnesses or concerning the examination of physical evidence.

(b) No probable cause hearing will take place until at least 21 calendar days after the Enforcement Division staff provides the following, by service of process or registered or certified mail with return receipt requested, to all proposed respondents:

- (1) A copy of the probable cause report;
- (2) Notification that the proposed respondents have the right to respond in writing to the probable cause report and to request a probable cause conference at which the proposed respondent may be present in person and represented by counsel, and;
- (3) If the Commission met in executive session on this matter pursuant to Regulation 18361.2, a copy of any staff memoranda submitted to the Commission at that time along with the recording of any discussion between the Commission and the staff at the executive session as required in subdivision (b) of Regulation 18361.2.
- (c) Response to Probable Cause Report.
 - (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the "hearing officer") and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
 - (2) Within 21 calendar days following the service of the probable cause report, a proposed

respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

(3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) Finding of Probable Cause. The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

§ 18362. Access to Enforcement Records

(a) Production of Enforcement Records. The Commission will make complaints, investigative files, and related records available to the public and members of the media in accordance with the Public Records Act (Government Code Section 6250, et seq.) Enforcement records requests should specifically identify the documents sought.

(b) Format of Enforcement Records. The Commission will make records available for inspection at its office during office hours. The Commission may provide copies of requested records either in paper or electronic format to the person that requested the records in lieu of inspecting the records at the Commission offices. Any person requesting paper copies of records must reimburse the Commission ten cents (\$0.10) per page to pay the cost of copying, or supply copying equipment and make copies in the offices of the Commission. Original records of the Commission may not be removed from the offices of the Commission.

(c) Complaint Notice Period. The Commission may not provide or make available complaints or related records until after the disclosure periods provided in Regulation 18360.

§ 18361.2. Memorandum Respecting Civil Litigation.

(a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.

(b) The Commission shall review the memorandum at an executive session. The General

Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
- (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
- (3) Return the matter to the staff for further investigation.
- (4) Take no further action on the matter or take any other action it deems appropriate.

(c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.

(d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.

(e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

Exhibit A-3



June 17, 2020

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

(916) 322-5660 · Fax (916) 322-0886

Shelley Levine

In the Matter of Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine, FPPC Case Nos. 2017/01397 and 2018/00821

Dear Ms. Levine:

The Enforcement Division of the Fair Political Practices Commission (the "Commission") is proceeding with an administrative action against you for your failure to comply with the filing and disclosure provisions of the Political Reform Act (the "Act"), as described in our previous discussions. The enclosed Report in Support of a Finding of Probable Cause (the "Report") contains a summary of the alleged violations and the relevant law and evidence.

Please note, this Report does not impact settlement negotiations. If you have questions or concerns about the purpose of this Report or about settlement negotiations, *please contact me at (916) 323-6421 or* tgilbertson@fppc.ca.gov.

You have the right to file a written response to the Report. That response may contain any information you think is relevant and that you wish to bring to the attention of the Hearing Officer. In your response, please indicate whether you would like the Hearing Officer to make a determination of probable cause based on the written materials alone (the Report and your response) or request a conference, during which you may orally present your case to the Hearing Officer. Probable cause conferences are held in our office, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone and you are entitled to be represented by counsel. *If you wish to submit a written response or request a probable cause conference, it must be filed with the Commission Assistant at the address listed above within 21 days from the date of service of this letter.* You can reach the Commission Assistant at (916) 327-8269.

Please note that probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether there is probable cause to believe that the Act was violated.

Finally, you have the right to request discovery of the evidence in possession of, and relied upon by, the Enforcement Division. *This request must also be filed with the Commission Assistant within 21 days from the date of service of this letter.* Should you request discovery, the Enforcement Division will provide the evidence by service of process or certified mail. From the date you are served with the evidence, you would have an additional 21 days to file a written response to the Report, just as described above.

Should you take no action within 21 days from the date of service of this letter, your rights to respond and to request a conference are automatically waived and the Enforcement Division will independently pursue the issuance of an accusation.

For your convenience, I have enclosed a fact sheet on probable cause proceedings and copies of the most relevant statutes and regulations.

Sincerelv eresa Gilbertson

Commission Counsel

Enclosures

INTRODUCTION

The Fair Political Practices Commission is required by law to determine whether probable cause exists to believe that the Political Reform Act (the "Act") was violated before a public administrative accusation may be issued.

The probable cause proceedings before the Fair Political Practices Commission are unique, and most respondents and their attorneys are unfamiliar with them. Therefore, we have prepared this summary to acquaint you with the process.

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The Commission has promulgated regulations further defining the probable cause procedure and delegating to the General Counsel (the "Hearing Officer" for purposes of these proceedings) the authority to preside over such proceedings and decide probable cause. A copy of these statutes and regulations are attached for your convenience.

In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
- d) If a timely request was made, a non-public conference with the General Counsel and the Enforcement Division staff to consider whether or not probable cause exists to believe the Act was violated.

THE PROCEDURE

Probable Cause Report

Administrative enforcement proceedings are commenced with the service, by registered or certified mail or in person, of a probable cause report. The report will contain a summary of the law and the evidence, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. It is filed with the Hearing Officer.

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Response to Probable Cause Report

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Within 10 calendar days following the date the response was filed with the Commission Assistant, Commission staff may submit any evidence or argument in rebuttal. You will be served with a copy of any such reply.

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Probable cause conferences are held at the offices of the Fair Political Practices Commission, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone. The proceedings are not public unless all proposed respondents agree to open the conference to the public. Otherwise, the probable cause report, any written responses, and the probable cause conference itself are confidential.

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Division may do so before or after the probable cause conference but not during the conference.

The Hearing Officer will find probable cause "if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation."³

Ordinarily, probable cause determinations are made based upon the written probable cause report, any written response by the respondent, any written reply by the Enforcement Division, and the oral arguments presented at the conference. Timely written presentations are strongly recommended.

Probable Cause Order and Accusation

Once the matter is submitted to the Hearing Officer, the probable cause decision will normally be made within ten days. If the Hearing Officer finds probable cause, he will issue a Finding of Probable Cause, which will be publicly announced at the next Commission Meeting. An Accusation will be issued soon after the Finding of Probable Cause is publicly announced.

Continuances

Every reasonable effort is made to accommodate the schedules of parties and counsel. However, once a date has been set, it is assumed to be firm and will not be continued except upon the order of the Hearing Officer after a showing of good cause.

Settlements

Settlement discussions may take place at any time between a proposed respondent (or his or her counsel or representative) and the Enforcement Division except during the probable cause conference. The Hearing Officer will not participate in any settlement negotiations.

CONCLUSION

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

Attachments: Relevant Sections of (1) California Government Code, and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.

³ 2 CCR §18361.4, subd. (e).

CALIFORNIA GOVERNMENT CODE

Probable Cause Statutes

§ 83115.5. Probable cause; violation of title; notice of violation; summary of evidence; notice of rights; private proceedings

No finding of probable cause to believe this title has been violated shall be made by the commission unless, at least 21 days prior to the commission's consideration of the alleged violation, the person alleged to have violated this title is notified of the violation by service of process or registered mail with return receipt requested, provided with a summary of the evidence, and informed of his right to be present in person and represented by counsel at any proceeding of the commission held for the purpose of considering whether probable cause exists for believing the person violated this title. Notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. A proceeding held for the purpose of considering probable cause shall be private unless the alleged violator files with the commission a written request that the proceeding be public.

§ 83116. Violation of title; probable cause; hearing; order

When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.

REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS

Probable Cause Regulations

§ 18361 (b). Delegation by the Executive Director Pertaining to Enforcement Proceedings and Authority to Hear Probable Cause Proceedings.

Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

§ 18361.4. Probable Cause Proceedings

(a) <u>Probable Cause Report</u>. If the Chief of the Enforcement Division decides to commence probable cause proceedings pursuant to Sections 83115.5 and 83116, he or she shall direct the Enforcement Division staff to prepare a written report, hereafter referred to as "the probable cause report." The probable cause report shall contain a summary of the law and evidence gathered in connection with the investigation, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. The evidence recited in the probable cause report may include hearsay, including declarations of investigators or others relating the statements of witnesses or concerning the examination of physical evidence.

(b) No probable cause hearing will take place until at least 21 calendar days after the Enforcement Division staff provides the following, by service of process or registered or certified mail with return receipt requested, to all proposed respondents:

- (1) A copy of the probable cause report;
- (2) Notification that the proposed respondents have the right to respond in writing to the probable cause report and to request a probable cause conference at which the proposed respondent may be present in person and represented by counsel, and;
- (3) If the Commission met in executive session on this matter pursuant to Regulation 18361.2, a copy of any staff memoranda submitted to the Commission at that time along with the recording of any discussion between the Commission and the staff at the executive session as required in subdivision (b) of Regulation 18361.2.
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 - (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the "hearing officer") and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
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respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

(3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) Finding of Probable Cause. The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

§ 18362. Access to Enforcement Records

(a) Production of Enforcement Records. The Commission will make complaints, investigative files, and related records available to the public and members of the media in accordance with the Public Records Act (Government Code Section 6250, et seq.) Enforcement records requests should specifically identify the documents sought.

(b) Format of Enforcement Records. The Commission will make records available for inspection at its office during office hours. The Commission may provide copies of requested records either in paper or electronic format to the person that requested the records in lieu of inspecting the records at the Commission offices. Any person requesting paper copies of records must reimburse the Commission ten cents (\$0.10) per page to pay the cost of copying, or supply copying equipment and make copies in the offices of the Commission. Original records of the Commission may not be removed from the offices of the Commission.

(c) Complaint Notice Period. The Commission may not provide or make available complaints or related records until after the disclosure periods provided in Regulation 18360.

§ 18361.2. Memorandum Respecting Civil Litigation.

(a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.

(b) The Commission shall review the memorandum at an executive session. The General

Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
- (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
- (3) Return the matter to the staff for further investigation.
- (4) Take no further action on the matter or take any other action it deems appropriate.

(c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.

(d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.

(e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

Exhibit A-4

1	GALENA WEST	
	Chief of Enforcement THERESA GILBERTSON	
2	Senior Commission Counsel FAIR POLITICAL PRACTICES COMMISSION	
3	1102 Q Street, Suite 3000 Sacramento, CA 95811	
4	Telephone: (916) 323-6421 Email: tgilbertson@fppc.ca.gov	
5	Attorneys for Complainant	
6		
7	BEFORE THE FAIR POLITICAL	L PRACTICES COMMISSION
8	STATE OF CA	ALIFORNIA
9	In the Metter of	$EDDC N_{a} = 17/01207 \text{ and } 18/00821$
10	In the Matter of) FPPC No. 17/01397 and 18/00821
11) EX PARTE REQUEST FOR A FINDING OF) PROBABLE CAUSE AND AN ORDER THAT
12	CALIFORNIANS IN SUPPORT OF MIKE ANTONOVICH STATE SENATE 2016) AN ACCUSATION BE PREPARED AND) SERVED
13	and SHELLEY LEVINE,)) Gov. Code § 83115.5
14	Respondents.)
15	TO THE HEARING OFFICER OF THE FA	AIR POLITICAL PRACTICES COMMISSION:
16	Pursuant to Section 83115.5 of the Political	Reform Act (the "Act") ¹ and Regulation 18361.4,
17	Respondents Californians in Support of Mike Ar	tonovich State Senate 2016 ("Committee") and
18	committee treasurer, Shelley Levine ("Levine") were	served with a copy of a report in support of a finding
19	of probable cause ("Report") in the above-entitled ma	tter. ² The Report, attached as "Exhibit A," was part
20	of a packet of materials, including a cover letter	and a memorandum describing probable cause
21	proceedings, which was served to Levine by means of	f certified mail, return receipt requested on June 22,
22	2020. A copy of the return receipt is attached as "Exh	ibit B."
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24		
25	to this code. The regulations of the Fair Political Practices Com	
26	the California Code of Regulations, and all regulatory references ² Gov. Code § 83115.5; Cal. Code Reg., tit. 2, § 18361.	
27	EX PARTE REQUEST FOR A FINDING OF PROBAI	BLE CAUSE AND AN ORDER RE: ACCUSATION

EX PARTE REQUEST FOR A FINDING OF PROBABLE CAUSE AND AN ORDER RE: ACCUSATION FPPC NO. 17/01397 and 18/00821

In the cover letter, dated June 17, 2020, and the attached materials, the Committee and Levine 2 were advised that they could respond in writing to the Report and orally present the case to the Hearing 3 Officer at a probable cause conference to be held in Sacramento. Respondents were further advised that 4 in order to have a probable cause conference, they needed to make a written request for one on or before 5 21 days of the date they received the Report. Additionally, Respondents were advised that if they did not request a probable cause conference, such a conference would not be held and probable cause would be 6 7 determined based solely on the Report and any written response that they submitted within 21 days of the 8 date they were served with the Report. To date, the Committee and Levine have not submitted a written 9 response or requested a probable cause conference.

10 WHEREFORE, based on the attached Report, the Enforcement Division requests a finding by the Hearing Officer that probable cause exists to believe that the Respondent committed eight violations of 12 the Act, stated as follows:

14 Count 1: The Committee and Levine failed to amend the statement of organization on or by April 15 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failed to 16 include the name of the sponsor, De Anza Building and Maintenance, in the name of the 17 Committee, in violation of Government Code sections 84103 and 84106.

Count 2: 18 The Committee and Levine failed to timely file a pre-election campaign statement in paper 19 format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline 20 of April 28, 2016, in violation of Government Code section 84200.5.

21 Count 3: The Committee and Levine failed to timely file a pre-election campaign statement in 22 electronic and paper format for the reporting period of April 24, 2016 through May 21, 23 2016 by the deadline of May 26, 2016, in violation of Government Code section 84200.5. 24 Count 4: The Committee and Levine failed to timely file a pre-election campaign statement in 25 electronic and paper format for the reporting period of July 1, 2016 through September 24,

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1		2016 by the deadline of September 29, 2016, in violation of Government Code section
2		84200.5.
3	Count 5:	The Committee and Levine failed to timely file a pre-election campaign statement in
4		electronic and paper format for the reporting period of September 25, 2016 through
5		October 22, 2016 by the deadline of October 27, 2016, in violation of Government Code
6		section 84200.5.
7	<u>Count 6:</u>	The Committee and Levine failed to timely file a 24-hour contribution report due on
8		October 19, 2016 for a \$10,000 contribution, in violation of Government Code section
9		84203.
10	Count 7:	The Committee and Levine failed to timely file a 24-hour contribution report due on
11		November 2, 2016 for a \$3,000 contribution, in violation of Government Code section
12		84203.
13	Count 8:	The Committee and Levine failed to maintain adequate source documentation and records
14		sufficient to substantiate the reporting for the period occurring between January 1, 2016
15		through December 13, 2016 in violation of Government Code section 84104.
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27	EX PAR	TE REQUEST FOR A FINDING OF PROBABLE CAUSE AND AN ORDER RE: ACCUSATION FPPC NO. 17/01397 and 18/00821
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Additionally, after finding probable cause exists, the Enforcement Division requests an order by the Hearing Officer that an accusation be prepared against the Committee and Levine and served upon them.³

A copy of this Request was mailed via U.S. Mail to the Committee and Levine on July 30, 2020 at the last known address and the place of service, as follows:

6	Shelley Levine
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9	Dated: July 28, 2020 Respectfully Submitted,
10	Baled. <u>et. (200</u> Respectivity Sublitted,
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12	FAIR POLITICAL PRACTICES COMMISSION
13	Galena West Chief of Enforcement
14	$\left(\begin{array}{c} \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\$
15	Gillt
16	By: Theresa Gilbertson Senior Commission Counsel
17	Enforcement Division
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26	³ Gov. Code § 11503.
27	EX PARTE REQUEST FOR A FINDING OF PROBABLE CAUSE AND AN ORDER RE: ACCUSATION FPPC NO. 17/01397 and 18/00821
28	111C NO. 17/01577 and 10/00021

Exhibit A-5

1	BEFORE THE FAIR POLITICA	L PRACTICES COMMISSION
2	STATE OF C.	ALIFORNIA
3		
4	In the Matter of) FPPC No. 17/01397 and 18/00821
5	CALIFORNIANS IN SUPPORT OF MIKE ANTONOVICH STATE SENATE 2016)) FINDING OF PROBABLE CAUSE AND
6	and SHELLEY LEVINE,) ORDER TO PREPARE AND SERVE AN) ACCUSATION
7	Respondents.)) Gov. Code § 83115.5
8)
9	By means of an Ex Parte Request for a Finding	g of Probable Cause and an Order that an Accusation
10	Be Prepared and Served, dated July 27, 2020, the I	Enforcement Division submitted the above-entitled
11	matter to the Hearing Officer for a determination of P	robable Cause. As set forth in the Ex Parte Request
12	for a Finding of Probable Cause and an Order that	an Accusation Be Prepared and Served (Ex Parte
13	Request), the Enforcement Division served a Repor	t in Support of a Finding of Probable Cause (PC
14	Report) on Respondents Californians in Support of N	Aike Antonovich State Senate 2016 ("Committee")
15	and Shelley Levine ("Levine") on June 22, 2020 by cer	tified mail, return receipt requested. Accompanying
16	the PC Report was a packet of materials that informed	Respondents of their right to file a written response
17	to the PC Report and to request a probable cause con	ference within 21 days following service of the PC
18	Report. During the 21 days that followed service of th	e PC Report, Respondents did not file a response to
19	the PC Report or request a probable cause conference	Pursuant to California Code of Regulations title 2,
20	section 18361.4, determination of probable cause m	ay be made solely on papers submitted when the
21	respondent does not request a probable cause conferer	nce. ¹
22	In making a probable cause determination, it is	the duty of the Hearing Officer of the Fair Political
23	Practices Commission to determine whether probable	e cause exists to believe that a respondent violated
24	the Political Reform Act as alleged by the Enforce	

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations.

> FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION FPPC NO. 17/01397 and 18/00821

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respondents.

Probable cause to believe a violation has occurred can be found to exist when "the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that the proposed respondent(s) committed or caused a violation."²

The PC Report served on the Committee and Levine and the subsequent Ex Parte Request in this matter alleges eight violations of the Political Reform Act were committed, as follows:

Count 1: The Committee and Levine failed to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failed to include the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee, in violation of Government Code sections 84103 and 84106.

Count 2: The Committee and Levine failed to timely file a pre-election campaign statement in paper format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline 12 of April 28, 2016, in violation of Government Code section 84200.5. 13

Count 3: The Committee and Levine failed to timely file a pre-election campaign statement in electronic and paper format for the reporting period of April 24, 2016 through May 21, 2016 by the deadline of May 26, 2016, in violation of Government Code section 84200.5. Count 4: The Committee and Levine failed to timely file a pre-election campaign statement in electronic and paper format for the reporting period of July 1, 2016 through September 24. 2016 by the deadline of September 29, 2016, in violation of Government Code section 84200.5.

Count 5: The Committee and Levine failed to timely file a pre-election campaign statement in electronic and paper format for the reporting period of September 25, 2016 through October 22, 2016 by the deadline of October 27, 2016, in violation of Government Code section 84200.5.

² Cal. Code Reg., tit. 2, § 18361.4, subd. (e).

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1	Count 6:	The Committee and Levine failed to timely file a 24-hour contribution report due on
2		October 19, 2016 for a \$10,000 contribution, in violation of Government Code section
3		84203.
4	Count 7:	The Committee and Levine failed to timely file a 24-hour contribution report due on
5		November 2, 2016 for a \$3,000 contribution, in violation of Government Code section
6		84203.
7	Count 8:	The Committee and Levine failed to maintain adequate source documentation and records
8		sufficient to substantiate the reporting for the period occurring between January 1, 2016
9		through December 13, 2016 in violation of Government Code section 84104.
10	Based	on the Ex Parte Request given to me, I find that notice has been given to the Committee and
11	Levine. ³ I fur	ther find, based on the PC Report and the Ex Parte Request, that there is probable cause to
12	believe that t	he Committee and Levine violated the Political Reform Act as alleged in Counts 1-8, as
13	identified abo	ve.
14	I there	efore direct that the Enforcement Division issue an accusation against the Committee and
15	Levine in acc	ordance with this finding.
16	1	
17	IT IS S	SO ORDERED.
18		
19	Dated: July 3	
20	Dated. <u>July 5</u>	
21		Hearing Officer Fair Political Practices Commission
22		
23		
24		
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27	³ Govern	mment Code § 83115.5; Cal. Code Reg., tit. 2, §18361.4, subd. (b).
28		3 ING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION
	1111	FPPC NO. 17/01397 and 18/00821

FPPC No. 17/01397 and 18/00821, In the matter of Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On the date below, I served the following document:

FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION

MANNER OF SERVICE

(U.S. Mail) By causing a true copy thereof to be served on the parties in this action through the U.S. Mail and addressed as listed below. I am familiar with the procedure of the Fair Political Practices Commission for collection and processing of correspondence for mailing with the United States Postal Service, and the fact that the correspondence would be deposited with the United States Postal Service that same day in the ordinary course of business.

SERVICE LIST

Shelley Levine

(By Personal Service) On Tuesday, August 4, 2020, at approximately 10:30 a.m., I personally served:

Theresa Gilbertson, Senior Commission Counsel, at 1102 Q Street, Suite 3000, Sacramento, CA 95811.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct and that this document is executed at Sacramento, California, on August 4, 2020.

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Sasha Linker

Exhibit A-6

1	ANGELA J. BRERETON	
2	Chief of Enforcement THERESA GILBERTSON	
3	Senior Commission Counsel FAIR POLITICAL PRACTICES COMMISSIO	N
4	1102 Q St, Suite 3000 Sacramento, CA 95811 Telenhaner (016) 222 (421	
5	Telephone: (916) 323-6421 Email: tgilbertson@fppc.ca.gov	
6	Attorneys for Complainant	
7		
8	BEFORE THE FAIR POLITIC	AL PRACTICES COMMISSION
9		CALIFORNIA
10		
11	In the Matter of) FPPC Nos. 2017/01397 and 2018/00821
12		
13	CALIFORNIANS IN SUPPORT OF MIKE ANTONOVICH STATE SENATE	ACCUSATION
14	2016 and SHELLEY LEVINE,	
15	Respondents.) (Gov. Code §11503)
16	Kespondents.	
17)
18	Complainant, the Enforcement Division of t	he Fair Political Practices Commission, after a finding
19	of probable cause pursuant to Government Code Se	ection 83115.5, alleges the following:
20	JURISI	DICTION
21	1. Complainant is the Enforcement Div	vision of the Fair Political Practices Commission (the
22	"Commission") and makes this Accusation in its of	ficial capacity and in the public interest.
23	2. The authority to bring this action is	derived from Title 2, California Code of Regulations,
24	Sections 18361 and 18361.4, subdivision (g), and the	ne statutory law of the State of California, specifically
25	including, but not limited to, Government Code Sec	ctions 83111, 83116, and 91000.5, which assign to the
26	Enforcement Division the duty to administer, imp	plement, and enforce the provisions of the Political
27	Reform Act, found at Government Code Sections 8	1000 through 91014.
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ACCUSATION FPPC Case Nos. 2017/01397 and 2018/00821

1	3.	When enacting the Political Reform Act (the "Act"), ¹ California voters specifically found
2	and declared	that previous laws regulating political practices had suffered from inadequate enforcement,
3	and it was the	eir purpose to ensure that the Act be vigorously enforced. ²
4	4.	To that end, Section 81003 requires that the Act be liberally construed to achieve its
5	purposes.	
6	5.	One of the stated purposes of the Act is to ensure voters are fully informed and improper
7	practices are	inhibited by requiring committees to disclose all contributions received and expenditures
8	made through	hout a campaign. ³
9		<u>RESPONDENTS</u>
10	6.	Respondent Californians in Support of Mike Antonovich State Senate 2016 (the
11	"Committee") was a primarily formed committee formed to support Michael D. Antonovich, a candidate
12	for State Sen	ate District 25 during the June 7, 2016 Primary Election and the November 8, 2016 General
13	Election.	
14	7.	Respondent Shelley Levine ("Levine") served as the Committee's treasurer.
15		APPLICABLE LAW
15 16	8.	APPLICABLE LAW All applicable law in this Accusation is the law as it existed during the relevant time for
	8. the violations	All applicable law in this Accusation is the law as it existed during the relevant time for
16	the violations	All applicable law in this Accusation is the law as it existed during the relevant time for
16 17	the violations	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged.
16 17 18	the violations A. Defin 9.	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged.
16 17 18 19	the violations A. Defin 9. totaling \$2,00	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. Attion of Committee A "committee" includes any person or combination of persons who received contributions
16 17 18 19 20	the violations A. Defin 9. totaling \$2,00	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. atition of Committee A "committee" includes any person or combination of persons who received contributions 00 or more in a calendar year, commonly known as a "recipient committee." ⁴
 16 17 18 19 20 21 	the violations A. Defin 9. totaling \$2,00 B. Qual 10.	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. aition of Committee A "committee" includes any person or combination of persons who received contributions 00 or more in a calendar year, commonly known as a "recipient committee." ⁴ ification and Statement of Organization
 16 17 18 19 20 21 22 	the violations A. Defin 9. totaling \$2,00 B. Qual 10.	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. aition of Committee A "committee" includes any person or combination of persons who received contributions 00 or more in a calendar year, commonly known as a "recipient committee." ⁴ ification and Statement of Organization Any committee that comes under the definition of a recipient committee is required to file
 16 17 18 19 20 21 22 23 	the violations A. Defin 9. totaling \$2,00 B. Qual 10. a statement of 11.	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. Aition of Committee A "committee" includes any person or combination of persons who received contributions 00 or more in a calendar year, commonly known as a "recipient committee." ⁴ ification and Statement of Organization Any committee that comes under the definition of a recipient committee is required to file of organization with the Secretary of State and with the local filing officer. ⁵ Once the committee qualifies as a committee, it must amend the statement of organization
 16 17 18 19 20 21 22 23 24 	the violations A. Defin 9. totaling \$2,00 B. Qual 10. a statement of 11. ¹ The ¹ Fair Political P	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. Aition of Committee A "committee" includes any person or combination of persons who received contributions 00 or more in a calendar year, commonly known as a "recipient committee." ⁴ ification and Statement of Organization Any committee that comes under the definition of a recipient committee is required to file of organization with the Secretary of State and with the local filing officer. ⁵
 16 17 18 19 20 21 22 23 24 25 	the violations A. Defin 9. totaling \$2,00 B. Qual 10. a statement of 11. ¹ The I Fair Political P Regulations. ² Secti	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. Notion of Committee A "committee" includes any person or combination of persons who received contributions 00 or more in a calendar year, commonly known as a "recipient committee." ⁴ ification and Statement of Organization Any committee that comes under the definition of a recipient committee is required to file of organization with the Secretary of State and with the local filing officer. ⁵ Once the committee qualifies as a committee, it must amend the statement of organization Political Reform Act is contained in Government Code sections 81000 through 91014. The regulations of the
 16 17 18 19 20 21 22 23 24 25 26 	the violations A. Defin 9. totaling $$2,00$ B. Qual 10. a statement of 11. ¹ The I Fair Political P Regulations. ² Secti ³ Secti ⁴ Secti	All applicable law in this Accusation is the law as it existed during the relevant time for s alleged. Aition of Committee A "committee" includes any person or combination of persons who received contributions 00 or more in a calendar year, commonly known as a "recipient committee." ⁴ ification and Statement of Organization Any committee that comes under the definition of a recipient committee is required to file of organization with the Secretary of State and with the local filing officer. ⁵ Once the committee qualifies as a committee, it must amend the statement of organization Political Reform Act is contained in Government Code sections 81000 through 91014. The regulations of the bractices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of ons 81001, subd. (h), and 81002, subd. (f).

to include the date of qualification and the name and address of the financial institution where the candidate has established a designated campaign contribution account and the account number.⁶

12. The committee must submit an amended statement within 10 days of any change in the information submitted on the form, such as updating the form to indicate the date the committee reached the threshold of raising or spending \$2,000 and qualifying as a committee.⁷

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Primarily Formed Committee

13. A "primarily formed committee" means a recipient committee which is formed or exists primarily to support or oppose either a single candidate; a single measure; a group of specific candidates being voted upon in the same city, county, or multicounty election; or two or more measures being voted upon in the same city, county, multicounty, or state election.⁸

D. Committee Sponsor

12 14. A "sponsored committee" is defined as a committee, other than a candidate-controlled
13 committee, with one or more sponsors.

15. An organization sponsors a committee if any of the following apply: (1) the committee receives 80 percent or more of its contributions from the organization; (2) the organization collects contributions for the committee by use of payroll deduction or dues; (3) the organization provides all or nearly all of the administrative services for the committee; or (4) the organization sets the policies for soliciting contributions or making expenditures of committee funds.⁹

16. The name of the committee is required to include the name of the sponsor.¹⁰

17. Whenever identification of a sponsored committee is required, such as on advertisement disclosures or sender identification on mass mailings, the identification shall include the full name of the committee as required in its statement of organization.¹¹

- 23 ///
- 24 || ///
 - ⁶ Sections 84101 and 84102.
 ⁷ Section 84103, subdivision (a).
 ⁸ Section 82047.5.
 ⁹ Section 82048.7.
 ¹⁰ Section 84102.
 ¹¹ Section 84106.

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Mandatory Filing of Campaign Statements

2 18. At the core of the Act's campaign reporting system is the requirement that committees file campaign statements and reports for certain reporting periods, by certain deadlines, and including certain 3 information.12 4

19. 5 The Act requires committees primarily formed to support or oppose a candidate appearing on the ballot at the next election to file two pre-election campaign statements. For the period ending 45 6 days before the election, the statement shall be filed no later than 40 days before the election. For the period ending 17 days before the election, the statement shall be filed no later than 12 days before the 8 election.¹³ 9

10 20. In connection with the 2016 Primary Election held on June 7, 2016, the first pre-election campaign statement was due by April 28, 2016 and covered the period from January 1, 2016 through April 11 23, 2016 and the second pre-election campaign statement was due by May 26, 2016 and covered the period 12 from April 24, 2016 through May 21, 2016. 13

21. In connection with the 2016 General Election held on November 8, 2016, the first preelection campaign statement was due by September 29, 2016 and covered the period from July 1, 2016 through September 24, 2016 and the second pre-election campaign statement was due by October 27, 2016 and covered the period from September 25, 2016 through October 22, 2016.¹⁴

22. 18 Committees formed primarily to support or oppose candidates for members of the Legislature shall file a campaign statement by online or electronic means, provided the committee has 19 20 received or spent \$25,000 or more, and shall file the original and one copy of the campaign statement in paper format with the Secretary of State.¹⁵ 21

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F. **24-Hour Contribution Reports**

23. Each candidate or committee that makes or receives a late contribution must file a report within 24 hours of making or receiving the contribution.¹⁶

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- ¹⁴ Section 84200.8.
 - ¹⁵ Sections 84215 and 84605.
 - ¹⁶ Section 84203.

¹² Sections 84200, et seq.

¹³ Section 84200.5, subdivision (a)(1).

24. A "late contribution" includes a contribution in total or in the aggregate \$1,000 or more that is made or received by a candidate, a controlled committee, or a committee formed or existing primarily to support or oppose a candidate or measure during the 90-day period preceding the date of the election or on the date of the election at which the candidate is to be voted on.¹⁷

25. The late contribution period began on March 9, 2016 for the June 7, 2016 Primary Election and on August 10, 2016 for the November 8, 2016 General Election.

G.

Recordkeeping Requirements

26. Treasurers have a duty to maintain detailed accounts, records, bills, and receipts necessary to prepare campaign statements and establish campaign statements were properly filed.¹⁸

27. This duty includes maintenance of detailed information and original source documentation for a period of four years following the date the campaign statement to which they relate is filed.¹⁹

28. Examples of original source documentation that must be maintained include copies of bills, receipts, and invoices for expenditures of \$25 or more.²⁰

|| H.

Factors to be Considered by the Fair Political Practices Commission

29. In framing a proposed order following a finding of a violation pursuant to Section 83116, the Commission and the administrative law judge shall consider all the surrounding circumstances including but not limited to: (1) The extent and gravity of the public harm caused by the specific violation; (2) The level of experience of the violator with the requirements of the Political Reform Act; (3) Penalties previously imposed by the Commission in comparable cases; (4) The presence or absence of any intention to conceal, deceive or mislead; (5) Whether the violation was deliberate, negligent or inadvertent; (6) Whether the violator demonstrated good faith by consulting the Commission staff or any other government agency in a manner not constituting a complete defense under Section 83114(b); (7) Whether the violation was isolated or part of a pattern and whether the violator has a prior record of violations of the Act or similar laws; and (8) Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.²¹

- ¹⁷ Section 82036.
- ¹⁸ Section 84104.
- ¹⁹ Regulation 18401.
- 20 Regulation 18401, subdivision (a)(4).
 - ²¹ Regulation 18361.5, subd. (e).

GENERAL FACTS

30. The Committee formed as a primarily formed committee supporting Michael D. Antonovich by filing a statement of organization with the Secretary of State on March 22, 2016. On this statement, the Committee indicated that it did not yet qualify as a recipient committee. Levine was listed as both the treasurer and the principal officer.

31. Michael D. Antonovich was a successful candidate for State Senate District 25 during the June 7, 2016 Primary Election, but he was unsuccessful in the November 8, 2016 General Election.

32. Between January 1, 2016 through December 13, 2016, the Committee raised approximately \$193,000 and spent \$192,580.

33. The Committee qualified on or around April 4, 2016 when it reported receiving a \$100,000 contribution from De Anza Properties. The contributor's full name, according to committee records and corporation filings, is De Anza Building and Maintenance, Inc.

34. According to campaign statements and committee records, the Committee received no additional contributions until after the Primary Election held on June 7, 2016.

35. De Anza Building and Maintenance, Inc. contributed an additional \$80,000 on or around September 30, 2016. During the life of the Committee, De Anza Building and Maintenance, Inc. contributed \$180,000, accounting for approximately 93% of the Committee's contributions, qualifying as the Committee's sponsor by exceeding 80% of the committee's total contributions.

36. The Committee and Levine were required to file an amended statement of organization within 10 days of qualifying to indicate that the Committee had qualified as a recipient committee and to indicate that the Committee was sponsored by De Anza Building and Maintenance, Inc. The Committee and Levine were also required to include the name of the sponsor in the name of the Committee. The Committee and Levine failed to do so.

37. The Committee's expenditures were either administrative or paid for the supported candidate, Antonovich, to be featured in slate mailers distributed by slate mailer organizations. Therefore, the Committee's name did not need to be disclosed on any advertisement disclosures.

738. However, the slate mailer organizations are required to disclose payments received and8therefore, due to the failure of the Committee to amend the name, the slate mailer organizations did not

disclose on their filings that the payments were made by a committee sponsored by De Anza Building and 1 2 Maintenance, Inc.

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39. The Committee and Levine were required to timely file pre-election and semi-annual campaign statements and 24-hour contribution reports in electronic and/or paper format but failed to do so, as described in the chart below.

40. The Committee and Levine were also required to file campaign statements for the reporting periods prescribed by law but failed to do so, as described in the chart below.

8	Required Reporting Period	Reporting Period as Filed	Date Due	Date Filed	Contributions/Expenditures
10	Pre-election 01/01/16 – 04/23/16	03/21/16 – 04/25/16	04/28/16	Electronic: 4/25/16 Paper: 6/19/17 (417 days late)	\$100,000 Contributions \$53,394 Expenditures
11 12	Pre-election 04/24/16 – 05/21/16	04/26/16 – 05/26/16	05/26/16	Electronic: 5/30/16 (4 days late) Paper: 06/02/16 (373 days late)	\$0 Contributions \$44,827 Expenditures
13 14	Semiannual 05/22/16 – 06/30/16		08/01/16	Electronic: 06/20/16 Paper: 06/19/17 (322 days late)	\$0 Contributions \$889 Expenditures
15 16	Pre-election 07/01/16 – 09/24/16		09/29/16	Electronic: 10/8/16 (9 days late) Paper: 06/19/17 (263 days late)	\$0 Contributions \$0 Expenditures
17 18	24-Hr Report		10/19/16	11/13/16 (25 days late)	\$10,000 from Fieldstead & Company received on 10/18/16
19 20	Pre-election 09/25/16 – 10/22/16		10/27/16	NOT FILED	\$90,000 Contributions \$60,370 Expenditures *Per bank records and per Form 497s and 496s filed.
21	24-Hr Report		11/2/16	11/13/16 (11 days late)	\$3,000 from Margaret A. Perenchio received on 11/01/16
22 23 24	Termination 10/23/16 – 12/31/16	11/6/16 – 12/13/16	01/31/17	Electronic only: 12/13/16	\$0 Contributions \$14,300 Expenditures

25 41. The Committee and Levine were required to maintain adequate records and source 26 documentation to substantiate the filings but failed to do so. For example, the Committee received a 27 \$100,000 contribution on or around April 4, 2016 but failed to keep a copy of the check. A check register, 28 duplicate deposit slip, and bank statements were provided.

ACCUSATION FPPC Case Nos. 2017/01397 and 2018/00821

42. Receipts and/or invoices were not maintained for all expenditures during the audit period that totaled approximately \$25,627. This included an expenditure of \$3,500, which was reported as office expenses and the payee was reportedly Levine, but the bank records show this was a cash withdrawal on April 6, 2016. The lack of records regarding this expenditure inhibits the ability to determine if this expenditure violated the prohibition on cash expenditures of \$100 or more or if the expenditure was a legitimate use of campaign funds.

43. For a \$9,000 payment made on or by November 16, 2016, the Committee provided only an undated invoice and a bank statement. There was no copy of the canceled check or electronic image provided to verify the payee.

44. The Committee filed a statement of termination with the Secretary of State on December 13, 2016.

PROCEDURAL HISTORY

45. This case arose from an audit conducted by the Franchise Tax Board ("FTB"). The FTB audit report covered the audit period of January 1, 2016 through December 13, 2016.

46. The Enforcement Division initiated an administrative action against the Committee and Levine in this matter be serving them with a packet containing a cover letter, a Report in Support of a Finding of Probable Cause ("PC Report"), a fact sheet regarding probable cause proceedings, selected sections of the Government Code regarding probable cause proceedings for the Commission, and selected regulations of the Commission regarding probable cause proceedings.

47. The Committee and Levine were served with the PC Report on June 22, 2020. The information contained in the PC Report packet advised the Committee and Levine that they had 21 days in which to request a probable cause conference, file a written response to the PC Report, or both. As of the date of this Accusation, the Committee and Levine have not responded to the PC Report.

48. By means of an Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served ("Ex Parte Request"), dated July 28, 2020, the Enforcement Division submitted the matter to the Hearing Officer for a determination of probable cause.

49. On or about July 31, 2020, the Hearing Officer issued an order finding, based on the Ex Parte Request and the PC Report, that there was probable cause to believe the Committee and Levine

violated the Act and directed the Enforcement Division to issue an accusation against the Committee and 1 Levine in accordance with the finding. 2 3 VIOLATIONS 50. The Committee and Levine committed eight violations of the Act as follows: 4 5 Count 1 Failure to Amend the Statement of Organization and to Include Sponsor in the Name of the Committee 6 Complainant incorporates paragraphs 1-50 of this Accusation, as though completely set 7 51. forth here. 8 52. 9 The Committee and Levine had a duty to amend the statement of organization on or by 10 April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and had a duty to include the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee. 11 53. 12 The Committee and Levine failed to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failed to include the name 13 of the sponsor, De Anza Building and Maintenance, in the name of the Committee. 14 15 54. By failing to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failing to include the name of the sponsor, De Anza 16 Building and Maintenance, in the name of the Committee, the Committee and Levine violated 17 18 Government Code Sections 84103 and 84106. 19 Count 2 Failure to Timely File a Pre-Election Campaign Statement 20 21 55. Complainant incorporates paragraphs 1 - 54 of this Accusation, as though completely set forth here. 22 23 56. The Committee and Levine had a duty to file a pre-election campaign statement in paper format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline of April 28, 24 25 2016. 57. The Committee and Levine failed to file a pre-election campaign statement in paper format 26 for the reporting period of January 1, 2016 through April 23, 2016 by the deadline. 27 28 ACCUSATION FPPC Case Nos. 2017/01397 and 2018/00821

1	58. By failing to file the pre-election campaign statement in paper format by April 28, 20	016,	
2	the Committee and Levine violated Government Code Section 84200.5.		
3	<u>Count 3</u>		
4	Failure to Timely File a Pre-Election Campaign Statement		
5	59. Complainant incorporates paragraphs $1 - 58$ of this Accusation, as though completely	/ set	
6	forth here.		
7	60. The Committee and Levine had a duty to file a pre-election campaign statement	t in	
8	electronic and paper format for the reporting period of April 24, 2016 through May 21, 2016 by	the	
9	deadline of May 26, 2016.		
10	61. The Committee and Levine failed to file a pre-election campaign statement in electro	onic	
11	and paper format for the reporting period of April 24, 2016 through May 21, 2016 by the deadline.		
12	62. By failing to file the pre-election campaign statement in electronic and paper forma	t by	
13	May 26, 2016, the Committee and Levine violated Government Code Section 84200.5.		
14	<u>Count 4</u>		
15	Failure to Timely File a Pre-Election Campaign Statement		
16	63. Complainant incorporates paragraphs $1 - 62$ of this Accusation, as though completely	/ set	
17	forth here.		
18	64. The Committee and Levine had a duty to file a pre-election campaign statement	t in	
19	electronic and paper format for the reporting period of July 1, 2016 through September 24, 2016 by	the	
20	deadline of September 29, 2016.		
21	65. The Committee and Levine failed to file a pre-election campaign statement in electro	onic	
22	and paper format for the reporting period of July 1, 2016 through September 24, 2016 by the deadline	Э.	
23	66. By failing to file the pre-election campaign statement in electronic and paper forma	t by	
24	September 29, 2016, the Committee and Levine violated Government Code Section 84200.5.		
25	<u>Count 5</u>		
26	Failure to Timely File a Pre-Election Campaign Statement		
27	67. Complainant incorporates paragraphs $1 - 66$ of this Accusation, as though completely	/ set	
28	forth here.		
	10		
	ACCUSATION FPPC Case Nos. 2017/01397 and 2018/00821		

1	68.	The Committee and Levine had a duty to file a pre-election campaign statement in	
2	electronic and paper format for the reporting period of September 25, 2016 through October 22, 2016 by		
3	the deadline of October 27, 2016.		
4	69.	The Committee and Levine failed to file a pre-election campaign statement in electronic	
5	and paper form	nat for the reporting period of September 25, 2016 through October 22, 2016 by the deadline.	
6	70.	By failing to file the pre-election campaign statement in electronic and paper format by	
7	October 27, 20	016, the Committee and Levine violated Government Code Section 84200.5.	
8		<u>Count 6</u>	
9		Failure to Timely File a 24-Hour Contribution Report	
10	71.	Complainant incorporates paragraphs $1 - 70$ of this Accusation, as though completely set	
11	forth here.		
12	72.	The Committee and Levine had a duty to file a 24-hour contribution report for a \$10,000	
13	contribution b	by the deadline of October 19, 2016.	
14	73.	The Committee and Levine failed to file a 24-hour contribution report for a \$10,000	
15	contribution by the deadline.		
16	74.	By failing to file the 24-hour contribution report for a \$10,000 contribution by October 19,	
17	2016, the Con	nmittee and Levine violated Government Code Section 84203.	
18		<u>Count 7</u>	
19		Failure to Timely File a 24-Hour Contribution Report	
20	75.	Complainant incorporates paragraphs $1 - 74$ of this Accusation, as though completely set	
21	forth here.		
22	76.	The Committee and Levine had a duty to file a 24-hour contribution report for a \$3,000	
23	contribution b	by the deadline of November 2, 2016.	
24	77.	The Committee and Levine failed to file a 24-hour contribution report for a \$3,000	
25	contribution b	by the deadline.	
26	78.	By failing to file the 24-hour contribution report for a \$3,000 contribution by November 2,	
27	2016, the Con	nmittee and Levine violated Government Code Section 84203.	
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		11	
		ACCUSATION	

FPPC Case Nos. 2017/01397 and 2018/00821

1	<u>Count 8</u>		
2	Failure to Maintain Campaign Records		
3	79. Complainant incorporates paragraphs $1 - 78$ of this Accusation, as though completely s	et	
4	forth here.		
5	80. The Committee and Levine had a duty to maintain adequate source documentation and	nd	
6	records sufficient to substantiate the reporting for the period occurring between January 1, 2016 throug	gh	
7	December 13, 2016.		
8	81. The Committee and Levine failed to maintain adequate source documentation and record	ds	
9	sufficient to substantiate the reporting for the period occurring between January 1, 2016 through Decemb	er	
10	13, 2016.		
11	82. By failing to maintain adequate source documentation and records sufficient to substantia	te	
12	the reporting for the period occurring between January 1, 2016 through December 13, 2016, the	ne	
13	Committee and Levine violated Government Code Section 84104.		
14	MITIGATING OR EXCULPATORY FACTORS		
15	83. The Committee and Levine have no prior record of violations.		
16	84. The filing violations and failure to maintain records appear to be the result of negligence	e,	
17	as opposed to being deliberate or inadvertent acts.		
18	85. The electronic filing of campaign statements provided some disclosure to the public.		
19	AGGRAVATING FACTORS AND OTHER RELEVANT MATERIALS		
20	86. The failure to include the name of the sponsor and the choice to pay for features of the	ne	
21	supported candidate, Antonovich, in several slate mailers suggests that the intention was to hide	or	
22	minimize the role of the sponsor, De Anza Building and Maintenance, Inc., in supporting the candidat	ie,	
23	Antonovich. Failing to identify the sponsor deprives the public of transparency as to the true source	of	
24	political spending.		
25	87. The failure to timely file pre-election campaign statements and 24-hour contribution	on	
26	reports causes public harm as it deprives the public of transparency in political spending during the cruci	al	
27	weeks prior to an election.		
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	12		

ACCUSATION FPPC Case Nos. 2017/01397 and 2018/00821

1	88.	As of the date of this Accusation, the Committee and Levine have failed to file all	
2	outstanding campaign statements.		
3		PRAYER	
4	WHEREFO	RE, Complainant prays as follows:	
5	1.	That the Fair Political Practices Commission hold a hearing pursuant to Section 83116 and	
6		Regulation 18361.5, and at such hearing find that the Committee and Levine violated the	
7		Act as alleged herein;	
8	2.	That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),	
9		order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation	
10		of the Political Reform Act alleged in Count 1;	
11	3.	That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),	
12		order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation	
13		of the Political Reform Act alleged in Count 2;	
14	4.	That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),	
15		order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation	
16		of the Political Reform Act alleged in Count 3;	
17	5.	That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),	
18		order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation	
19		of the Political Reform Act alleged in Count 4;	
20	6.	That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),	
21		order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation	
22		of the Political Reform Act alleged in Count 5;	
23	7.	That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),	
24		order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation	
25		of the Political Reform Act alleged in Count 6;	
26	8.	That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),	
27		order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation	
28		of the Political Reform Act alleged in Count 7;	
		13	
		ACCUSATION EPPC Case Nos 2017/01307 and 2018/00821	

- That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c), order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation of the Political Reform Act alleged in Count 8;
- 10. That the Fair Political Practices Commission, pursuant to Regulation 18361.5, subdivision (e), consider the following factors in framing a proposed order following a finding of a violation pursuant to Section 83116: (1) the extent and gravity of the public harm caused by the specific violation; (2) the level of experience of the violator with the requirements of the Political Reform Act; (3) penalties previously imposed by the Commission in comparable cases; (4) the presence or absence of any intention to conceal, deceive or mislead; (5) whether the violation was deliberate, negligent or inadvertent; (6) whether the violator demonstrated good faith by consulting the Commission staff or any other government agency in a manner not constituting a complete defense under Section 83114, subdivision (b); (7) whether the violation was isolated or part of a pattern and whether the violator has a prior record of violations of the Act or similar laws; and (8) whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.
 - That the Fair Political Practices Commission grant such other and further relief as it deems just and proper.

Dated: 01/22/2021

Worston

Angela J. Brereton Chief of Enforcement Fair Political Practices Commission

Exhibit A-7

BEFORE THE FAIR POLITICAL PRACTICES COMMISSION STATE OF CALIFORNIA

) FPPC Nos. 2017/01397 and 2018/00821
In the Matter of	
CALIFORNIANS IN SUPPORT OF	AFFIDAVIT OF SERVICE:) SHELLEY LEVINE
MIKE ANTONOVICH STATE SENATE)
2016 and SHELLEY LEVINE,	
Respondents.	

I, SARAH THOMPSON, am over the age of 18 and not a party to the within action. I am a registered California Process Server, Los Angeles County #6679. My business address is 1439 N. Highland Ave., #274, Los Angeles, CA 90028. The phone number is 323-508-1711. If called upon to testify in this matter to verify the facts of this service I could and would.

On January 22. 2021, I received the "Statement to Respondents, Accusation,
 two Notice of Defense, two sets of Grounds For Notice of Defense, two sets of Office
 of Administrative Hearings State of California Consent to Electronic Service (E-Service
 or "SFT"), two sets of Office of Administrative Hearings State of California Privacy
 Notice, California Government Code Sections 11506 through 11508, and the Proof of
 Service."

On January 25, 2021 at 6:07PM, at

identity. Shelley Levine is described as female white, about 5'4" tall, around 115 pounds, brown hair, brown eyes, in her mid to late 40's.

 24
 I declare under penalty of perjury and the laws of the State of California that the

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 foregoing is true and correct.

Executed on February 3, 2021 Date

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Sarah Thompson

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, California 95811. On 1/22/2021, I served the following document(s):

- 1. Statement to Respondent;
- 2. FPPC Case No. 2017/01397 and 2018/00821: Accusation;
- 3. Notice of Defense (Two Copies);
- 4. Selected Sections of the California Government Code, Administrative Procedure Act.

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By personal service. At 4:10 a.m./p.m.; \boxtimes

I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By providing the document(s) listed above with instructions for registered process server to personally deliver the envelope(s) to the person(s) at the address(es) set forth on the service list below. The signed proof of service by the registered process server will be attached as soon as it is available.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Personal Service

Shelley Levine

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on $\frac{1/22/2021}{1}$

aller

Suzanna Gevorkyan

Exhibit A-8



STATE OF CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION

1102 Q Street • Suite 3000 • Sacramento, CA 95811 (916) 322-5660 • Fax (916) 322-0886

STATEMENT TO RESPONDENTS

[Government Code Section 11505, subdivision (b)] Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine FPPC Case No. 2017/01397 and 2018/00821

Enclosed is an Accusation, which was filed with the Fair Political Practices Commission (the "FPPC") and which is hereby served upon you, along with two copies of a Notice of Defense and Government Code Sections 11506 through 11508.

Unless a written request for a hearing signed by you or on your behalf is delivered or mailed to the FPPC within 15 days after the Accusation was served on you, the FPPC may proceed upon the Accusation without a hearing. The request for a hearing may be made by delivering or mailing the enclosed form entitled Notice of Defense, or by delivering or mailing a notice of defense as provided by Section 11506 of the Government Code to the Commission Assistant at the FPPC.

You may, but need not, be represented by counsel at any or all stages of these proceedings.

If you desire a list of the names and addresses of witnesses against you, or an opportunity to inspect and copy the items mentioned in Section 11507.6 of the Government Code that are in the possession, custody, or control of this agency, or if you with to discuss the possibility of resolving this matter without a formal hearing, you may contact Theresa Gilbertson, Commission Counsel, at (916) 323-6421 or tgilbertson@fppc.ca.gov, Enforcement Division.

The hearing may be postponed for good cause. If you have good cause, you are obliged to notify the FPPC or, if an administrative law judge has been assigned to the hearing, the Office of Administrative Hearings, within 10 working days after you discover the good cause. Failure to give notice within 10 days will deprive you of a postponement.

After a hearing, the FPPC will consider the following factors in determining whether to assess a penalty (Title 2, California Code of Regulations, Section 18361.5, subdivision (d).):

- 1. The seriousness of the violation;
- 2. The presence or absence of any intention to conceal, deceive, or mislead;
- 3. Whether the violation was deliberate, negligent, or inadvertent;
- 4. Whether the violator demonstrated good faith by consulting Commission staff or any other government agency in a manner not constituting a complete defense under Government Code Section 83114, subdivision (b);
- 5. Whether the violation was isolated or part of a pattern;
- 6. Whether the violator has a prior record of violations of the Political Reform Act or similar laws; and
- 7. Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.



Before the Fair Political Practices Commission

State of California

In the Matter of	 NOTICE OF DEFENSE (Pursuant to Gov. Code § 11506)
Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine,)) FPPC Case No. 2017/01397 and) 2018/00821)
Respondents.	

Shelley Levine, a respondent named in the above entitled proceeding and on behalf of the Committee, Californians in Support of Mike Antonovich State Senate 2016, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check <u>all</u> applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

By law, this NOTICE OF DEFENSE, must be in writing and include your mailing address.

You may include your email address and telephone number and indicate if you agree to service by electronic means. If you wish to receive service through electronic means, you must complete and sign the Consent to Electronic Service Agreement (OAH 44). (Enclosed)

See information regarding the Office of Administrative Hearings Secure eFile Transfer (SFT) system at <u>https://www.dgs.ca.gov/OAH/Services/Page-Content/Office-of-Administrative-Hearings-Services-List-Folder/OAH-Secure-e-File-Information</u>

GROUNDS FOR NOTICE OF DEFENSE

	1)	I request a hearing;		
	2)	I object to the Accusation upon the ground that it does not state acts or omissions upo which the agency may proceed;		
	3)	I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare m defense;		
	4)	I admit the Accusation in whol	e or in part (check box "a" or "b");	
		a) I admit the Accusation	in whole.	
		b) I admit the Accusation	in part as indicated below:	
	5)	I wish to present new matter b	y way of defense;	
	6)	I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.		
	Dated:			
			Respondent	
			Print Name	
			Mailing Address	
			City, State, Zip	
			Email address	
			Phone number	

By checking this box, I agree to accept service using the OAH e-File Transfer System.I have enclosed the Consent to Electronic Service Agreement (OAH 44).

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or "SFT") Agreement

Attention: In an effort to expedite the service of documents, the Office of Administrative Hearings (OAH) allows parties to receive documents electronically. By completing this form, you are agreeing to receive your documents from OAH by Secure e-File (SFT). You may access the OAH secure e-File system at https://www.applications.dgs.ca.gov/oah/oahsftweb to register for an account, if you have not done so already.

Instructions

- 1. Complete the form.
- 2. Requestor information. Enter the firm/agency name. requestor's name, telephone number and the program(s) to which this form will apply.
- 3. Method of Service. Select the method of service and complete the contact information as applicable. Remove previous names.
- Terms and Conditions. Read the terms and conditions. Select a condition in which this form will apply. Complete the signature authorizing service of process.

5. Submit the completed form using the Office of Administrative Hearings Secure File Transfer System at: https://www.applications.dgs.ca.gov/oah/oahsftweb.

One agreement must be submitted per person, per LEA, or per Governmental Agency or Law Firm, as applicable.

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or "SFT") Agreement

Requestor Information

Full Name of Firm/Agency Requesting

Full Name of Person Requesting

Telephone Number

Please identify the program(s) to which this applies

Method of Service (Select ONE option)

The Office of Administrative Hearings will serve your documents according to the option indicated below.

Complete the information for the desired service option selected below.



Option #1 Secure e-File (SFT) Only



Option #2 U.S. Mail + Secure e-File (SFT)

Requestor's Email Address

Additional Email Addresses for Copies

DGS OAH 44 (Education Code section 59504.5, subdivision (c)(7), Government Code section 11370.5(b)) (Rev. 12/2019) Mailing Address (if mail option selected)

Remove the following additional email address(es) related to the attorney named above



Do not remove any names already in place

Terms and Conditions (Select ONE option)

By signing this form, you acknowledge and agree to receive documents from OAH according to the option selected above until notified otherwise. In the event that your contact information should change it is your responsibility to notify OAH.



I agree to accept service of documents from OAH by the option selected above for ALL current and future cases with OAH.



I no longer wish to participate in electronic service. Please cancel my previous agreement.

Requestor's Signature



By checking this box and typing my name below, I am electronically signing this agreement.

Date

Title of person making this request

For multiple requestors, you may attach an additional sheet containing a list of each requestor's contact information and additional email address(es) to be applied to each requestor.

For E-filing https://www.applications.dgs.ca.gov/oah/oahsftweb

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Privacy Notice

This notice is provided pursuant to the Information Practices Act of 1977 (Civil Code, Section 1798 et seq.).

All information and records submitted to OAH may be subject to disclosure in accordance with the California Public Records Act (Government Code, Section 6250 et seq.), and other applicable authority unless expressly prohibited by law. Proceedings before OAH and records held by OAH are public unless otherwise provided by statute (Government Code, Section 11425.20). For example, the Family Educational Rights and Privacy Act (FERPA 20 United States Code Section 1232(g)) recognizes privacy rights to educational records in certain limited circumstances. It is the obligation of the parties to determine if case filings or proceedings require privacy protections. OAH cannot provide legal advice.

The Information Practices Act requires OAH to provide notice to individuals who submit personal information to OAH.

1) This notice does not apply to information provided by an agency or to routine contact information collected by OAH for the purpose of identification or communication regarding the case.

2) To the extent this form seeks information about a need for accommodation, OAH requests the information for the sole purpose of making a determination about the accommodation an individual is seeking. An individual seeking an accommodation is not required to use this form; it is provided as a convenience only. OAH can request this information in accordance with the Americans with Disabilities Act (42 United State Code Section 12101 et seq.).

3) Requests for Public Records or information maintained in accordance with the Information Practices Act shall be directed to the OAH Public Records Officer, 2349 Gateway Oaks Drive, Suite 200, Sacramento, CA 95833, (916) 263-0550, or OAHPRA@dgs.ca.gov.



Before the Fair Political Practices Commission

State of California

In the Matter of	 NOTICE OF DEFENSE (Pursuant to Gov. Code § 11506)
Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine,)) FPPC Case No. 2017/01397 and) 2018/00821)
Respondents.	

Shelley Levine, a respondent named in the above entitled proceeding and on behalf of the Committee, Californians in Support of Mike Antonovich State Senate 2016, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check <u>all</u> applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

By law, this NOTICE OF DEFENSE, must be in writing and include your mailing address.

You may include your email address and telephone number and indicate if you agree to service by electronic means. If you wish to receive service through electronic means, you must complete and sign the Consent to Electronic Service Agreement (OAH 44). (Enclosed)

See information regarding the Office of Administrative Hearings Secure eFile Transfer (SFT) system at <u>https://www.dgs.ca.gov/OAH/Services/Page-Content/Office-of-Administrative-Hearings-Services-List-Folder/OAH-Secure-e-File-Information</u>

GROUNDS FOR NOTICE OF DEFENSE

	1)	I request a hearing;		
	2)	I object to the Accusation upon the ground that it does not state acts or omissions upo which the agency may proceed;		
	3)	I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare m defense;		
	4)	I admit the Accusation in whol	e or in part (check box "a" or "b");	
		a) I admit the Accusation	in whole.	
		b) I admit the Accusation	in part as indicated below:	
	5)	I wish to present new matter b	y way of defense;	
	6)	I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.		
	Dated:			
			Respondent	
			Print Name	
			Mailing Address	
			City, State, Zip	
			Email address	
			Phone number	

By checking this box, I agree to accept service using the OAH e-File Transfer System.I have enclosed the Consent to Electronic Service Agreement (OAH 44).

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or "SFT") Agreement

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Instructions

- 1. Complete the form.
- 2. Requestor information. Enter the firm/agency name. requestor's name, telephone number and the program(s) to which this form will apply.
- 3. Method of Service. Select the method of service and complete the contact information as applicable. Remove previous names.
- Terms and Conditions. Read the terms and conditions. Select a condition in which this form will apply. Complete the signature authorizing service of process.

5. Submit the completed form using the Office of Administrative Hearings Secure File Transfer System at: https://www.applications.dgs.ca.gov/oah/oahsftweb.

One agreement must be submitted per person, per LEA, or per Governmental Agency or Law Firm, as applicable.

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or "SFT") Agreement

Requestor Information

Full Name of Firm/Agency Requesting

Full Name of Person Requesting

Telephone Number

Please identify the program(s) to which this applies

Method of Service (Select ONE option)

The Office of Administrative Hearings will serve your documents according to the option indicated below.

Complete the information for the desired service option selected below.



Option #1 Secure e-File (SFT) Only



Option #2 U.S. Mail + Secure e-File (SFT)

Requestor's Email Address

Additional Email Addresses for Copies

DGS OAH 44 (Education Code section 59504.5, subdivision (c)(7), Government Code section 11370.5(b)) (Rev. 12/2019) Mailing Address (if mail option selected)

Remove the following additional email address(es) related to the attorney named above



Do not remove any names already in place

Terms and Conditions (Select ONE option)

By signing this form, you acknowledge and agree to receive documents from OAH according to the option selected above until notified otherwise. In the event that your contact information should change it is your responsibility to notify OAH.



I agree to accept service of documents from OAH by the option selected above for ALL current and future cases with OAH.



I no longer wish to participate in electronic service. Please cancel my previous agreement.

Requestor's Signature



By checking this box and typing my name below, I am electronically signing this agreement.

Date

Title of person making this request

For multiple requestors, you may attach an additional sheet containing a list of each requestor's contact information and additional email address(es) to be applied to each requestor.

For E-filing https://www.applications.dgs.ca.gov/oah/oahsftweb

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

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California Government Code sections 11506 through 11508

§ 11506. Filing of notice of defense or notice of participation; Contents; Right to hearing on the merits

(a) Within 15 days after service of the accusation or District Statement of Reduction in Force the respondent may file with the agency a notice of defense, or, as applicable, notice of participation, in which the respondent may:

(1) Request a hearing.

(2) Object to the accusation or District Statement of Reduction in Force upon the ground that it does not state acts or omissions upon which the agency may proceed.

(3) Object to the form of the accusation or District Statement of Reduction in Force on the ground that it is so indefinite or uncertain that the respondent cannot identify the transaction or prepare a defense.

(4) Admit the accusation or District Statement of Reduction in Force in whole or in part.

(5) Present new matter by way of defense.

(6) Object to the accusation or District Statement of Reduction in Force upon the ground that, under the circumstances, compliance with the requirements of a regulation would result in a material violation of another regulation enacted by another department affecting substantive rights.

(b) Within the time specified the respondent may file one or more notices of defense, or, as applicable, notices of participation, upon any or all of these grounds but all of these notices shall be filed within that period unless the agency in its discretion authorizes the filing of a later notice.

(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense or notice of participation, and the notice shall be deemed a specific denial of all parts of the accusation or District Statement of Reduction in Force not expressly admitted. Failure to file a notice of defense or notice of participation shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing. Unless objection is taken as provided in paragraph (3) of subdivision (a), all objections to the form of the accusation or District Statement of Reduction in Force shall be deemed waived.

(d) The notice of defense or notice of participation shall be in writing signed by or on behalf of the respondent and shall state the respondent's mailing address. It need not be verified or follow any particular form.

(e) As used in this section, "file," "files," "filed," or "filing" means "delivered or mailed" to the agency as provided in Section 11505.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 931 § 1; Stats 1982 ch 606 § 1; Stats 1986 ch 951 § 20; Stats 1995 ch 938 § 29 (SB 523), operative July 1, 1997; Stats 2013 ch 90 § 5 (SB 546), effective January 1, 2014.

§ 11507. Amended or supplemental accusation or District Statement of Reduction in Force; Objections

At any time before the matter is submitted for decision, the agency may file, or permit the filing of, an amended or supplemental accusation or District Statement of Reduction in Force. All parties shall be notified of the filing. If the amended or supplemental accusation or District Statement of Reduction in Force presents new charges, the agency shall afford the respondent a reasonable opportunity to prepare his or her defense to the new charges, but he or she shall not be entitled to file a further pleading unless the agency in its discretion so orders. Any new charges shall be deemed controverted, and any objections to the amended or supplemental accusation or District Statement of Reduction in Force may be made orally and shall be noted in the record.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 2013 ch 90 § 6 (SB 546), effective January 1, 2014; Stats 2014 ch 71 § 69 (SB 1304), effective January 1, 2015.

§ 11507.3. Consolidated proceedings; Separate hearings

(a) When proceedings that involve a common question of law or fact are pending, the administrative law judge on the judge's own motion or on motion of a party may order a joint hearing of any or all the matters at issue in the proceedings. The administrative law judge may order all the proceedings consolidated and may make orders concerning the procedure that may tend to avoid unnecessary costs or delay.

(b) The administrative law judge on the judge's own motion or on motion of a party, in furtherance of convenience or to avoid prejudice or when separate hearings will be conducive to expedition and economy, may order a separate hearing of any issue, including an issue raised in the notice of defense or notice of participation, or of any number of issues.

HISTORY: Added Stats 1995 ch 938 § 30 (SB 523), operative July 1, 1997. Amended Stats 2013 ch 90 § 7 (SB 546), effective January 1, 2014.

§ 11507.5. Exclusivity of discovery provisions

The provisions of Section 11507.6 provide the exclusive right to and method of discovery as to any proceeding governed by this chapter.

HISTORY: Added Stats 1968 ch 808 § 3.

§ 11507.6. Request for discovery

After initiation of a proceeding in which a respondent or other party is entitled to a hearing on the merits, a party, upon written request made to another party, prior to the hearing and within 30 days after service by the agency of the initial pleading or within 15 days after the service of an additional pleading, is entitled to (1) obtain the names and addresses of witnesses to the extent known to the other party, including, but not limited to, those intended to be called to testify at the hearing, and (2) inspect and make a copy of any of the following in the possession or custody or under the control of the other party:

(a) A statement of a person, other than the respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the respondent as to this person is the basis for the administrative proceeding;

(b) A statement pertaining to the subject matter of the proceeding made by any party to another party or person;

(c) Statements of witnesses then proposed to be called by the party and of other persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, not included in (a) or (b) above;

(d) All writings, including, but not limited to, reports of mental, physical and blood examinations and things which the party then proposes to offer in evidence;

(e) Any other writing or thing which is relevant and which would be admissible in evidence;

(f) Investigative reports made by or on behalf of the agency or other party pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of his or her investigation, or (3) contain or include by attachment any statement or writing described in (a) to (e), inclusive, or summary thereof.

For the purpose of this section, "statements" include written statements by the person signed or otherwise authenticated by him or her, stenographic, mechanical, electrical or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements. Nothing in this section shall authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as the attorney's work product.

HISTORY: Added Stats 1968 ch 808 § 4. Amended Stats 1985 ch 1328 § 5; Stats 1995 ch 938 § 31 (SB 523), operative July 1, 1997.

§ 11507.7. Motion to compel discovery; Order

(a) Any party claiming the party's request for discovery pursuant to Section 11507.6 has not been complied with may serve and file with the administrative law judge a motion to compel discovery, naming as respondent the party refusing or failing to comply with Section 11507.6. The motion shall state facts showing the respondent party failed or refused to comply with Section 11507.6, a description of the matters sought to be discovered, the reason or reasons why the matter is discoverable under that section, that a reasonable and good faith attempt to contact the respondent for an informal resolution of the issue has been made, and the ground or grounds of respondent's refusal so far as known to the moving party.

(b) The motion shall be served upon respondent party and filed within 15 days after the respondent party first evidenced failure or refusal to comply with Section 11507.6 or within 30 days after request was made and the party has failed to reply to the request, or within another time provided by stipulation, whichever period is longer.

(c) The hearing on the motion to compel discovery shall be held within 15 days after the motion is made, or a later time that the administrative law judge may on the judge's own motion for good cause determine. The respondent party shall have the right to serve and file a written answer or other response to the motion before or at the time of the hearing.

(d) Where the matter sought to be discovered is under the custody or control of the respondent party and the respondent party asserts that the matter is not a discoverable matter under the provisions of Section 11507.6, or is privileged against disclosure under those provisions, the administrative law judge may order lodged with it matters provided in subdivision (b) of *Section 915 of the Evidence Code* and examine the matters in accordance with its provisions.

(e) The administrative law judge shall decide the case on the matters examined in camera, the papers filed by the parties, and such oral argument and additional evidence as the administrative law judge may allow.

(f) Unless otherwise stipulated by the parties, the administrative law judge shall no later than 15 days after the hearing make its order denying or granting the motion. The order shall be in writing setting forth the matters the moving party is entitled to discover under Section 11507.6. A copy of the order shall forthwith be served by mail by the administrative law judge upon the parties. Where the order grants the motion in whole or in part, the order shall not become

effective until 10 days after the date the order is served. Where the order denies relief to the moving party, the order shall be effective on the date it is served.

HISTORY: Added Stats 1968 ch 808 § 5. Amended Stats 1971 ch 1303 § 8; Stats 1980 ch 548 § 2; Stats 1995 ch 938 § 32 (SB 523), operative July 1, 1997.

§ 11508. Time and place of hearing

(a) The agency shall consult the office, and subject to the availability of its staff, shall determine the time and place of the hearing. The hearing shall be held at a hearing facility maintained by the office in Sacramento, Oakland, Los Angeles, or San Diego and shall be held at the facility that is closest to the location where the transaction occurred or the respondent resides.

(b) Notwithstanding subdivision (a), the hearing may be held at either of the following places:

(1) A place selected by the agency that is closer to the location where the transaction occurred or the respondent resides.

(2) A place within the state selected by agreement of the parties.

(c) The respondent may move for, and the administrative law judge has discretion to grant or deny, a change in the place of the hearing. A motion for a change in the place of the hearing shall be made within 10 days after service of the notice of hearing on the respondent.

Unless good cause is identified in writing by the administrative law judge, hearings shall be held in a facility maintained by the office.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 710 § 1; Stats 1967 ch 17 § 39; Stats 1987 ch 50 § 1; Stats 1995 ch 938 § 33 (SB 523), operative July 1, 1997; Stats 2005 ch 674 § 22 (SB 231), effective January 1, 2006.

Exhibit A-9

Statement of Recipient Con			56417	0		Date Stamp		IFORNIA ORM 4
Statement Type	✓ Initial Not yet qualified ✓ or	Amendment List I.D. number:	List I.D. number:	n – See Part 5	in the bff	EIVED AND FILE		For Official Use Only
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1. Committee In NAME OF COMMITTEE	nformation				nd Othe	er Principal Officers		70
Californians in	support of Mike Anto	novich State Senate		name of treasurer Shelley Lev	vine			-
				STREET ADDRESS (NO P. 13038 Lanc		reet		
street address (NO P.O 12400 Ventura				Studio City		state CA	ZIP CODE 91604	area code/ph (818) 486-6
Studio City	STATE CA 9	zip code Area code 1604 (818) 48		IAME OF ASSISTANT TR	EASURER, IF /	ANY		
MAILING ADDRESS (IF DIF 13038 Landale	Street, Studio City, C	CA 91604		TREET ADDRESS (NO P.O	D. BOX)			
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penalty of perjur	y under the laws of the Stat	ring this statement and to the of California that the fore	egoing is true and	wledge the info correct.	ormatior	n contained herein is tru	e and comp	lete. I certify unde
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Recipient Committee				CALIFORNIA FORM 4
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Californians in support of Mike Antonovich S	State Senate 2016			
All committees must list the financial institution where the c	campaign bank account is located.			
NAME OF FINANCIAL INSTITUTION	AREA CODE/PHONE		UNT NUMBER	
First Republic Bank		TBD		
ADDRESS 888 S. Figueroa Street Suite 100	Los Angeles	state CA	ZIP CODE 90017	
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4. Type of Committee Complete the applicable section	ons.			
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FPPC Form 410 (Jan/2	2016
FPPC Advice: advice@fppc.ca.gov (866/275-3	3772
www.fppc.ca	a.gov

Exhibit A-10

Recipient Committee Campaign Statement	Туре	or print in ink.	Date Sta		COVER PAGI
Cover Page		R	ECEIVED AN	D FILE	FORM
(Government Code Sections 84200-84216.5)	Statement covers period	Date of election if applicable: ⁱⁿ (Month, Day, Year)	the office of the Sec of the State of C	retary of StatePa	ge <u>1</u> of <u>12</u> For Official Use Only
COPY	from	- 6/7/2016	JUN 19	2017	of emotion cocordary
	Intough,				
1. Type of Recipient Committee: All Committees - Complete Pa	arts 1, 2, 3, and 4.	2. Type of Statement:		•	
O State Candidate Election Committee O Recall (Also Complete Part 5)	Primarily Formed Ballot Measure Committee O Controlled O Sponsored (Also Complete Part 6)	Preelection Statement Semi-annual Statement Termination Statement (Also file a Form 410 Termin Amendment (Explain below)		□ Special □ Supple	rly Statement Odd-Year Report mental Preelection ent - Attach Form 495
General Purpose Committee O Sponsored O Small Contributor Committee O Political Party/Central Committee	Primarily Formed Candidate/ Officeholder Committee (Also Complete Part 7)				
 Committee Information 	1.D. NUMBER 1384178	Treasurer(s)	/		
COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)		NAME OF TREASURER SHELLEY LEVINE			
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN	SUPPORT OF MIKE	MAILING ADDRESS 13808 LANDALE STREET		and the second	
STREET ADDRESS (NO P.O. BOX) 12400 VENTURA BLVD STE 363		CITY STUDIO CITY	STATE CA	ZIP CODE 91604	AREA CODE/PHONE (818) 486-631
CITY STATE ZIP CODE STUDIO CITY CA 91604	ABEA CODE/PHONE (818):486-6313	NAME OF ASSISTANT TREASURER, IF	ANY		
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX 13038 LANDALE ST		MAILING ADDRESS		1	
CITY STATE ZIP CODE STUDIO CITY CA 91604	AREA CODE/PHONE	СПУ	STATE	ZIP CODE	AREA CODE/PHONE
OPTIONAL:FAX/E-MAILADDRESS shelleylevine@rocketmail.com		OPTIONAL FAX /E-MAIL ADDRESS Treasurer: SHELLEYLEVI)	NE@ROCKETMAIL.C	ОМ	an ang an
I have used all reasonable diligence in preparing and reviewing this under penalty of perjury under the laws of the State of California th	and which is the second state of the state of the second state of the second state of the second state of the s	e the information contained herein and in the	attached schedules is	true and complete.	l certify
I have used all reasonable diligence in preparing and reviewing this under penalty of perjury under the laws of the State of California th Executed on . <u>4/25/2016</u>	and which is the second state of the state of the second state of the second state of the second state of the s	e the information contained herein and in the	attached schedules is	true and complete.	I certify
I have used all reasonable diligence in preparing and reviewing this under penalty of perjury under the laws of the State of California th Executed on _ <u>4/25/2016</u>	hat the foregoing is true and correct. ByBy By Signature of Conitrolling By	WUZ	onsible Officer of Sponsor	true and complete.	Icertify

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Recipient Committee Campaign Statement Cover Page - Part 2

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		Page 2 of 12

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Officeholder or Candidate Controlled Commit		NAME OF BALLOT MEASURE	t Measure Committee		and a second
IAME OF OFFICEHOLDER OR CANDIDATE	ender officient i describer of description of the excitation of the first state of the second state of the		en lakterarian daar in staar		
OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NU	IMBER IF APPLICABLE)	BALLOT NO. OR LETTER	JURISDICTION	문민은 고려에서 걸었다. 이 것같다.	SUPPORT OPPOSE
RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY	STATE ZIP	Identify the controlling of	fficeholder, candidate, or stat	te measure propor	nent, if any.
ter and the second s Second second s	An	NAME OF OFFICEHOLDER, CANL	성영방 방법에 잘 통합되었다. 김 사람에는 것이라도, 같아요. 그는 것은 것이 가지 않는다.		te a superior a superior a superior a
elated Committees Not Included in this Stat to included in this statement that are controlled by you or are prim intributions or make expenditures on behalf of your candidacy.	ement: List any committees narily formed to receive	OFFICE SOUGHT OR HELD	and the second sec	DISTRICT NO. IF A	NY
COMMITTEE NAME	LD. NUMBER				Alexandra de la Alexandra de
NAME OF TREASURER		7. Primarily Formed Can officeholder(s) or candidate(s) fo	didate/Officeholder Comm or which this committee is primarily for	nittee List nan med.	nes of
COMMITTEE ADDRESS / STREET ADDRESS (NO P.O. BOX)		NAME OF OFFICEHOLDER OR C MIKE & ANTONOVICH	ANDIDATE OFFICE SO State S Distric		
CITY STATE 2	ZIP CODE AREA CODE/PHONE	NAME OF OFFICEHOLDER OR C	ANDIDATE OFFICE SO	UGHT OR HELD	
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NAME OF TREASURER	CONTROLLED COMMITTEE?	NAME OF OFFICEHOLDER OR C	ANDIDATE OFFICE SO	JUGHT OR HELD	
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)			<u> </u>		Letter (* 1997) 1946 - Angele (* 1997) 1946 - Angele (* 1997)

Campaign Disclosure Statement Summary Page	Amounts ma	rint in ink. ay be rounded 9 dollars.	Statement covers period from	CALIFORNIA FORM 4
SEE INSTRUCTIONS ON REVERSE			through	_ Page <u>3</u> of <u>1</u>
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT	of Mike			I.D. NUMBEFI 1384178
Contributions Received	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	COLUMN B CALENDAR YEAR TOTAL TO DATE	Calendar Year Summary fo Running in Both the State F	
1. Monetary Contributions	, Line 3 \$100,000.00	\$100,000.00	General Elections	
2. Loans Received Schedule B	- 2013년 - 111년 111년 111년 111년 111년 111년 111년	\$0.00	1/1 throug 20. Contributions	3h 6/30 7/1 to Da
3. SUBTOTAL CASH CONTRIBUTIONS	and the first of the second	\$100,000.00	Received	
4. Nonmonetary Contributions	ちょうほうかん 建築 学行 しん になりをからう しょう	\$0.00	21. Expenditures	
5. TOTAL CONTRIBUTIONS RECEIVED	953+4 \$100,000.00	\$100,000.00	Made	·
Expenditures Made			Expenditure Limit Summar	y for State
6. Payments Made Schedule E	Line 4 \$53,394.00	\$53,394.00	Candidates	
7. Loans Made Schedule H	l, Line 3 <u>\$0.00</u>	<u>\$0.00</u>	22. Cumulative Ex	
8. SUBTOTAL CASH PAYMENTS Add Lin	es 6 + 7 <u>\$53,394.00</u>	\$53,394.00	(If Subject to Voluntary	y Expenditure Limit)
9. Accrued Expenses (Unpaid Bills) Schedule F	, Line 3 \$0.00	\$0.00	Date of Election	Total to Date
10. Nonmonetary Adjustment Schedule C	, Line 3 \$0.00	<u>\$0.00</u>	(mm/dd/yy)	
11. TOTAL EXPENDITURES MADE	\$53,394.00	\$53,394.00		
Current Cash Statement				
12. Beginning Cash Balance Previous Summary Page,	line 16 \$0.00			
13. Cash Receipts		To calculate Column B, add amounts in Column A to the	Amounts in this section may b reported in Column B.	e different from amounts
14. Miscellaneous Increases to Cash		corresponding amount from Column B of your last		
15. Cash Payments	, LIIG 4	report. Some amounts in Column A may be negative		
15. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract		figures that should be		
If this is a termination statement. Line 16 must be zero.		subtracted from previous period amounts. If this is		
		the first report being filed for this calendar year, only		
17. LOAN GUARANTEES RECEIVED Schedule E	8, Part 2 \$0.00	carry over the amounts from Lines 2, 7, and 9 (if		· · ·
Cash Equivalents and Outstanding Debts		any).		
• • •	\$0.00			
18. Cash Equivalents	*0.00			
19. Outstanding Debts Add Line 2 + Line 9 in Column I	above			FPPC Form 460 (J C Toll-Free Helpline: \$66/ASK-FPPC (86

	Contributions Received		mounts may be rounded to whole dollars.			4/25/2016	CALIFORNIA FORM 46
	TATE SENATE 2016; CALIFORNIANS IN SUPPORT OF M	like	n Olevan Tallar 1997 - Alexandra Maria - Alexandra Maria - Alexandra - Alexandra - Alexandra - Alexandra - Alexandra - Alexandr 1997 - Alexandra - Alexandr	angan sanangan sanangan Manangan sanangan sanangan sanangan sanangan sanangan sanangan sanangan sanangan sanang Manangan sanangan sa			I.D. NUMBER 1384178
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF BELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED T PERIOD	HIS	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
4/4/2016	DEANZA PROPERTIES 960 N. SAN ANTONIO ROAD SUITE 114 LOS ALTOS, CA 94022		Automatic provide the providence of the providen	「「「「「「「」」」、「」、「」、「」、「」、「」、「」、「」、「」、「」、「」) 0	\$100,000.00	2016 P: \$100,000
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	의 그는 다 가 같은 것이 그 가지? 것은 물 것 같아요. 옷감 알았는 것을 했다.				garaa 296	norman norman da Nisi Li portegia Japana	
			SUBTOTAL	Base and the second sec			
(Include all 2. Amount rec	Summary elved this period - itemized monetary contributions. Schedule A subtotals.) elved this period - unitemized monetary contributions of less th ary contributions received this period.			\$100,000.00 \$0.00	999298299299 2 <u></u>	IND - COM OTH - PTY -	ibutor Codes individual Recipient Committee (other than PTY or SCC) Other (e.g., business enti Political Party Small Contributor Comm

Schedule B - Part 1 Loans Received		Amounts r	r print in ink. nay be rounded ole dollars.		from	nt covers perio 21/2016	التكر القامية والمحدد	ule B - PART 1 ^{IA} 460
SEE INSTRUCTIONS ON REVERSE					through -	4/25/2016	Page 5	of
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIA	NS IN SUPPORT OF MIKE						1384178	
ENCEMPERATURE STATES AND AN AND AN OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(i) Original Amount of Loan	(g) CUMULATIVE CONTRIBUTIONS TO DATE
						0/		CALENDAR YEAR
					•	PATE	n data periodak na data periodaka	PER ELECTION**
					DATE DUE		DATE INCURRED	· · · · · · · · · · · · · · · · · · ·
					-	%		CALENDAR YEAR
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						RATE	,	PER ELECTION**
	and a second				DATE DUE		DATE INCURRED	
		SUBTOTAL	\$	\$ <u></u>	\$	\$		
Schedule B Summary						(Enter (e) on Schedule E, Line 3	3)	
Concerne D Communy				*0	0.01			
1. Loans received this period			1,9498409. 2019 - 100	<u>\$0.</u>	00	- *C	ontributor Codes	
e e e e e e e e e e e e e e e e e e e				\$0.	00		D - Individual	
2. Loans paid or forgiven this period (Total Column (c) plus loans under \$100 paid or fo	rgiven.)	••••••••••••••••••••••••••					M - Recipient Co (other than P	TY or SCC)
(Include loans paid by a third party that are also ite	mized on Schedule A.)			and and from the second se		PT	H - Other (e.g., b Y - Political Party	
3. Net change this period. (Subtract Line 2 from Line	1.)			NET \$0.	00 ay be a negative number)	sc	C - Small Contrib	utor Committee
Enter the net here and on the Summary Page, Col	umn A, Line 2.				ay be a negative national)		11 문화 문	Nakasing set
*Amounts forgiven or paid by another party also mu ** If required.	st be reported on Schedule A.					r The second second FF		Form 460 (January/05) /ASK-FPPC (866/275-3772)

	etary Contributions Rece	ived	Amounts may be round to whole dollars.		Statement cove	L <u>6</u>	CALIFOI FOR	
SEE INSTRUCTIONS O				Mint Constant (***	4/25/	2016	Page –	of <u></u>
	TATE SENATE 2016; CALIFORNIANS IN S	SUPPORT OF MIKI	3				I.D. NUMBER 1384178	
	FULL NAME, STREET ADDRESS AND FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	IE AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SEUF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GCODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULA DA CALENDA (JAN: 1 - 1	te .r year	PER ELECTION TO DATE (IF REQUIRED)
		IND COM OTH PTY			2000/000 2000/000 		-	
		SCC IND COM OTH PTY SCC						
		IND COM OTH PTY SCC						
		IND COM OTH PTY SCC		1920 - San				
Attach additional	information on appropriately labeled continua	tion sheets.	<u>Su</u>	BTOTAL \$				
(Include all S 2. Amount rece	ummary ived this period - itemized nonmonetary contril ichedule C subtotals.) ived this period - unitemized nonmonetary cor netary contributions received this period. and 2. Enter here and on the Summary Page	ntributions of less th			<u>an an a</u>	IND - I COM - OTH - PTY -	(other tha Other (e.g Political Pa	Committee n PTY or SCC) ., business entity

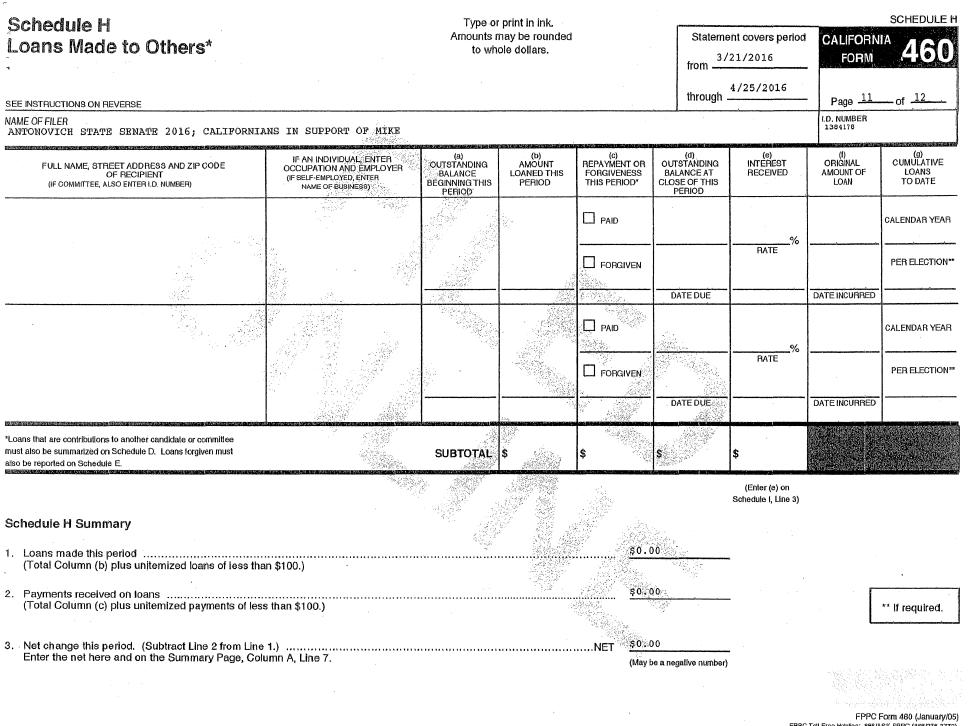
Suppor	ry of Expenditures ting/Opposing Other ates, Measures and Comm	t	ints may be rounded o whole dollars.	Statement cover from <u>3/21/201</u> through <u>4/25/2</u>	21/2016 FORM	
DATE	STATE SENATE 2016; CALIFORNIANS IN NAME OF CANDIDATE, AND DISTRICT, MEASURE NUMBER OR LETTER AND JURISE OR COMMITTEE		DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DA CALENDAR YEAR (JAN. 1 - DEC. 31)	TE PER ELI
4/25/2016	MIKE ANTONOVICH State Senator District 25 Jurisdiction: State Senate District	Nonmonetary Contribution	SLATE	\$52,644.00	\$52,644.00	2016 P: 2016 P: 2016 P:
-	Support Oppose	Monetary Contribution				
	Support Oppose	Monetary Contribution Nonmonetary Contribution				
		e this period. (Include all Schedule [) subtotais.)	TOTAL \$		\$52,644. \$0.00

BEE NETFLUCTIONS ON HEVERSE Immunity 4/25/2016 Page 3 MMC OF FLD MATCRONUTCH STATE BENATE 2016, CALIFORNIANS IN BUFFORT OF KITS Lower International State Stat	le E nts Made	Type or print in ink. Amounts may be rounded to whole dollars.	Statement covers period	CALIFORNIA FORM
NMME GEFLER ANTONOVICION STATE BRINATE 2016; CALLFORNITANS IN SUPPORT OF KIES Ib.NMMET Ib.NMMET CODES: If one of the following codes accurately describes the phyment, you may enter the code. Otherwise, describe the payment. CMP campaign paraphenilamine. MBR member communications campaign onsultants MBR member communications MEG meetings and appearances CMC of vice donations FAD ratio altime and production campaign onsultants FED returned contributions FED contribution (cplain nonmonetary)* OPC office expenses SAL compaign onsultants FED transfer expension campaign onsultants FED returned contributions FED conditions appearances FED transfer expension FED conditions appearance FED transfer expension FED condition expension FED condition expension FED condition expension FED conditions appearance FED transfer expension FED condition expension FED condition expension FED condition expension FED condition expension FED condition expension FED condition expension FED condit fE also for fee expension FED condition expension FED condition	그는 그는 것 같아요. 말 잘 하는 것 같아요. 것은 그가 가지 않는 것 같아요. 가지 않는 것 같아요. 말 한 것 같아요. 말 한 것 같아요. 한 것 같아요. 한 것 같아요. 한 것 같아요. 한 것	9 or 1999 of 57 (10)	from through	Page <u>9</u> of
CMP campaign paraphenalis/misc. MBR member communications PAD radio altitume and production CNS campaign consultants MTG meetings and appearances RFD returned contributions CNS campaign consultants MTG meetings and appearances RFD returned contributions CNC citic domailons PET petition circulating TEL t.v. or cable altime and production costs FL campaign directive supporting/opposing others (asplain) POL poling and survey research TRS staf/spouse travel, lodging, and meals FL campaign literature and mailings POL poling and survey research TSF transfer between committees of the came or LT campaign literature and mailings PAD transfer between committees of the came or VOV volor registration UT campaign literature and mailings PAT print adds UT adds/survey LANDELIDE COMUNICATIONE Adds/survey cool_o occ_o occ_o volor volor registration 30011 IVY GLENN DRIVER COOL_O OCL_O OCL_O occ_o gleachivey and mease release or 1011 IVY GLENN DRIVER SUBTOTAL \$ LIT COOL_O occ_o gleachivey ands/survey 1024 F SU	Geografia - Anterna Mandelander, and Mandel Mandel Mandel (Mandel (Mandel Mandel (Mandel Mandel (Mandel Mandel	e. Mike		
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LANDSLIDE COMMUNICATIONS 30011 IVY GLENN DRIVE STE, 223 LAGUNA NIGUEL, CA 92677 LIT \$32,45 BUDGET WATCHDOGS 1954 W. CARSON STREET SUITE B TORRANCE, CA 90501 LIT \$12,27 CITIZENS FOR GOOD GOVERNMENT 728 W. EDNA PLACE COVINA, CA 91722 LIT \$7,917 * Payments that are contributions or independent expenditures must also be summarized on Schedule D. SUBTOTAL \$ Schedule E Summary 1. Itemized payment made this period (Include all Schedule E subtotals.) \$2.3 2. Unitemized payments made this period of under \$100 \$0.	n an ann an tha bhailtean ann an tha bhailtean a an tha ann an tha ann an tha ann an ann an tha a		DESCRIPTION OF PAYMENT	AMOUNT F
BODGET WATCHDOGS III 1954 W. CARSON STREET SUITE B TORRANCE, CA 90501 CITIZENS FOR GOOD GOVERNMENT 728 W. EDNA PLACE COVINA, CA 91722 * Payments that are contributions or independent expenditures must also be summarized on Schedule D. Schedule E Summary 1. Itemized payment made this period. (Include all Schedule E subtotals.) 2. Unitemized payments made this period of under \$100	COMMUNICATIONS GLENN DRIVE			\$32,450.00
* Payments that are contributions or independent expenditures must also be summarized on Schedule D. SUBTOTAL \$ * Payments that are contributions or independent expenditures must also be summarized on Schedule D. SUBTOTAL \$ Schedule E Summary 1. Itemized payment made this period. (Include all Schedule E subtotals.) \$53 2. Unitemized payments made this period of under \$100 \$0.	装饰的现在分词 化丁基苯基苯基苯基苯基苯基 化分析 化结构化学 法认知 医结核性的 法行为的法法的 法保证的 化合同的 的复数制造的复数形式 化自己分离分子 化分离分子 网络小麦属子属白属子属白素			\$12,277.00
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1. Itemized payment made this period. (Include all Schedule E subtotals.) \$53 2. Unitemized payments made this period of under \$100 \$0.	OR GOOD GOVERNMENT IA PLACE 91722			\$7,917.00
1. Itemized payment made this period. (Include all Schedule E subtrats.) 2. Unitemized payments made this period of under \$100	OR GOUERNMENT IA PLACE 91722		SUBT	
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	OR GOOD GOVERNMENT IA PLACE 91722 mat are contributions or independent expenditures must also be Summary payment made this period. (Include all Schedule E subtotals.)	e summarized on Schedule D.		0TAL\$ \$53,394.
 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) 4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) 	OR GOOD GOVERNMENT A PLACE 91722 Hat are contributions or independent expenditures must also be Summary payment made this period. (Include all Schedule E subtotals.) ed payments made this period of under \$100	ne summarized on Schedule D.		0TAL\$ \$53,394. \$0.00

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Schedule E (Continuation Sheet) Payments Made	Type or print in ink. Amounts may be rounded to whole dollars.	Statement covers period from $\frac{3/21/2016}{4/25/2016}$	SCHEDULE CALIFORNIA FORM
SEE INSTRUCTIONS ON REVERSE NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPO	чт ор мікв		D. NUMBER 1384178
CODES: If one of the following codes accurately d CMP campaign paraphernalia/misc. CNS campaign consultants CTB contribution (explain nonmonetary)* CVC civic donations FIL candidate filing/ballot fees FND fundraising events IND independent expenditure supporting/opposing others (explain) LEG legal defense LIT campaign literature and mailings	MBRmember communicationsRAIMTGmeetings and appearancesRFIOFCoffice expensesSAIPETpetition circulatingTEIPHOphone banksTRGPOLpolling and survey researchTRG	 D radio airtime and production returned contributions campaign workers' salaries t.v. or cable airtime and production candidate travel, lodging, and mean staff/spouse travel, lodging, and transfer between committees of voter registration 	on costs e als meals the same candida
NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO, ENTER I.D. NUMBER)	CODE OR DESCRIPT		AMOUNT
POLITICAL DATA INC PO BOX 90652 NORWALK, CA 90652	POL		\$700.00
SECRETARY OF STATE 1500 11th St SACRAMENTO, CA 95814	FIL		\$50.00

Schedule F Accrued Expenses (Unpaid Bills)	Type or p Amounts ma to whol	and the second	nt covers period	CALIFORNIA FORM 460	
SEE INSTRUCTIONS ON REVERSE			through _	4/25/2016	Page <u>10</u> of <u>12</u>
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT	of Mike		n an	n Annan an sanan ar sanafa ay	I.D. NUMBER 1384178
CODES: If one of the following codes accurately desc CMP campaign paraphernalia/misc. CNS campaign consultants CTB contribution (explain nonmonetary)* CVC civic donations FIL candidate filing/ballot fees FND fundraising events IND independent expenditure supporting/opposing others (explain)* LEG legal defense LIT campaign literature and mailings	Cribes the payment, youMBRmember communicationMTGmeetings and appearaOFCoffice expensesPETpetition circulatingPHOphone banksPOLpolling and survey resPOSpostage, delivery andPROprofessional servicesPRTprint ads	ons inces earch messenger services	RADradio airtimeRFDreturned corSALcampaign wTELt.v. or cableTRCcandidate trTRSstaff/spouseTSFtransfer betwVOTvoter registr	and production ntributions orkers' salaries airtime and produ avel, lodging, and travel, lodging, au ween committees	ction costs meals Id meals of the same candidate/sponso
INAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAIL THIS PERIOD (ALSO REPORT OF	BALANCE AT CLOSING
a Stratistic Statistics 	and an and a second sec	an a success the starting start and		 Construction of the state of th	
альстана была са слава за мали на страната се половита се среда на слава се слава за слава за болото страната са слава на слава со слава за слава на слава на слава се слава се слава се слава за слава за болото страната са слава на слава са слава на слава на слава се слава на слава се слава се слава се слава за слава за Слава – слава се слава се слава на слава на слава се слава на слава се слава се слава се слава за слава за слав	ning (1999) - Sentian in the Annual Provinsion of Annual (1999) (1994) - Sentian Sentian (1997) (1994) - Sentian (1997) (1995) - Sentian (1997) (1995) - Sentian (1997) (1995) - Sentian (1997) (1997)	in an	Construction of the second	Territoria Statutoria (201 201 201 201 201 201 201 201 201 201	nonganitan 1994 - Santa Santa Santa Santa Santa 1997 - Santa Santa Santa Santa Santa Santa 1997 - Santa S
n an anna an anna an anna an anna an anna ann Anna anna a			and Constant Section Section Section	nar polytar eric naroż anglada transtancia transtancia	
* Payments that are contributions or independent expenditures must also be summarized on Schedule D. summarized on Schedule D.	SUBTOTAL	\$	\$	\$	S S S S S S S S S S S S S S S S S S S
Schedule F Summary 1. Total accrued expenses incurred this period. (Include all Schedule F, accrued expenses of \$100 or more, plus total unitemized accrued exp	Column (b) subtotals for enses under \$100.)	nanganingan dara terden series darapat kenda		INCURRED TO	₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩
Total accrued expenses paid this period. (Include all Schedule F, Col accrued expenses of \$100 or more, plus total uniternized payments or	umn (c) subtotals for payments c n accrued expenses under \$100.	on)			DTALS <u>\$0.00</u>
3. Net change this period. (Subtract Line 2 from Line 1. Enter the differe on the Summary Page, Column A, Line 9.)					NET \$900 (May be a negative number) FPPC Form 460 (January/0 MFFree Helpline: 365/ASK.FPPC (665/276-377



FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

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	ATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE FULL NAME AND ADDRESS OF SOURCE (F COMMITTEE, ALSO ENTER LD, NUMBER)	DESCRIPTI	n of an an analysis and a state of the state	1384178 AMOUNT OF INCREASE TO CASH
			an a	
an a	ין ארא אינעראיידער איז			
			SUBTOTAL	\$
Schedule I Sun	같은 잘 사람을 가 안에 집중하는 것 같아요. 이 것 같아요. 동안에는 승규가 가지 않는 것이라. 것 같아요. 가지 않았다. 가지 않았다. 한 것 것 것 것 같아요. 가지 않는 것 같아요. 가지 않는		바랍다 한 방법을 하는 것을 같은 것 것 위험을 얻는 것이 것을 물질했다.	and a second
	ases to cash this period. creases to cash of under \$100 this period.	그는 것 같은 것은 것 같은 것 같은 것 같은 것 같은 것 같은 것 같이 많은 것 같아요. 같은 것 같은 것 같은 것 같은 것 같이 많은 것 같이 많은 것 같이 없다.	승규가 물건을 다 가지 않는 것이라. 것은 것을 물건 것이라. 나는 것 같은 것이라. 것이 같이 많이	
	erest received this period on loans made to others. (Schedule H, Column (e).)	그는 것은 것은 것은 것을 것을 것을 것을 것을 것을 수 없다. 영화 귀엽 가슴	이 저 영향에 가격한 것을 것 같아요. 것을 했다.	
4. Total miscella Summary Pag	neous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on ti je, Line 14.)	19	TOTAI \$0.00	
		neuro contra del contra contra. A contra del	an a	FPPC Form 460 (Januar) oli-Free Helpline: 866/ASK-FPPC (866/275-3

Exhibit A-11

Recipient Committee Campaign Statement Cover Page		e or print in ink. Ri in ti	Date Stamp ECEIVED AND FILE	CALIFORNIA 2001/02 FORM
(Government Code Sections 84200-84216.5)	Statement covers period	Date of election if applicable: (Month, Day, Year)	te office of the Secretary of Stat of the State of California JUN 0.2 2016	Page <u>1</u> of For Official Us
SEE INSTRUCTIONS ON REVERSE	through 5/26/2016	6/7/2016		
1. Type of Recipient Committee: All Committees - Cor	nplete Parts 1, 2, 3, and 4.	2. Type of Statement:		
 Officeholder, Candidate Controlled Committee O State Candidate Election Committee O Recall (Also Complete Part 5) General Purpose Committee 	Primarily Formed Ballot Measure Committee O Controlled O Sponsored (Also Complete Part 6)	 Preelection Statement Semi-annual Statement Termination Statement (Also file a Form 410 Termin Amendment (Explain below) 	nation)	uarterly Statement pecial Odd-Year Re upplemental Preelec tatement - Attach Fo
O Small Contributor Committee O Small Contributor Committee O Political Party/Central Committee	Primarily Formed Candidate/ Officeholder Committee (Also Complete Part 7)			
3. Committee Information	I.D. NUMBER 1384178	Treasurer(s)		
COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)	1204170	NAME OF TREASURER Shelley Levine		
ANTONOVICH STATE SENATE 2016; CALIFORNIAN	IS IN SUPPORT OF MIKE	MAILING ADDRESS 13038 Landale Street		
STREET ADDRESS (NO P.O. BOX) 12400 VENTURA BLVD STE 363		CITY Studio City	STATE ZIP CÓDE CA 91604	AREA COL (818)
	CODE AREA CODE/PHONE 604 (818) 486-6313	NAME OF ASSISTANT TREASURER, I	ANY	
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX 13038 LANDALE ST		MAILING ADDRESS		
	CODE AREA CODE/PHONE	СПУ	STATE ZIP CODE	AREA COL
OPTIONAL: FAX / E-MAIL ADDRESS shelleylevine@rocketmail.com		OPTIONAL:FAX/E-MAILADDRESS Treasurer: shelleylevi	ne@rocketmail.com	
 Verification I have used all reasonable diligence in preparing and revie under penalty of perjury under the laws of the State of Cali 		dge the information contained herein and in th	attached schedules is true and con	nplete. I certify
Executed on 5/31/2016	ву СМАМ	Signature of Treasurer or Assistant Treasurer		
Date	О	olling Officeholder, Candidate, State Measure Proponent or Res	consible Officer of Sponsor	
Date Executed on Date	Signature of Contr	-		
Date Executed on	By	Ignature of Controlling Officeholder, Candidate, State Measure	Proponent	

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Recipient Committee Campaign Statement Cover Page - Part 2

COVER PAGE - PART 2 CALIFORNIA FORM 460

Page 2

— of <u>13</u>

. Officeholder or Candidate Controlled Committee		6.	Primarily Formed Ballot Meas	ure Committee		
NAME OF OFFICEHOLDER OR CANDIDATE			NAME OF BALLOT MEASURE			
OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF AP	PLICABLE)		BALLOT NO. OR LETTER JU	RISDICTION		SUPPORT
RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY	STATE ZIP		Identify the controlling officehold	ler, candidate, or state m	easure pro	ponent, if any.
Related Committees Not Included in this Statement: u	ist any committees		NAME OF OFFICEHOLDER, CANDIDATE, OR	PROPONENT		
not included in this statement that are controlled by you or are primarily formed contributions or make expenditures on behalf of your candidacy.	to receive		OFFICE SOUGHT OR HELD		DISTRICT NO.	IF ANY
	LD. NUMBER					
NAME OF TREASURER	CONTROLLED COMMITTEE?	7.	Primarily Formed Candidate/C officeholder(s) or candidate(s) for which this			names of
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)			NAME OF OFFICEHOLDER OF CANDIDATE Mike Antonovich	OFFICE SOUGHT State Sena District 2	tor	SUPPORT
CITY STATE ZIP CODE	AREA CODE/PHONE		NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT	OR HELD	SUPPORT
			NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT	or Held	SUPPORT
NAME OF TREASURER COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)	CONTROLLED COMMITTEE?		NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT	OR HELD	
CITY STATE ZIP CODE	AREA CODE/PHONE		Attach contin	nuation sheets if necess	ary	

Campaign Disclosure Statement Summary Page SEE INSTRUCTIONS ON REVERSE NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF	Type or pr Amounts may to whole	/ be rounded	Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	SUMMARY PAGE CALIFORNIA FORM 460 Page 3 of 13 LD. NUMBER 1384178
Contributions Received	Column A TOTAL-THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE	Calendar Year Summary for Running in Both the State P	
1. Monetary Contributions	ne 3 <u>\$0.00</u>	\$100,000.00	General Elections	
2. Loans Received Schedule B, Li		\$0.00	1/1 through	6/30 7/1 to Date
3. SUBTOTAL CASH CONTRIBUTIONS	1+2 \$0,00	\$100,000.00	20. Contributions Received	
4. Nonmonetary Contributions	ne 3 \$0.00	\$0.00	21. Expenditures	
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines S		\$100,000.00	Made	
Expenditures Made			Expenditure Limit Summary Candidates	for State
6. Payments Made Schedule E, Li		\$94,721.00	Canuluales	
7. Loans Made Schedule H, L		\$0.00	22. Cumulative Exp	
8. SUBTOTAL CASH PAYMENTS Add Lines (\$94,721.00	(If Subject to Voluntary	Expenditure Limit)
9. Accrued Expenses (Unpaid Bills) Schedule F, Li		\$0.00	Date of Election (mm/dd/yy)	Total to Date
10. Nonmonetary Adjustment Schedule C, Li		\$0.00	(iiiii/dd/yy)	
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9	+10 \$44,827.00	\$94,721.00		
Current Cash Statement	10 - AM			
12. Beginning Cash Balance Previous Summary Page, Lin	ne 16 \$46,606.00	To calculate Column B, add	Amounts in this section may be	different from amounte
13. Cash Receipts Column A, Line 3 al	bove \$0.00	amounts in Column A to the corresponding amount	reported in Column B.	
14. Miscellaneous Increases to Cash Schedule I, Li	ine 4 \$0.00	from Column B of your last report. Some amounts in		
15. Cash Payments Column A, Line 8 al	bove \$44,827.00	Column A may be negative		
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Lin	ne 15 \$1,779.00	figures that should be subtracted from previous		
If this is a termination statement, Line 16 must be zero.		period amounts. If this is the first report being filed		
17. LOAN GUARANTEES RECEIVED Schedule B, P	art 2 \$0.00	for this calendar year, only carry over the amounts	72	
		from Lines 2, 7, and 9 (if any).		
Cash Equivalents and Outstanding Debts				
18. Cash Equivalents See Instructions on rev				
19. Outstanding Debts Bailed and the second sec	bove \$0.00		FPPC	FPPC Form 460 (January/05) Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

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Schedule Monetary	A Contributions Received	F	Type or print in ink. Amounts may be rounded to whole dollars.		Statement covers per	riod CALIFORNIA FORM 460
SEE INSTRUCTIONS	ON REVERSE			ti	5/26/2016	Page of
NAME OF FILER	TATE SENATE 2016; CALIFORNIANS IN SUPPORT OF M	IIKE				I.D. NUMBER 1384178
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE [®]	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO CALENDAR Y (JAN. 1 - DEC	'EAR TO DATE
4/4/2016	Deanza Properties 960 N. San Antonio Road Suite 114 Los Altos, CA 94022	DIND COM OTH PTY SCC		\$0.00	\$100,000.00	2016 F: \$100,000.00
			SUBTOTAL	\$, and the set	
Schedule A S	ummary vived this period - itemized monetary contributions. Schedule A subtotals.)			\$0,00	1	Contributor Codes ND - Individual COM - Recipient Committee
2. Amount rece	vived this period - unitemized monetary contributions of less that			\$0.00	(C	(other than PTY or SCC) OTH - Other (e.g., business entity) PTY - Political Party
(Add Lines 1	ary contributions received this period. and 2. Enter here and on the Summary Page, Column A, Line	e 1.)	TOTAL	\$0.00		SCC - Small Contributor Committee

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FPPC Form 460 (January/05) FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

Schedule B - Part 1		Type or	print in ink.		1 			ULE B - PART 1
Loans Received			nay be rounded ole dollars.		4/	nt covers period 26/2016	CALIFORN FORM	^{IA} 460
					from	5/26/2016	Page	of <u>13</u>
SEE INSTRUCTIONS ON REVERSE			, 		through .			
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIA	NS IN SUPPORT OF MIKE						I.D. NUMBER 1384178	
FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL; ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED; ENTER NAME OF DUGINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) Amount Received This Period	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE
			<u>}</u>	D PAID		%		CALENDAR YEAR
						RATE		PER ELECTION**
	<u></u>				DATE DUE		DATE INCURRED	
						%		ĆALENDAR YEAR
1 77						RATE		PER ELECTION**
					DATE DUE		DATE INCURRED	
						~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		CALENDAR YEAR
		-				RATE		PER ELECTION**
					DATEDUE		DATE INCURRED	
		SUBTOTAL	\$	<u>\$</u> \$	\$	\$		
Schedule B Summary						(Enter (e) on Schedule E, Line 3)	)	
1. Loans received this period (Total Column (b) plus unitemized loans of less that					<u>)0</u>		ontributor Codes	
<ol> <li>Loans paid or forgiven this period</li></ol>	rgiven.)			<u> \$0.0</u>		_ COI	M - Recipient Co (other than P H - Other (e.g., b Υ - Political Party	TY or SCC) usiness entity)
3. Net change this period. (Subtract Line 2 from Line Enter the net here and on the Summary Page, Col				NET \$0,0 (May	) ) y be a negative number)	- SCO	C - Small Contrib	utor Committee
*Amounts forgiven or paid by another party also mu	st be reported on Schedule A.							
** If required.						FPF		Form 460 (January/05) /ASK-FPPC (868/275-3772)

<u> </u>			Type or print in ink	Type or print in ink.				SCHEDULE C
Schedule Nonmone	etary Contributions Recei	ved	Amounts may be round to whole dollars.	ed	Statement covers		CALIFOF FOR	
SEE INSTRUCTIONS	ON BEVERSE				through	016	Page <u>6</u>	of <u>13</u>
NAME OF FILER	TATE SENATE 2016; CALIFORNIANS IN S	UPPORT OF MT	KE		L	1	I.D. NUMBEF 1384178	1
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	JF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULAT DAT CALENDAF (JAN. 1 - D	E R YEAR	PER ELECTION TO DATE (IF REQUIRED)
		IND COM OTH PTY SCC						
Attach additiona	l information on appropriately labeled continuati	on sheets.	SL	IBTOTAL \$				
Schedule C S	eived this period - itemized nonmonetary contrib	utions.		70.00		*Contrib IND - In	outor Code	98
<ul><li>(Include all S</li><li>2. Amount rece</li><li>3. Total nonmo</li></ul>	Schedule C subtotals.) eived this period - unitemized nonmonetary cont onetary contributions received this period. and 2. Enter here and on the Summary Page,	ributions of less t	than \$100		· · · · · · · · · · · · · · · · · · ·	COM - F OTH - C PTY - P	Recipient ( (other thai )ther (e.g. olitical Pa	Committee n PTY or SCC) , business entity) rty ributor Committee

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	le D ry of Expenditures ing/Opposing Other	Amoun	e or print in ink. ts may be rounded whole dollars.	Statement covers period from		CALIFOF FORM	
<b>Candida</b> SEE INSTRUCTION	tes, Measures and Committees			through	016	Page 7	of
NAME OF FILER	STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF M	TKE		L		I.D. NUMBER 1384178	
DATE	NAME OF CANDIDATE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIN CALENDA (JAN. 1 - 1	R YEAR	PER ELECTION TO DATE (IF REQUIRED)
5/1/2016	Californians in support of Mike Antonovich Stat Senate 2016 Support Doppose	Monetary Contribution     Nonmonetary Oontribution     Independent Expenditure	Slate	\$9,242.00	\$9,242.	00	
4/28/2016	Californians in support of Mike Antonovich Stat Senate 2016 Support Doppose	Ee Monetary Contribution Nonmonetary Contribution Independent Expenditure	Consultant	\$950.00	\$950.00		
5/1/2016	Californians in support of Mike Antonovich Stat Senate 2016 Support Doppose	Mohetary Contribution     Nonmonetary Contribution     Independent Expenditure	Slate	\$6,135.00	\$6,135.	00	
			SUBTOTAL S				
Schedule D	Summary						
1. Itemized co	ontributions and independent expenditures made this period. (Ind	clude all Schedule D s	subtotals.)			<u>\$</u> -	44,827.00
2. Unitemized	contributions and independent expenditures made this period of	under \$100				Ş	0.00
3. Total contri	ibutions and independent expenditures made this period. (Add L	ines 1 and 2. Do not	enter on the Summary Page.)		•••••		44,827.00

FPPC Form 460 (January/05) FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

Summa Support Candida	le D uation Sheet) ry of Expenditures ting/Opposing Other ates, Measures and Committees	Amoun to	e or print in ink. Its may be rounded whole dollars.	Statement cover from 4/26/201 through 5/26/2	rs period CALIF 6 FO	JER
DATE	NAME OF CANDIDATE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DAT CALENDAR YEAR (JAN. 1 - DEC. 31)	E PER ELECTION TO DATE (IF REQUIRED)
4/28/2016	Californians in support of Mike Antonovich State Senate 2016 Support Doppose	Monetary Contribution	Consultant	\$25,000.00	\$25,000.00	
4/28/2016	Californians in support of Mike Antonovich State Senate 2016 Support Oppose	Monetary Contribution	office expenses	\$3,500.00	\$3,500.00	
	Support Dppose	Monetary Contribution				
	Support Dppose	Monetary Contribution				
-			SUBTOTAL \$			· <u> </u>

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Schedule E Payments Made	Type or print in ink. Amounts may be rounded to whole dollars.	from <u>4/26/2016</u> through <u>5/26/2016</u>	SCHEDULE E CALIFORNIA 460 FORM 460 Page 9 of 13 D. NUMBER 1384178
CNScampaign consultantsMTGCTBcontribution (explain nonmonetary)*OFCCVCcivic donationsPETFILcandidate filing/ballot feesPHO	IndexDescriptionDescriptionmember communicationsRADmeetings and appearancesRFDoffice expensesSALpetition circulatingTELphone banksTRCpolling and survey researchTRSpostage, delivery and messenger servicesTSFprofessional services (legal, accounting)VOTprint adsWEB	wise, describe the payme radio airtime and production returned contributions campaign workers' salaries t.v. or cable airtime and production candidate travel, lodging, and me staff/spouse travel, lodging, and transfer between committees of the voter registration information technology costs (int	on costs eals meals the same candidate/sponsor
NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER) Darby Levin 13260 Moorpark Street #5 Sherman Oaks, CA 91423	CNS	VOF PAYMENT	AMOUNT PAID \$950.00
Michael Berman Inc 8665 Wilshire Blvd Beverly Hills, CA 90210 CALSAL VOTER GUIDE 1954 W. Carson St Suite B Torrance, CA 90501	CNS LIT		\$25,000.00
* Payments that are contributions or independent expenditures must also be summ	narized on Schedule D.	SUBTOTA	AL \$
<ol> <li>Schedule E Summary</li> <li>Itemized payment made this period. (Include all Schedule E subtotals.)</li> <li>Unitemized payments made this period of under \$100</li> <li>Total interest paid this period on loans. (Enter amount from Schedule B, Part 14.</li> <li>Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the</li> </ol>	I, Column (e).)		\$0.00 \$0.00

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FPPC Form 460 (January/05) FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

Schedule E (Continuation Sheet) Payments Made	Type or pri Amounts may to whole	be rounded	Statement cover from <u>4/26/201</u> through <u>5/26/2</u>	6	SCHEDULE E (CONT.) IFORNIA ORM 460
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE					JMBER 4178
CNS       campaign consultants       MTG       m         CTB       contribution (explain nonmonetary)*       OFC       off         CVC       civic donations       PET       per         FIL       candidate filing/ballot fees       PHO       pl         FND       fundraising events       POL       per         IND       independent expenditure supporting/opposing others (explain)*       POS       pr         LEG       legal defense       PRO       pr	e payment, you n nember communication neetings and appearan ffice expenses etition circulating hone banks olling and survey rese ostage, delivery and m rofessional services (k rint ads	ns ices arch nessenger services	RADradio airtime and prRFDreturned contributionSALcampaign workers'TELt.v. or cable airtimeTRCcandidate travel, loTRSstaff/spouse travel,	roduction ons salaries and production dging, and meals lodging, and me ommittees of the	costs als same candidate/sponsor
NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OI	R E	DESCRIPTION OF PAYMENT		AMOUNT PAID
California Voter Guide 1954 W. Carson St Suite B Torrance, CA 90501	LIT				\$6,135.00
Californians in support of Mike Antonovich State Senate 2016 13038 Landale Street Studio City, CA 91604	OFC	office expenses		•	\$3,500.00
* Payments that are contributions or independent expenditures must also be summari	ized on Schedule D.			SUBTOTAL	aanud
				FPPC Toll-Free H	FPPC Form 460 (January/05) elpline: 866/ASK-FPPC (866/275-3772)

Schedule F Accrued Expenses (Unpaid Bills)	from	SCHEDU ment covers period 4/26/2016 5/26/2016 5/26/2016		
SEE INSTRUCTIONS ON REVERSE		through	Page	9 <u>11</u> of <u>13</u>
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT O	F MIKE		. I.D. NUN 1384	
CODES: If one of the following codes accurately descr	ibes the payment, you may enter the code.	Otherwise, desc	ribe the payment.	
CMP       campaign paraphernalia/misc.         CNS       campaign consultants         CTB       contribution (explain nonmonetary)*         CVC       civic donations         FIL       candidate filing/ballot fees         FND       fundraising events         IND       independent expenditure supporting/opposing others (explain)*         LEG       legal defense         LIT       campaign literature and mailings	MBRmember communicationsMTGmeetings and appearancesOFCoffice expensesPETpetition circulatingPHOphone banksPOLpolling and survey researchPOSpostage, delivery and messenger servicesPROprofessional services (legal, accounting)	RAD radio airtime RFD returned con SAL campaign wo TEL t.v. or cable TRC candidate tra TRS staff/spouse TSF transfer betw VOT voter registra	and production tributions orkers' salaries airtime and production co avel, lodging, and meals travel, lodging, and meal veen committees of the sa	s ame candidate/sponsor
NAME AND ADDRESS OF CREDITOR- (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	(a) CODE OR DESCRIPTION OF PAYMENT OF THIS PERIOD OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(C) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSING OF THIS PERIOD
* Payments that are contributions or independent expenditures must also be summarized on Schedule D. summarized on Schedule D.	SUBTOTAL \$		\$\$	
Schedule F Summary				
1. Total accrued expenses incurred this period. (Include all Schedule F, C accrued expenses of \$100 or more, plus total unitemized accrued expe	Column (b) subtotals for		INCURBED TOTALS	\$0.00
<ol> <li>Total accrued expenses paid this period. (Include all Schedule F, Columaccrued expenses of \$100 or more, plus total unitemized payments on</li> </ol>	mn (c) subtotals for payments on			\$0.00
<ol> <li>Net change this period. (Subtract Line 2 from Line 1. Enter the differer on the Summary Page, Column A, Line 9.)</li> </ol>	nce here and		NET	\$0.00 (May be a negative number)
			FPPC Toll-Free Help	FPPC Form 460 (January/05) line: 866/ASK-FPPC (866/275-3772)

Schedule H Loans Made to Others*		Amounts r	print in ink. nay be rounded ole dollars.		from	nt covers period	CALIFORNI FORM	CALIFORNIA FORM 460	
SEE INSTRUCTIONS ON REVERSE					through -	5/26/2016	Page <u>12</u>	of <u></u>	
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIA	NS IN SUPPORT OF MIKE						I.D. NUMBER 1384178		
FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE	
						%		CALENDAR YEAR	
						RATE		PER ELECTION**	
			, /	PAID	DATE DUE	%	DATE INCURRED	CALENDAR YEAR	
					DATE DUE	RATE	DATE INCURRED	PER ELECTION**	
*Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E.		SUBTOTAL	\$	\$	ŝ	\$			
						(Enter (e) on Schedule I, Line 3)		<u></u>	
Schedule H Summary				<u> </u>					
<ol> <li>Loans made this period</li></ol>	n \$100.)			<u></u> <u>\$0.0</u>		-			
2. Payments received on loans (Total Column (c) plus uniternized payments of less	s than \$100.)					-		** If required.	
<ol> <li>Net change this period. (Subtract Line 2 from Line Enter the net here and on the Summary Page, Colu</li> </ol>	1.) ımn A, Line 7.			- 1954.	0 0 be a negative number)	-			

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Sahadula	. 1	Type or prir	nt in ink		SCHEDULE I		
Schedule	eous Increases to Cash	Amounts may to whole of	be rounded	Statement covers period	CALIFORNIA FORM 460		
miscena			ioliais.	from	FORM 400		
SEE INSTRUCTIONS	ON REVERSE			5/26/2016	Page <u>13</u> of <u>13</u>		
NAME OF FILER ANTONOVICH S	STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF M	IKE	1		I.D. NUMBER 1384178		
DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)		DESCRIPTION OF	FRECEIPT	AMOUNT OF INCREASE TO CASH		
				SUBTOTAL	\$		
Schedule I Su				40.00			
	eases to cash this period.				_		
	ncreases to cash of under \$100 this period						
	terest received this period on loans made to others. (Schedule				_		
4. Summary Pa	aneous increases to cash this period. (Add Lines 1, 2, and 3. age, Line 14.)		Т	OTAL \$0.00	_		

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FPPC Form 460 (January/05) FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

Exhibit A-12

	4) 4) 1)				
<b>Recipient Committee</b>		Тур	e or print in ink.	an a	
Campaign Statement		· · · · ·		Date Stamp	CALIFORNIA 2001/02 460
Cover Page			p	CEIVED AND FILE	FORM
(Government Code Sections 84200-8421	6.5)	Statement covers period	Date of election if applicable: in th (Month, Day, Year)	e office of the Secretary of S of the State of California	LPage of
n n	n div	from5/22/2016			
SEE INSTRUCTIONS ON REVERSE	JP T	through6/30/2016	6/7/2016	JUN 19 2017.	
1. Type of Recipient Committee:	All Committees - Complete	Parts 1, 2, 3, and 4	2. Type of Statement:		n an
Officeholder, Candidate Controller		Primarily Formed Ballot Measure	Preelection Statement		Quarterly Statement
O State Candidate Election Comn		Committee	Semi-annual Statement	□ s	pecial Odd-Year Report
O Recall (Also Complete Part 5)		O Controlled O Sponsored	Termination Statement (Also file a Form 410 Terminat)		Supplemental Preelection Statement - Attach Form 495
General Purpose Committee		(Also Complete Part 6)	Amendment (Explain below)	,	
O Sponsored O Small Contributor Committee		Primarily Formed Candidate/			
OPolitical Party/Central Committee	ee	(Also Complete Part 7)			
3. Committee Information		I.D. NUMBER 1384178	Treasurer(s)		
COMMITTEE NAME (OR CANDIDATE'S NAME IF NO			NAMEOF TREASURER		
ANTONOVICH STATE SENATE 2016;	CALIFORNIANS I	N SUPPORT OF MIKE	MAILING ADDRESS		
		a an the second	13038 Landale Street		
STREET ADDRESS (NO P.O. BOX) 12400 VENTURA BLVD STE 363			CITY Studio City	STATE ZIP CODE Ca 91604	AREA CODE/PHONE (818) 486-6313
CITY STUDIO CITY	STATE ZIP CODE CA 91604	AREA CODE/PHONE (818) 486-6313	NAME OF ASSISTANT TREASURER, IF AN	IY	
MAILING ADDRESS (IF DIFFERENT) NO. AND STRE	ÉT OR P.O. BOX		MAILING ADDRESS		and the second sec
13038 LANDALE ST					
CITY STUDIO CITY	STATE ZIP CODE CA 91604	AREA CODE/PHONE	СПУ	STATE ZIP CODE	AREA CODE/PHONE
OPTIONAL: FAX / E-MAIL ADDRESS shelleylevine@rocketmail.com			OPTIONAL: FAX/E:MAIL ADDRESS Treasurer: shelleylevine	erocketmail.com	
		ana ana amin'ny faritr'o ara-dampana ana amin'ny faritr'o dia amin' amin' amin' amin' amin' amin' amin' amin' a Ny faritr'o dia mampiasa amin' ami			
4. Verification		and a second			
I have used all reasonable diligence in pro under penalty of perjury under the laws of			ge the information contained herein and in the att	ached schedules is true and com	plete. I certify
Executed on <u>6/20/2016</u>		By By	iput		
Date Executed on Date	an die gegene sowe waar wat	By	Signature of Treasurer or Assistant Treasurer	To Other of Sharper	
Executed on		By	ang Officencider, Canoidate, State Measure Proponent of Hesponer gnature of Controlling Officehoider, Candidate, State Measure Propor	<u>2</u>	
	•	Βν	gnature of Controlling Officeholder, Candidate, State Measure Propor		FPPC Fprm 460 (January/05)
		ju			Coll-Free Helpline: 866/ASK-FPPC (866/275-3772) State of California
·					

Campaign Disclosure Statement Summary Page	Type or print in ink Amounts may be rounded to whole dollars.				Statement covers period	CALIFORNIA	^{/////////////////////////////////////}
SEE INSTRUCTIONS ON REVERSE			energia en la seguina de la Seguina de la seguina de la No de la seguina de la segui	nga ana piping sanga	6/30/2016	Page 3	of <u>11</u>
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN S	UPPORT OF MIR	E	ter settas bavada sen de est. 1		nto presi la consta Calendaria (Calendaria) (2007)	I.D. NUMBER 1384178	
Contributions Received	nenegter referiesender 19	COLUMN A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE		ndar Year Summary for ning in Both the State Pi		A A A A A A A A A A A A A A A A A A A
1. Monetary Contributions	Schedule A, Line 3	\$0.00	\$100,000.00		eral Elections	alan kanalaran kanalaran kanalar Manalari kanalari kan Manalari	
2. Loans Received		\$0.00	\$0.00	20	1/1 through Contributions	6/30	7/1 to Date
3. SUBTOTAL CASH CONTRIBUTIONS	enter and the second	\$0.00	\$100,000.00	Contraction of the second	Received		
4. Nonmonetary Contributions	영국 학교 일도가 일을 가 물려졌다.	\$0.00	\$0.00		Expenditures		
5. TOTAL CONTRIBUTIONS RECEIVED		<u>\$0.00</u>	\$100,000,00	an that	Made		
Expenditures Made				Ехр	enditure Limit Summary	for State	
6. Payments Made	Schedule E, Line 4	\$889.60	\$95,610.60	Can	didates	la Marina a sugara dala sa kara sa	g win die Kerken -
7. Loans Made	토토토토토토 <b>노동 동문화학생</b>	\$0,00	\$0,00	and a second second second	22. Cumulative Exp	enditures Made*	V JA
8. SUBTOTAL CASH PAYMENTS		\$889.60	\$95,610.60		(If Subject to Voluntary	Expenditure Limit)	
9. Accrued Expenses (Unpaid Bills)	South the second se	<u>\$0.00</u>	\$0.00		Date of Election	Total t	o Date
10. Nonmonetary Adjustment	"我们们的"。"你们的"。"你是我	\$0.00	\$0.00	angahin retire	(mm/dd/yy)	lainte construction de la construction	n e segundariana
11. TOTAL EXPENDITURES MADE	이 전 바이에서 생산되어 다 같은 바람을	\$889.60	\$95,610.60				
Current Cash Statement					<u></u>		
12. Beginning Cash Balance Previous Sun	nmary Page, Line 16	\$1,779,00	To calculate Column B, add	Ar	nounts in this section may be	different from an	nounts
13. Cash Receipts Coli	umn A, Line 3 above	\$0.00	amounts in Column A to the corresponding amount	re	ported in Column B.	n an tha an tha said Martin	
14. Miscellaneous Increases to Cash	'이 물건물증배를 양파하는 것 같아.	\$0.00	from Column B of your last report. Some amounts in			Meneral Antonio	
15. Cash Payments col	umn A, Line 8 above	\$889.60	Column A may be negative				
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14,	then subtract Line 15	\$889.40	subtracted from previous		nana mang ang kanang	h <b>dupai</b> te at historie en inde	a secondaria. Alternational
If this is a termination statement, Line 16 must be zero.	an a	TYPETTING COMMINSION IN COMPANY AND	the first report being filed			NE SER AND	
17. LOAN GUARANTEES RECEIVED	Schedule B, Part 2	\$0.00	for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if		n an an ar an		al General Solat General Solat
Cash Equivalents and Outstanding Debts			any).				i na serie program de la constante Serie de la constante de la constante de la constante de la constante de la Serie de la constante de la cons
18. Cash Equivalents See Int	structions on reverse	\$0.00					
19. Jutstanding Debts Add Line 2 + Line		\$0.00				EDBC Ex	orm 460 (Januar
					FPPO	Toll-Free Helpline: 866/AS	

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Schedule E Pa, ments Made		Type or pri Amounts may to whole	be rounded		Statement covers period from $\frac{5/22/2016}{6/30/2016}$ through $\frac{6/30/2016}{6}$	O'r i lait	ORNIA DRM 8	CHEDULE E 460
CODES:       If one of the following codes accurately de         CMP       campaign paraphernalia/misc.         CNS       campaign consultants         CTB       contribution (explain nonmonetary)*         CVC       civic donations         FIL       candidate filing/ballot fees         FND       fundraising events         IND       independent expenditure supporting/opposing others (explain)*         LEG       legal defense         LIT       campaign literature and mailings	ABR member of MBR member of MTG meetings OFC office exp PET petition of PHO phone ba POL polling an POS postage,	communicatior and appearan enses rculating nks d survey rese delivery and n	IS Ces	RAD RFD SAL	wise, describe the p radio airtime and productions campaign workers' salarie t.v. or cable airtime and pro- candidate travel, lodging, staff/spouse travel, lodging transfer between committe voter registration information technology co	on es roduction cos and meals g, and meals ees of the sa	s Ime candi	date/sponsor
NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)		CODE OF	1	DESCRIPTION	I OF PAYMENT		AMOU	NT PAID
Shelley Levine 13038 Landale Street Studio City, CA 91604		OFC				\$2	239.60	λ _{ημα} ο, αριοτικό το το μου το το ποιο
Shelley Levine 13038 Landale Street Studio City, CA 91604		PRO				şı	\$50.00	al mana a fa gara an
* Payments that are contributions or independent expenditures must a	so be summarized on S	Schedule D.	a an		SUE	BTOTAL \$		
<ol> <li>Schedule E Summary</li> <li>Itemized payment made this period. (Include all Schedule E subto</li> <li>Unitemized payments made this period of under \$100</li> <li>Total interest paid this period on loans. (Enter amount from Sched</li> <li>Total payments made this period. (Add Lines 1, 2, and 3. Enter here</li> </ol>	ule B, Part 1, Column (	e).)				· · · · · · · · · · · · · · · · · · ·	\$889.6 \$0.00 \$0.00 \$889.6	anna han ann an an ann an ann ann ann an
						PC Toil-Free Helpir		460 (January/05) PPC (866/275-3772)

Recipient Committee		Туре	or print in ink.	Data Otawa	COVER PAGE
Campaign Statement Cover Page				Date Stamp ECEIVED AND FIL	2001/02 460
(Government Code Sections 84200-84216.5)		Statement covers period	Date of election if applicable:	of the State of California	Page of
n n n n n n n n n n n n n n n n n n n		7/1/2016	(Month, Day, Year)	JUN 19 2017	For Official Use Only
	JFI	through	11/5/2016		
1. Type of Recipient Committee: All Con	nmittees - Complete P	and some second s	2. Type of Statement:		
<ul> <li>Officeholder, Candidate Controlled Con O State Candidate Election Committee O Recall (Also Complete Part 5)</li> <li>General Purpose Committee O Sponsored O Small Contributor Committee O Political Party/Central Committee</li> </ul>	nmittee	Primarily Formed Ballot Measure Committee O Controlled O Sponsored ( <i>Also Complete Pari 6</i> ) Primarily Formed Candidate/ Officeholder Committee ( <i>Also Complete Part 7</i> )	Preelection Statement Semi-annual Statement Termination Statement (Also file a Form 410 Termin Amendment (Explain below	nation)	Quarterly Statement Special Odd-Year Report Supplemental Preelection Statement - Attach Form 495
3. Committee Information		I.D. NUMBER 1384178	Treasurer(s)		and an advancement of the second state of the second state of the second state of the second state of the second
COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMM ANTONOVICH STATE SENATE 2016; CAL		SUPPORT OF MIKE	NAME OF TREASURER Shelley Levine		
			MAILING ADDRESS 13038 Landale St		
STREET ADDRESS (NO P.O. BOX) 12400 VENTURA BLVD STE 363	· · · ·		CITY Studio City	STATE ZIP CODE CA 91604	AREA CODE/PHONE (818) 486–6313
CITY STA STUDIO CITY CA		AREA CODE/PHONE (818) 486-6313	NAME OF ASSISTANT TREASURER, IF	ANY	
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR 13038 LANDALE ST	P.O. BOX		MAILING ADDRESS		
CITY STA STUDIO CITY CA		AREA CODE/PHONE	OTTY	STATE ZIP CODE	AREA CODE/PHONE
OPTIONAL:FAX/E-MAIL ADDRESS shelleylevine@rocketmail.com	ανταστάλομμα το Νουστάλο στο Ρασταματό σματισμού από το προγολογιατία στο Ρασταματό σματισμού		OPTIONAL: FAX/E.MAIL ADDRESS Treasurer: shelleylevin	neërocketmail.com	
4. Verification	an a	nan na kanan ka			
I have used all reasonable diligence in preparing under penalty of perjury under the laws of the SI Executed on <u>10/8/2016</u> Executed on <u>Date</u> Executed on <u>Date</u> Executed on <u>Date</u>		at the foregoing is true and correct. By	Signature of Treasurer or Assistant Treasurer of Officeholder, Candidale, State Measure Proponent or Respo ture of Controlling Officeholder, Candidale, State Measure Pr	hsble Officer of Sponsor	iplete. I certify FPPC Form 466 (January05)
		Signa	ture of Controlling Officeholder, Candidate, State Measure Pr	oponent FPPCT	oll-Free Helpline: 866/ASK-FPPC (866/3372) State of California

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## Recipient Committee Campaign Statement Cover Page - Part 2

Type or print in ink.

No. 9

COVER PAGE - PA	AT 2
ON IFORMULA	
CALIFORNIA FORM 46	A
FORM <b>FORM</b>	<b>A</b> _/
Page 2of _11	
Page 01	

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5. Officeholder or Candidate Controlled Committee

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												41.4.3	1334	an a'	1913			1, 1, 2,	
	へどうじょ	317 A.		5 N. 19	11 No. 1, 4	-1 MB			94. ^m 1	19 - 19 M P	100			11 W.	C (5. 95	2.63.2		al as f	25
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14			9 E N CL	11 B F 1		2.53	은 같은				10.00	2.473	5.52.	- 18 B	1.1		S.C. M	12.005	
6. Î	1.2.16.23.3	0 NA 246	612344	وورد فارتش	de Carlos d		A 4.58	1.415.1		1.12.25	St. 5. 3	N. A. M.	1121	やかわ	2		14.21	10 A 18	÷.,

OFFICE SOUGHT OF HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ( ZIP

Related Committees Not Included in this Statement: List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.

을 수 있는 것도	에 가장 있었는 것 					
COMMITTEE NAME				전문화가 좋은	I.D. NUMBER	
			a na katala a	N (AR MCRAS)	N. A. Martin	
				ې د د د د د د د د د د د د د د د د د د د		
	<u>Waa nooraa </u>					
NAME OF TREASU	RER		nekristi antara shii v shukke			
	el secolo de la regiona conce	rae circulation and search and			YES	

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
		en norden Generation	LD. NUMBER
NAME OF TREASURER	AL	<b>1</b>	

CITY STATE ZIP CODE AREA CODE/PHONE

COMMITTEE ADDRESS

### 6. Primarily Formed Ballot Measure Committee

			ME										

a and a second	
BALLOT NO. OR LETTER	
an a	
Identify the controlling officeho	older, candidate, or state measure proponent, if any

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

	이 같은 것은 같은 것이 같이	
OFFICE SOUGHT OF HELD	st. Statistic	DISTRICT NO. IF ANY
	an lan isan mangarita	
and the second		
(1) Martine and Alternative and Alternative Active States and Alternative Active Ac	an air an	al an

7. Primarily Formed Candidate/Officeholder Committee List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE Mike Antonovich	OFFICE SOUGHT OR HELD State Senator District 25	SUPPORT
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	SUPPORT
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OF HELD	
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	

- Attach continuation sheets if necessary
  - to the price of the Secrets of Sec.

DECEMBER YNU CHURY SE

FPPC Form 460 (January/05) FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772) State of California

Campaign Disclosure Statement Summary Page		vrint in ink. ay be rounded e dollars,	SUMMARY PAGE Statement covers period from 7/1/2016 through 9/24/2016 LD. NUMBER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MI	KE	nan manananan ang kananan mananan mananan mananan kananan kananan kananan kananan kanana kanana kanana kanana k	
Contributions Received	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES) \$0,00	Column B CALENDAR YEAR TOTAL TO DATE \$100,000.00	Calendar Year Summary for Candidates Running in Both the State Primary and General Elections
1. Monetary Contributions       Schedule A, Line 3         2. Loans Received       Schedule B, Line 3	<u> </u>	\$0.00	1/1 through 6/30 7/1 to Date 20. Contributions
<ol> <li>SUBTOTAL CASH CONTRIBUTIONS</li></ol>	\$0.00 \$0.00 \$0.00	\$100,000.00 \$0.00 \$100,000.00	Received
Expenditures Made			Expenditure Limit Summary for State
6. Payments Made Schedule E, Line 4	\$0.00	\$99,110.60	Candidates
7. Loans Made Schedule H, Line 3	\$0.00	\$0.00	22. Cumulative Expenditures Made*
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$0.00	\$99,110.60	(If Subject to Voluntary Expenditure Limit)
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	\$0.00	\$0.00	Date of Election Total to Date
10. Nonmonetary Adjustment Schedule C, Line 3	\$0.00	\$0.00	(mm/dd/yy)
11. TOTAL EXPENDITURES MADE Add Lines 8+9+10	\$0.00	\$99,110.60	
Current Cash Statement         12. Beginning Cash Balance         13. Cash Receipts         14. Miscellaneous Increases to Cash         15. Cash Payments         16. ENDING CASH BALANCE         Add Lines 12 + 13 + 14, then subtract Line 15         If this is a termination statement, Line 16 must be zero.	\$889.40       \$0.00       \$0.00       \$0.00       \$0.00       \$89.40	To calculate Column B, add amounts in Column A to the corresponding amount from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only	Amounts in this section may be different from amounts reported in Column B.
17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$0.00	carry over the amounts from Lines 2, 7, and 9 (if	
Cash Equivalents and Outstanding Debts         18. Cash Equivalents       See Instructions on reverse         19. Outstanding Debts       Add Line 2 + Line 9 in Column B above	\$0.00 \$0.00	any).	FPPC Form 460 (January/05 FPPC Toil-Free Helpline: 866/ASK-FPPC (866/215-3772

	Contributions Received	A	mounts may be rounded to whole dollars.	fri	Statement covers period pm	CALIFORNIA FORM 46( Page 4 of 11
IAME OF FILER ANTONOVICH ST DATE RECEIVED	TATE SENATE 2016; CALIFORNIANS IN SUPPORT OF I FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)		IF AN INDIVIDUAL: ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDARI YEAR (JAN, 1 - DEC, 31)	LD. NUMBER 1384178 PER ELECTION TO DATE (IF REQUIRED)
ta (1997) S. Calas, yeara S. Sanakara		IND COM OTH PTY SCC	Comparison Processor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Concessor Conces	sabe sabe arte	anage about some occurs	and and and a second second
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NAME OF FILER	TATE SENATE 2016; CALIFORNIANS IN SU	JPPORT OF MIKI	9			di na kapata	ID NUMBER 1384178	
DATE	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER LD. NUMBER)	CONTRIBUTOR CODE*	F AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULAT DAT CALENDAI (JAN: 1 - D	二部設計部設計部計:	PER ELECTIC TO DATE (IF REQUIRE)
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Schedule D Summary of Expenditures Supporting/Opposing Other		Amoun	Type or print in ink. Amounts may be rounded to whole dollars.		s period CALIFO	
Candida	tes, Measures and Committees			through	016 Page -	7 of
SEE INSTRUCTION NAME OF FILER ANTONOVICH	SONBEVERSE STATE SENATE 2016; CALIFORNIANS IN SUPPORT	OF MIKE			I.D. NUMBE 138417	
DATE	NAME OF CANDIDATE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN, 1 - DEC, 31)	PER ELECTION TO DATE (IF REQUIRED)
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Schedule E Payments Made	a Marina (Apida a Maria). A	Type or print in ink. Amounts may be rounded to whole dollars.	Statement covers periods and the statement covers periods of t	od CALIFORNIA FORM
SEE INSTRUCTIONS ON REVERSE	nggalita tanunsi se muranggalitan.		through	— Page <u>8</u> of -
	L SUPPORT OF MIKE			I.D. NUMBER 1384178
CMPcampaign paraphernalia/misc.CNScampaign consultantsCTBcontribution (explain nonnonetary)*CVCcivic donationsFILcandidate filing/ballot feesFNDfundraising eventsINDindependent expenditure supporting/opposing othersLEGlegal defenseLITcampaign literature and mailings	MTG meeting OFC office ex PET petition PHO phone b POL polling a (explain)* POS postage	circulating anks ind survey research , delivery and messenger services onal services (legal, accounting)	RAD       radio airtime and producti         RFD       returned contributions         SAL       campaign workers' salarie         TEL       t.v. or cable airtime and p         TRC       candidate travel, lodging,         TRS       staff/spouse travel, lodging,         TSF       transfer between committ         VOT       voter registration         WEB       information technology committee	es roduction costs and meals ig, and meals tees of the same candidate
INAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER LD. NUM	nen asaranan katalan ka BER)		DESCRIPTION OF PAYMENT	AMOUNT PA
* Payments that are contributions or independent expenditure	s must also be summarized on	Schedule D.		BTOTAL S
Schedule E Summary 1. Itemized payment made this period. (Include all Schedule	∍ E subtotals.)			<u>\$0.00</u>
Schedule E Summary	∍ E subtotals.)			

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Schedule F		, ,			
Accrued Expenses (Unpaid Bills)	Amounts ma	print in ink. ay be rounded e dollars.	· · ·	nt covers period	CALIFORNIA FORM 460
SEE INSTRUCTIONS ON REVERSE			through -	9/24/2016	Page _9 of _11
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT C	OF MIKE	1			D. NUMBER 1384178
CODES:       If one of the following codes accurately descr         CMP       campaign paraphernalia/misc.         CNS       campaign consultants         CTB       contribution (explain nonmonetary)*         CVC       civic donations         FIL       candidate filing/ballot fees         FND       fundraising events         IND       independent expenditure supporting/opposing others (explain)*         LEG       legal defense         LIT       campaign literature and mailings	ibes the payment, youMBRmember communicationMTGmeetings and appearaOFCoffice expensesPETpetition circulatingPHOphone banksPOLpolling and survey resPOSpostage, delivery andPROprofessional services (PRTprint ads	ons inces earch messenger services	RAD radio airtime RFD returned co SAL campaign w TEL t.v. or cable TRC candidate tr TRS staff/spouse TSF transfer bet VOT voter registr	a and production ntributions vorkers' salaries airtime and producti avel, lodging, and m travel, lodging, and ween committees of	on costs eals meals the same candidate <i>l</i> sponsor
NAME AND ADDRESS OF CHEDITOR (IFCOMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(C) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSING OF THIS PERIOD
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* Payments that are contributions or independent expenditures must also be summarized on Schedule D. summarized on Schedule D.	SUBTOTAL	talia della d		Le contra de la co	s second
Schedule F Summary	nanga wanga panti si karanga na				
1. Total accrued expenses incurred this period. (Include all Schedule F, Ca accrued expenses of \$100 or more, plus total unitemized accrued exper	olumn (b) subtotals for uses under \$100.)			INCURRED TOTA	15 \$0.00
<ol> <li>Total accrued expenses paid this period. (Include all Schedule F, Colun accrued expenses of \$100 or more, plus total uniternized payments on a</li> </ol>	nn (c) subtotals for payments or accrued expenses under \$100.)	ب ۱۰۰۰ می ۱۰۰۰ میلید میلید			
3. Net change this period. (Subtract Line 2 from Line 1. Enter the difference on the Summary Page, Column A, Line 9.)	ce bere and		ST A		IET \$0.00
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(Total Column (b) plus uniternized loans of less than \$100.) 2. Payments received on loans		from 7/1/2016 FOI		CALIFORI FORM	ORM 400	
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Loans that are contributions to another candidate or committee must also be summarized on Schedule E.  Schedule H Summary  1. Loans made this period			6/			
Loans that are contributions to another candidate or committee          Loans that are contributions to another candidate or committee nust also be summarized on Schedule D. Loans forgiven must lise be reported on Schedule E.       \$       \$         Schedule H Summary       \$       \$       \$         1. Loans made this period       (Total Column (b) plus unitemized loans of less than \$100.)       \$       \$         2. Payments received on loans       (Total Column (c) plus unitemized payments of less than \$100.)       \$       \$			RATÉ		PER ELECTIO	
Loans that are contributions to another candidate or committee nust also be summarized on Schedule E.       SUBTOTAL       \$         Schedule H Summary       1. Loans made this period (Total Column (b) plus unitemized loans of less than \$100.)       \$       \$         2. Payments received on loans (Total Column (c) plus unitemized payments of less than \$100.)       \$       \$	DAT			DATE INCURPED		
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Schedul Miscella	e I neous Increases to Cash	Type or print in ink. Amounts may be rounded to whole dollars.		Statement covers period from 7/1/2016	SCHEDULE I CALIFORNIA FORM 460
				9/24/2016	Page <u>11</u> of <u>11</u>
SEE INSTRUCTIONS	SON HEVENSE	MIKE			10. NUMBER 1384178
DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)		DESCRIPTION OF F	IECEIPT	AMOUNT OF INCREASE TO CASH
				۶	
			an a	SUBTOTAL \$	
Schedule I Su	-				
	eases to cash this period			<u>\$0.00</u> \$0.00	
	terest received this period on loans made to others. (Schedule				
4. Total miscella	aneous increases to cash this period. (Add Lines 1, 2, and 3. ge, Line 14.)	Enter here and on the			
					FPPC Form 460 (January/05) Free Helpline: 866/ASK-FPPC (866/276-3772)

## Late Contribution Report

### Type or print in ink. Amounts may be rounded to whole dollars.

•				LATE CONTRIBUTION REPORT
NAME OF FILER ANTONOVICH STATE SENATE 2016; (	CALIFORNIANS IN SUPPORT OF MIKE	Date of This Filing09/30/2016	Date Stamp	CALIFORNIA FORM 497
AREA CODE/PHONE NUMBER 8184866313	I.D. NUMBER (if applicable) 1384178	Report No002		For Official Use Only
STREET ADDRESS 12400 VENTURA BLVD STE 363		Amendment     to Report No.	Page 1 of 2	
CITY STUDIO CITY	STATE ZIP CODE CA 91604	(explain below) No. of Pages2		

## Late Contribution(s) Received

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED
09/30/2016	DeAnza Properties 960 N. San Antonio Road Suite 114 Los Altos, CA 94022	□ IND □ COM ■ OTH □ PTY □ SCC		\$80,000.00
		□ IND □ COM □ OTH □ PTY □ SCC		
		□ IND □ COM □ OTH □ PTY □ SCC		

*Contributor Codes	
IND - Individual COM - Recipient Committee (other than PTY or SCC) OTH - Other	PTY - Political Party SCC - Small Contributor Committee

Reason for Amendment:

## Late Contribution Report

### Type or print in ink. Amounts may be rounded to whole dollars.

•				LATE CONTRIBUTION REPORT
NAME OF FILER ANTONOVICH STATE SENATE 2016; (	CALIFORNIANS IN SUPPORT OF MIKE	Date of This Filing09/30/2016	Date Stamp	CALIFORNIA FORM 497
AREA CODE/PHONE NUMBER 8184866313	I.D. NUMBER (if applicable) 1384178	<b>Report No.</b> 002		For Official Use Only
STREET ADDRESS 12400 VENTURA BLVD STE 363		Amendment to Report No.	Page 2 of 2	
CITY STUDIO CITY	STATE ZIP CODE CA 91604	(explain below) No. of Pages 2		

## Late Contribution(s) Made

DATE MADE	FULL NAME, MAILING ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CANDIDATE AND OFFICE OR MEASURE AND JURISDICTION	AMOUNT OF CONTRIBUTION	DATE OF ELECTION (IF APPLICABLE)

Reason for Amendment:

## Late Contribution Report

### Type or print in ink. Amounts may be rounded to whole dollars.

•				LATE CONTRIBUTION REPORT
NAME OF FILER ANTONOVICH STATE SENATE 2016; (	CALIFORNIANS IN SUPPORT OF MIKE	Date of This Filing11/13/2016	Date Stamp	CALIFORNIA FORM 497
AREA CODE/PHONE NUMBER 8184866313	I.D. NUMBER (if applicable) 1384178	<b>Report No.</b> 003		For Official Use Only
STREET ADDRESS 12400 VENTURA BLVD STE 363		Amendment     to Report No	Page 1 of 2	
CITY STUDIO CITY	STATE ZIP CODE CA 91604	(explain below) No. of Pages2		

## Late Contribution(s) Received

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED
11/12/2016 - 11/12/2016	Fieldstead & Company PO Box 19599 Irvine, CA 92623	□ IND □ COM ■ OTH □ PTY □ SCC		\$10,000.00
11/12/2016 - 11/12/2016	Margaret Perenchio 355 S. Grand Avenue Suite 1710 Los Angeles, CA 90071	IND COM OTH PTY SCC	NA	\$3,000.00
		□ IND □ COM □ OTH □ PTY □ SCC		

*Contributor Codes	
IND - IndividualPTY - PolitCOM - Recipient Committee (other than PTY or SCC)SCC - SmaOTH - OtherSCC - Sma	cal Party Il Contributor Committee

Reason for Amendment:

## Late Contribution Report

### Type or print in ink. Amounts may be rounded to whole dollars.

•				LATE CONTRIBUTION REPORT
NAME OF FILER ANTONOVICH STATE SENATE 2016; (	CALIFORNIANS IN SUPPORT OF MIKE	Date of This Filing11/13/2016	Date Stamp	CALIFORNIA FORM 497
AREA CODE/PHONE NUMBER 8184866313	I.D. NUMBER (if applicable) 1384178	<b>Report No.</b> 003		For Official Use Only
STREET ADDRESS 12400 VENTURA BLVD STE 363		Amendment to Report No.	Page 2 of 2	
CITY STUDIO CITY	STATE ZIP CODE CA 91604	(explain below) No. of Pages 2		

## Late Contribution(s) Made

DATE MADE	FULL NAME, MAILING ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	RESS AND ZIP CODE OF RECIPIENT E, ALSO ENTER I.D. NUMBER) CANDIDATE AND OFFICE MEASURE AND JURISDICTION		

Reason for Amendment:

Recipient Committee Campaign Statement (Government Code Sections 84200-84216.5)	Type or print in i	nk.	Date Stamp	CA	CALIFORNIA 2001/02 FORM	
	Statement covers period from _11/06/2016	Date of election if applicable: (Month, Day, Year)		Pag	e <u>1</u> of <u>15</u> For Official Use Only	
SEE INSTRUCTIONS ON REVERSE	through_12/13/2016	11/05/2016				
1. Type of Recipient Committee: All Commit	tees - Complete Parts 1,2,3, and 4.	2. Type of Stateme	nt:			
<ul> <li>Officeholder, Candidate Controlled Committee</li> <li>State Candidate Election Committee</li> <li>Recall</li> <li>(Also Complete Part 5.)</li> <li>General Purpose Committee</li> <li>Sponsored</li> <li>Small Contributor Committee</li> <li>Political Party/Central Committee</li> </ul>	<ul> <li>Ballot Measure Committee         <ul> <li>Primary Formed</li> <li>Controlled</li> <li>Sponsored</li> <li>(Also Complete Part 6.)</li> </ul> </li> <li>Primary Formed Candidate/ Officeholder Committee (Also Complete Part 7.)</li> </ul>	<ul> <li>Pre-election Statem</li> <li>Semi-annual Statem</li> <li>Termination Statem</li> <li>Amendment (Explation)</li> </ul>	ment nent	Specia Supple	erly Statement al Odd-Year Report emental Preelection hent - Attach Form 495	
3. Committee Information	I.D.NUMBER 1384178	Treasurer(s)				
COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SU		NAME OF TREASURER Shelley Levine				
STREET ADDRESS (NO P.O. BOX) 12400 VENTURA BLVD STE 363		MAILING ADDRESS 13038 Landale Street				
CITY STATE ZIP COL STUDIO CITY CA 91604	DE AREA CODE/PHONE 8184866313	CITY Studio City	STATE CA	ZIP CODE 91604	AREA CODE/PHONE 8184866313	
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BO 13038 LANDALE ST	X	NAME OF ASSISTANT TREASUR	ER, IF ANY			
CITY STATE ZIP COU STUDIO CITY CA 91604	DE AREA CODE/PHONE	MAILING ADDRESS				
OPTIONAL: FAX/E-MAIL ADDRESS		CITY	STATE	ZIP CODE	AREA CODE/PHONE	
shelleylevine@rocketmail.com		OPTIONAL: FAX/E-MAIL ADDRES shelleylevine@rocketmail.com	SS			

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 12	/13/2016	By Shelley Levine	
	DATE	SIGNATURE OF TREASURER OR ASSISTANT TREASURER	
Executed on		Ву	
	DATE	SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT OR RESPONSIBLE OFFICER OF SPONSOR	
Executed on		By	
	DATE	SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT	
Executed on		By	FPPC Form 460 (June/01)
	DATE	SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT	FPPC Toll-Free Helpline: 866/ASK-FPPC State of California

COVER PAGE - PART 2

CALIFORNIA FORM 460
Page 2 of 15

### 5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR C.	ANDIDATE				
OFFICE SOUGHT OR HELD (INCL	LUDE LOCATION AND I	DISTRICT	NUMBER IF	APPLICABLE)	)
RESIDENTIAL/BUSINESS ADDRE	SS (NO. AND STREET)	CI	ΓY	STATE	ZIP
Related Committees No not included in this statement the contributions or to make expend	at are controlled by you	u or are p	rimarily for	List any comr ned to receive	nittees
COMMITTEE NAME			I.D.NUMBE	R	
NAME OF TREASURER					EE?
COMMITTEE ADDRESS STREET	ADDRESS (NO P.O.BO	X)			
CITY	STATE	ZIP CO	DDE	AREA CODE	E/PHONE
COMMITTEE NAME			I.D.NUMBE	R	
NAME OF TREASURER				ED COMMITTE	E?
COMMITTEE ADDRESS STREET	ADDRESS (NO P.O.BO	X)			
CITY	STATE	ZIP CO	DDE	AREA CODE	E/PHONE

### 6. Ballot Measure Committee

NAME OF BALLOT MEASURE				
BALLOT NO. OR LETTER	JURISDICTIO	N		SUPPORT
Identify the controlling officeh	older, candi	date, or state	measure pr	roponent, if any.
NAME OF OFFICEHOLDER, CANDI	DATE, OR PR	OPONENT		
OFFICE SOUGHT OR HELD			DISTRICT N	NO. IF ANY
Primarily Formed Co which this committee is primarily f		List names	of officehold	ler(s) or candidate(s)
NAME OF OFFICEHOLDER OR CAI Mike Antonovich	NDIDATE	OFFICE SOUC State Senator District No. 25		D SUPPOR
NAME OF OFFICEHOLDER OR CAI	NDIDATE	OFFICE SOUC		
NAME OF OFFICEHOLDER OR CAI	NDIDATE	OFFICE SOUGHT OR HELD		
				OPPOSE

Attach continuation sheets if necessary

Campaign Disclosure Statement	Type or print in ink.				SUMMARY PAGE			
Summary Page	Amounts may be rounded to whole dollars.	I Statement from <u>11/06</u>		t covers period /2016	CALIFOF FORM			
SEE INSTRUCTIONS ON REVERSE			through $\frac{12/13}{3}$	/2016	- Page <u>3</u>	of <u>15</u>		
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE					I.D. NUMBE 1384178	R		
Contributions Received	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	CALE	DIUMN B ENDAR YEAR AL TO DATE	Running in Bo	oth the State	or Candidates Primary and		
1. Monetary Contributions Schedule A, Line 3	\$0.00	\$0.00		General Elect	ions			
2. Loans Received Schedule B, Line 7	\$0.00	\$0.00			1/1 through 6/30	7/1 to Date		
3. SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2	\$0.00	\$0.00		20. Contribution Received	\$0.00	\$0.00		
4. Nonmonetary Contributions Schedule C, Line 3	\$0.00	\$0.00						
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines 3 + 4	\$0.00	\$0.00		21. Expenditures Made \$0.00		\$0.00		
Expenditures Made				Expenditure L	.imit Summa	ry for State		
6. Payments Made Schedule E, Line 4	\$14,300.00	\$109,910.	00	Candidates				
7. Loans Made Schedule H, Line 7	\$0.00				nulative Expenditures Made*			
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$14,300.00				ct to Voluntary Expenditure Limit)			
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	\$0.00	\$0.00		Date of Electi		Total to Date		
10. Nonmonetary Adjustment Schedule C, Line 3	\$0.00	\$0.00		(mm/dd/yy)				
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9 + 10	\$14,300.00	\$109,910.	00					
Current Cash Statement								
12. Beginning Cash Balance Previous Summary Page, Line 16	\$0.00		Column B, add Column A to the					
13. Cash Receipts Column A, Line 3 above	\$0.00	correspondi	ng amounts					
14. Miscellaneous Increases to Cash Schedule I, Line 4	\$0.00		n B of your last e amounts in					
15. Cash Payments Column A, Line 8 above	\$14,300.00	Column A m	ay be negative					
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Line 15	(\$14,300.00)	figures that subtracted f	should be rom previous					
If this is a termination statement, Line 16 must be zero.		period amou	ints. If this is ort being filed					
17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$0.00	for this caler carry over th	ndar year, only ne amounts					
Cash Equivalents and Outstanding Debts		from Lines 2 any).	2, 7, and 9 (if	*Since January 1,	2001. Amounts i	n this section may be		
18. Cash Equivalents See instructions on reverse	\$0.00			different from amo	unts reported in	Column B.		
19. Outstanding Debts Add Line 2 + Line 9 in Column B above	\$0.00							
				FPPC		Form 460 (June/01) ine: 866/ASK-FPPC		

Schedule A		Type or print in ink.					SCHEDULE A	
Monetary Contributions Received		Amour	Amounts may be rounded to whole dollars.		ers period	CALIFORNIA 460		
SEE INSTRUCTIONS ON	REVERSE			through12/13/201	6	Page _4	of <u>15</u>	
NAME OF FILER ANTONOVICH STATE	SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE			I		I.D. Nur 1384178		
DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO CALENDAR Y (JAN. 1 - DEC.	EAR	PER ELECTION TO DATE (IF REQUIRED)	
		□ IND □ COM □ OTH □ PTY □ SCC						
		□ IND □ COM □ OTH □ PTY □ SCC						
		□ IND □ COM □ OTH □ PTY □ SCC						
		□ IND □ COM □ OTH □ PTY □ SCC						
		□ IND □ COM □ OTH □ PTY □ SCC						
			SUBTOTAL	\$.00				
Schedule A Su 1. Amount received	Immary d this period - contributions of \$100 or more.					ontributor ( D - Individ		

(Include all Schedule A subtotals.)

2. Amount received this period - unitemized contributions of less than \$100 .....

3. Total monetary contributions received this period.  IND - Individual COM - Recipient Committee (other than PTY or SCC) OTH - Other PTY - Political Party SCC - Small Contributor Committee

FPPC Form 460 (JUNE/01) FPPC Toll-Free Helpline: 866/ASK-FPPC

Schedule B – Part 1		Type or print in ink.				<u> </u>	SCHEDULE B - PART 1		
Loans Received		Amounts may be rounded to whole dollars.			Statement co	•	CALIFORNIA		
					from11/06/2010	6	FORM	TUU	
SEE INSTRUCTIONS ON REVERSE					through $_^{12/13/2}$	2016	Page _5	of _15	
NAME OF FILER							I.D. NUMBER		
ANTONOVICH STATE SENATE 2016; CALIFOR	NIANS IN SUPPORT OF MIKE						1384178		
FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE	
				PAID				CALENDAR YEAR	
						% RATE		PER ELECTION**	
					DATE DUE		DATE INCURRED		
				PAID				CALENDAR YEAR	
						%			
						RATE		PER ELECTION**	
			·		DATE DUE		DATE INCURRED		
				PAID				CALENDAR YEAR	
						% RATE		PER ELECTION**	
			-		DATE DUE		DATE INCURRED		
	1	SUBTOTALS		I	11				
Schedule B Summary							(Enter (e) on		
1. Loans received this period (Total Column (b) plus unitemized loan	s less than \$100.)						Schedule E, Line 3)		
2. Loans paid or forgiven this period (Total Column (c) plus loans under \$10 (Include loans paid by a third party that		dule A.)					* Amounts forg another party a reported on Sc	iven or paid by Iso must be hedule A.	
3. Net change this period. (Subtract Lir Enter the net here and on the Summar					Net (may be a neg	ative number)	** If required.		
*Contributor Codes IND-Individual COM-Recipient Committee (	other than PTY or SCC)	OTH-Other PT	Y-Political Party	SCC-Small Cor	ntributor Committee	FPPC	FPPC Fo Toll-Free Helpline	rm 460 (June/01) :: 866/ASK-FPPC	

Schedule B - Part 2 Loan Guarantors       Type or print if Amounts may be to whole do         SEE INSTRUCTIONS ON REVERSE       to whole do         NAME OF FILER       ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE				Statement covers per from <u>11/06/2016</u> through <u>12/13/2016</u>	eriod	SC CALIFOI FORI Page <u>6</u> I.D. Number 1384178	of <u>15</u>
FULL NAME, STREET ADDRESS AND ZIP CODE OF GUARANTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	LOAN	AMOUNT GUARANTEED THIS PERIOD	CUMUI TO D		BALANCE OUTSTANDING TO DATE
			LENDER		CALENDA	AR YEAR	
□ COM □ OTH □ PTY □ SCC		DATE		PER ELI (IF REQ		ECTION UIRED)	
			LENDER		CALENDA	AR YEAR	
			DATE		PER ELE (IF REQU	CTION JIRED)	
			LENDER		CALENDA	AR YEAR	
	COM OTH PTY SCC		DATE		PER ELE (IF REQU	CTION JIRED)	
			LENDER		CALENDA	NR YEAR	
			DATE		PER ELE (IF REQU	CTION JIRED)	
			SUB	TOTAL	Enter Summary Line 1	r on 2 Page, 7 only.	

# Schedule C

Type or print in ink. nounts may be rounded	

SCHEDULE C

SEE INSTRUCTION	ONS ON REVERSE STATE SENATE 2016; CALIFORNIANS IN SUPPOR	Г OF MIKE	Amounts m	ay be rounded le dollars.	fron	tatement covers pon <u>11/06/2016</u> n <u>12/13/2016</u>	eriod	CALIFC FOF Page 7 I.D. Number 1384178	of <u>15</u>
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION GOODS OR SERV		AMOUNT/ FAIR MARKET VALUE	CUMULAT DAT CALENDA (JAN 1 - I	TE R YEAR	PER ELECTION TO DATE (IF REQUIRED)
		IND COM OTH PTY SCC							
		IND COM OTH PTY SCC							
		IND COM OTH PTY SCC							
		□ IND □ COM □ OTH □ PTY □ SCC							
Attach addi	tional information on appropriately labeled	d continuation	sheets.	SUBTO	DTAL				

## Schedule C Summary

1. Amount received this period - nonmonetary contributions of \$100 or more.	*Contributor Codes
(Include all Schedule C subtotals.)	IND - Individual
2. Amount received this period - unitemized nonmonetary contributions of less than \$100	COM- Recipient Committee (other than PTY or SCC) OTH - Other
<ol> <li>Total nonmonetary contributions received this period.</li> <li>(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.)</li></ol>	PTY - Political Party SCC - Small Contributor Committee

Support Candida	y of Expenditures ing/Opposing Other tes, Measures and Committees	Type or print Amounts may be to whole do	e rounded	Statement c           from         11/06/20           through         12/13/20		FO	JMBER
DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO CALENDAR Y (JAN.1 - DEC.	'EAR	PER ELECTION TO DATE (IF REQUIRED)
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE Support Oppose	<ul> <li>Monetary Contribution</li> <li>Nonmonetary Contribution</li> <li>Independent Expenditure</li> </ul>	slate	\$1,600.00	\$34,050.00		
11/11/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<ul> <li>Monetary Contribution</li> <li>Nonmonetary Contribution</li> <li>Independent Expenditure</li> </ul>	consultant	\$10,000.00	\$35,000.00		
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE Support Oppose	Monetary Contribution Nonmonetary Contribution Independent Expenditure	treasury	\$1,000.00	\$4,000.00		
			SUBTOTAL	-			

## Schedule D Summary

1. Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.)	<u>\$14,300.00</u>
2. Unitemized contributions and independent expenditures made this period of under \$100	\$0.00
3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.)	<u>\$14,300.00</u>

FPPC Form 460 (June/01) FPPC Toll-Free Helpline: 866/ASK-FPPC Schedule D (Continuation Sheet) Summary of Expenditures SCHEDULE D (CONT.) Type or print in ink. Statement covers period CALIFORNIA Amounts may be rounded [6] Supporting/Opposing Other Candidates, Measures and Committees to whole dollars. FORM from  $_$  11/06/2016 through 12/13/2016 of <u>15</u> Page 9 NAME OF FILER I.D. NUMBER 1384178 ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR DESCRIPTION AMOUNT THIS CUMULATIVE TO DATE PER ELECTION DATE TYPE OF PAYMENT (IF REQUIRED) PERIOD CALENDAR YEAR TO DATE MEASURE NUMBER OR LETTER AND JURISDICTION, (JAN.1 - DEC. 31) (IF REQUIRED) OR COMMITTEE 11/16/2016 ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT \$900.00 \$7,234.00 slate Monetary Contribution OF MIKE Non-Monetary Contribution Independent Expenditure Support Oppose 11/16/2016 ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT \$400.00 \$400.00 slate Monetary OF MIKE Contribution Nonmonetary Contribution Independent Expenditure Support Oppose 11/16/2016 ANTONOVICH STATE SENATE 2016: CALIFORNIANS IN SUPPORT \$200.00 \$200.00 slate Monetary OF MIKE Contribution Nonmonetary Contribution Independent Expenditure Support Oppose 11/16/2016 ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT Slate \$200.00 \$200.00 Monetary OF MIKE Contribution Nonmonetary Contribution Independent Expenditure Support Oppose

**SUBTOTAL** \$14,300.00

Type or print in ink. Amounts may be rounded to whole dollars.	Statement covers period from	CALIFORNIA FORM 460		
	through <u>12/13/2016</u>	Page <u>10</u> of <u>15</u>		
		I.D. NUMBER 1384178		
	Amounts may be rounded	Amounts may be rounded to whole dollars. from <u>11/06/2016</u>		

### **CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, email)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE C	DR DESCRIPTION OF PAYMENT	AMOUNT PAID
Michael Berman Inc 8665 Wilshire Blvd Beverly Hills, CA 90210	CNS		\$10,000.00
Landslide Communications 30011 Ivy Glenn Drive Suite 223 Laguna Niguel, CA 92677	LIT	Slate	\$1,600.00
Democratic Voter Guide 6285 E. Spring Street Suite 202 Long Beach, CA 90808	LIT	Slate	\$900.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

## Schedule E Summary

1. Payments made this period of \$100 or more. (Include all Schedule E subtotals.)	\$14,300.00
2. Unitemized payments made this period of under \$100.	\$0.00
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$0.00
4. Total payments made this period. (Add lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	\$14,300.00

## FPPC Form 460 (June/01) FPPC Toll-Free Helpline: 866/ASK-FPPC

SCHEDULE E

#### 2110890-0

### SUBTOTAL

#### Schedule E Type or print in ink. Statement covers period CALIFORNIA Amounts may be rounded (Continuation Sheet) FORM to whole dollars. 11/06/2016 from ____ **Payments Made** through 12/13/2016 Page 11 ___ of 15 SEE INSTRUCTIONS ON REVERSE NAME OF FILER I.D. NUMBER 1384178 ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

### **CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

PHO phone ba POL polling an POS postage, PRO profession PRT print ads	nks d survey resea delivery and mo nal services (le	essenger services gal, accounting)	TRC TRS TSF VOT WEB	candidate travel, lodging, and meal staff/spouse travel, lodging, and me transfer between committees of the voter registration information technology costs (inter	s eals e same candidate/sponsor
	LIT	Slate			\$400.00
	PET petition ci PHO phone bar POL polling an POS postage, c PRO profession	PHO phone banks POL polling and survey resea POS postage, delivery and me PRO professional services (le PRT print ads CODE	PET petition circulating PHO phone banks POL polling and survey research POS postage, delivery and messenger services PRO professional services (legal, accounting) PRT print ads CODE OR	PET petition circulating TEL PHO phone banks TRC POL polling and survey research TRS POS postage, delivery and messenger services TSF PRO professional services (legal, accounting) VOT PRT print ads WEB	PET petition circulating PHO phone banks POL polling and survey research POS postage, delivery and messenger services PRO professional services (legal, accounting) PRT print ads POE OR DESCRIPTION OF PAYMENT CODE OR DESCRIPTION OF PAYMENT

MBR member communications

Suite 100 Newport Beach, CA 92660			
Californians for Quality Education Education 728 W. Edna Place Covina, CA 91722	LIT	Slate	\$200.00
728 W. Edna Place Covina, CA 91722	LIT	Slate	\$200.00
Shelley Levine 13038 Landale Street Studio City, CA 91604	PRO	Treasury	\$1,000.00

CMP campaign paraphernalia/misc.

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$14,300.00

RAD radio airtime and production costs

FPPC Toll-Free Helpline: 866/ASK-FPPC

FPPC Form 460 (June/01)

SCHEDULE E (CONT.)

SCHEDULE F

Schedule F Accrued Expenses (Unpaid Bills)	to whole dollars. from1				CALIFORI FORM	NIA <b>460</b>
SEE INSTRUCTIONS ON REVERSE			through <u>12/13/2010</u>	Page <u>12</u>	of <u>15</u>	
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	3				I.D. NUMBER 1384178	
<b>CODES:</b> If one of the following codes accurately describes t	he payment, you may en	ter the code. Otherw	ise, describe the pay	/ment.		
<ul> <li>CMP campaign paraphernalia/misc.</li> <li>CNS campaign consultants</li> <li>CTB contribution (explain nonmonetary)*</li> <li>CVC civic donations</li> <li>FIL candidate filing/ballot fees</li> <li>FND fundraising events</li> <li>IND independent expenditure supporting/opposing others (explain)*</li> <li>LEG legal defense</li> <li>LIT campaign literature and mailings</li> </ul>	MBR member communication MTG meetings and appeara OFC office expenses PET petition circulating PHO phone banks POL polling and survey resuppose POS postage, delivery and PRO professional services ( PRT print ads	RAD radio airtime and production costs RFD returned contributions SAL campaign workers' salaries TEL t.v. or cable airtime and production costs TRC candidate travel, lodging, and meals TRS staff/spouse travel, lodging, and meals TSF transfer between committees of the same candidate/spo VOT voter registration WEB information technology costs (internet, email)				
NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) (C) AMOUNT INCURRED THIS PERIOD (ALSO REPORT (		DD BAI	(d) DUTSTANDING LANCE AT CLOSE IF THIS PERIOD
* Payments that are contributions or independent expenditures must also be summarized on Schedule D.	SUBTOTALS					
Schedule F Summary 1. Total accrued expenses incurred this period. (Include all Sc accrued expenses of \$100 or more, plus total unitemized a			INC	URRED TOT	TALS	
2. Total accrued expenses paid this period. (Include all Schec accrued expenses of \$100 or more, plus total unitemized p				PAID TO	TALS	
3. Net change this period. ( <b>Subtract</b> Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.)						
						a negative number.

			nts may be rounded whole dollars.		Statement covers period from 11/06/2016	CALIFO FOR	
INSTRUCTIONS ON REVERSE					through <u>12/13/2016</u>	— Page <u>13</u>	of
ME OF FILER TONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE						I.D. NUMB 1384178	ER
/E OF AGENT OR INDEPENDENT CONTRACTOR							
ODES: If one of the following codes accurately describes the	e payment, yo	u may en	ter the code. Oth	herwise, d	describe the payment.		
NS campaign consultants (FB contribution (explain nonmonetary)* (C civic donations (E candidate filing/ballot fees (FD fundraising events (E independent expenditure supporting/opposing others (explain)* (FG legal defense (FF))	PRO profession PRT print ads	and appeara enses rculating hks d survey res delivery and hal services (	nces	5	RAD radio airtime and prod RFD returned contributions SAL campaign workers' sa TEL t.v. or cable airtime an TRC candidate travel, lodgi TRS staff/spouse travel, lod TSF transfer between com VOT voter registration WEB information technology	laries ad production co ing, and meals dging, and meal mittees of the sa	s ame candidate/spon
NAME AND ADDRESS OF PAYEE OR CREDITOR	anzed on Scheduk	CODE	OR	DESCRIPT			AMOUNT PAID
ach additional information on appropriately labeled continuatio						TOTAL*	

* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

Cahadula U			ype or print in ir	nk.		SCHEDULE H		
		to whole dollars.		Statement covers period		CALIFORN		
						FORM	^A 460	
					from <u>11/06/2</u>	010	FORM	
SEE INSTRUCTIONS ON REVERSE					through <u>12/13/2</u>	016	Page <u>14</u>	of <u>15</u>
NAME OF FILER							I.D. NUMBER	
ANTONOVICH STATE SENATE 2016; CALIFORN	IANS IN SUPPORT OF MIKE						1384178	
		(a)	(b)	(c)	(d)	(e)	(f)	(g)
FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	REPAYMENT OR FORGIVENESS THIS PERIOD*	OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				PAID				CALENDAR YEAR
						%		
						RATE		PER ELECTION**
				FORGIVEN				
							DATE INCURRED	
					DATE DUE		DATE INCORRED	
								CALENDAR YEAR
								GALLINDAN TEAN
						%		
				FORGIVEN		RATE		PER ELECTION**
					DATE DUE		DATE INCURRED	
*Loans that are contributions to another candidate must also be summarized on Schedule D. Loans								
also be reported on Schedule E.	lorgiven musi	SUBTOTALS						
						(Enter (e) on Schedule I, Line 3)		
Schedule H Summary								
1. Loans made this period (Total Column (b) plus unitemized loans	s less than \$100.)						د ا	** If Required
2. Payments received on loans								
(Total Column (c) plus unitemized payr	nents less than \$100.)							
3. Net change this period. (Subtract Lin	e 2 from Line 1.)				NET			
(Enter the net here and on the Summar	y Page, Column A, Line 7.)				(May be a ne	gative number)		
							EBBC Ea	m 460 ( luno/01)

# Schedule I

Miscellaneous Ind	creases to Cash Amount	be or print in ink. nts may be rounded whole dollars.	Statement covers period from11/06/2016	CALIFORNIA 460		
				- 15 . 15		
SEE INSTRUCTIONS ON REVER	SE		through	_ Page 15 of 15		
NAME OF FILER ANTONOVICH STATE SENAT	TE 2016; CALIFORNIANS IN SUPPORT OF MIKE			I.D. NUMBER 1384178		
DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	DES	AMOUNT OF INCREASE TO CASH			
Attach additional inf	ormation on appropriately labeled continuation sheets.		SUBTO	TAL \$.00		
Schedule I Summa	-					
1. Increases to cash of S	\$100 or more this period		\$0.00			
	to cash under \$100 this period					
	ceived this period on loans made to others. (Schedule H, Colur		\$0.00			
	ncreases to cash this period. (Add Lines 1, 2, and 3. Enter here 14.)		<b>TOTAL</b> <u>\$0.00</u>			



STATE OF CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION 1102 Q Street, Suite 3000 • Sacramento, CA 95811

January 28, 2022

Shelley Levine Californians in Support of Mike Antonovich State Senate 2016

## NOTICE OF DEFAULT DECISION AND ORDER

# Re: FPPC Nos. 2017/01397 and 2018/00821, In the Matter of Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine

Dear Ms. Levine:

On January 25, 2021, you were personally served with an accusation in the above referenced matter. Pursuant to the Administrative Procedure Act, you were required to file a notice of defense within 15 days after service of the accusation to request an administrative hearing. You did not file a notice of defense. As a result, you have waived your right to an administrative hearing.¹

The Fair Political Practices Commission (the "Commission") will proceed with a default, decision and order ("default") against you. The initial notice of this default will appear on the published agenda for the Commission's public meeting on February 17, 2022. This agenda will be public, and you could be contacted by the media with questions. The Commission will be asked to adopt the default at the subsequent public meeting on March 17, 2022 and impose an administrative penalty of \$21,000 against you.

Following the issuance of the default, the Commission will obtain a judgment in superior court for the amount owed and then take action to collect the judgment. Please be advised that administrative penalties for violations of the Political Reform Act cannot be discharged in bankruptcy proceedings.

You may still resolve this matter informally by way of a stipulated settlement if an agreement can be reached prior to this matter appearing for consideration by the Commission. Please contact me at (916) 323-6421 or tgilbertson@fppc.ca.gov if you wish to enter into a settlement to resolve this matter in its entirety.

Sincerely.

Theresa Gilbertson Senior Commission Counsel Enforcement Division

¹ Government Code section 11505.



FAIR POLITICAL PRACTICES COMMISSION 1102 Q Street • Suite 3000 • Sacramento, CA 95811

February 18, 2022

Shelley Levine <u>Californians in Supp</u>ort of Mike Antonovich State Senate 2016

NOTICE OF INTENT TO ENTER DEFAULT DECISION AND ORDER

### Re: FPPC Nos. 2017/01397 and 2018/00821 In the Matter of Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine

Dear Ms. Levine:

On January 25, 2021, you were personally served with an accusation in the above referenced matter. Pursuant to the Administrative Procedure Act, you were required to file a notice of defense within 15 days after service of the accusation to request an administrative hearing. You did not file a notice of defense. As a result, you have waived your right to an administrative hearing.¹

The Fair Political Practices Commission (the "Commission") will proceed with a default, decision and order ("default") against you. The initial notice of this default appeared on the published agenda for the Commission's public meeting on February 17, 2022. The Commission will be asked to adopt the default at its public meeting scheduled for March 17, 2022 and impose an administrative penalty of \$21,000 against you. A copy of the default, decision, and order and accompanying exhibits the Commission will consider at its meeting on March 17, 2022 is enclosed with this letter.

You may, but you are not required to, provide a response brief, along with any supporting materials, <u>no later than five calendar days before the Commission hearing at which the default is scheduled to be heard</u>. Your response brief must be served on the Commission Assistant, at the above address.

Following the issuance of the default order and imposition of the administrative penalty, we will commence legal proceedings to collect this fine, which may include converting the

¹ Government Code section 11505.

Commission's order to a court judgment. Please be advised that administrative penalties for violations of the Political Reform Act cannot be discharged in bankruptcy proceedings.

This letter is your last opportunity to resolve this matter informally by way of a stipulated settlement, before the default proceedings are commenced. If we do not reach a resolution, the enclosed documents will be placed on the Commission's agenda for the March 17, 2022 meeting. Please contact me at (916) 323-6421 or tgilbertson@fppc.ca.gov if you wish to enter into a negotiated settlement.

Sincerely,

Theresa Gilbertson Senior Commission Counsel Enforcement Division

Enclosures