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7
8 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION
9 STATE OF CALIFORNIA

10
11 In the Matter of) FPPC Nos. 2017/01397 and
12) 2018/00821
13 CALIFORNIANS IN SUPPORT OF MIKE)
14 ANTONOVICH STATE SENATE 2016 and) DEFAULT DECISION AND
SHELLEY LEVINE,) ORDER
15) (Government Code Sections 11506
and 11520)
16 Respondents.)

17 Complainant, the Enforcement Division of the Fair Political Practices Commission, hereby
18 submits this Default Decision and Order for consideration by the Fair Political Practices Commission at
19 its next regularly scheduled meeting.

20 Pursuant to the California Administrative Procedure Act,¹ Californians in Support of Mike
21 Antonovich State Senate 2016 (“the Committee”) and Shelley Levine (“Levine”) have been served with
22 all of the documents necessary to conduct an administrative hearing regarding the above-captioned
23 matter, including the following:

- 24 1. An Order Finding Probable Cause;
25 2. An Accusation;
26 3. A Notice of Defense (Two Copies per Respondent);
27

28 ¹ The California Administrative Procedure Act, which governs administrative adjudications, is contained in Sections 11370 through 11529 of the Government Code.


1 4. A Statement to Respondent; and

2 5. Copies of Sections 11506, 11507.5, 11507.6 and 11507.7 of the Government Code.

3 Government Code Section 11506 provides that failure of a respondent to file a Notice of Defense
4 within fifteen days after being served with an Accusation shall constitute a waiver of respondent's right
5 to a hearing on the merits of the Accusation. The Statement to Respondent, served on the Committee and
6 Levine, stated that a Notice of Defense must be filed in order to request a hearing. The Committee and
7 Levine failed to file a Notice of Defense within fifteen days of being served with an Accusation.
8 Government Code Section 11520 provides that, if the respondent fails to file a Notice of Defense, the
9 Commission may take action, by way of a default, based upon the respondent's express admissions or
10 upon other evidence, and that affidavits may be used as evidence without any notice to the respondent.

11 The Committee and Levine violated the Political Reform Act as described in Exhibit 1, which is
12 attached hereto and incorporated by reference as though fully set forth herein. Exhibit 1 is a true and
13 accurate summary of the law and evidence in this matter. This Default Decision and Order is submitted
14 to the Commission to obtain a final disposition of this matter.

15
16 Dated: 2/18/2022



Angela J. Breyton, Chief of Enforcement
Fair Political Practices Commission

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20 **ORDER**

21 The Commission issues this Default Decision and Order and imposes a total administrative
22 penalty of \$21,000 upon Californians in Support of Mike Antonovich State Senate 2016 and Shelley
23 Levine, payable to the "General Fund of the State of California."

24 IT IS SO ORDERED, effective upon execution below by the Chair of the Fair Political Practices
25 Commission at Sacramento, California.

26
27 Dated: _____

Richard C. Miadich, Chair
Fair Political Practices Commission

EXHIBIT 1

INTRODUCTION

Respondent Californians in Support of Mike Antonovich State Senate 2016 (the “Committee”) was a committee formed primarily to support Michael D. Antonovich, a candidate for State Senate District 25 during the June 7, 2016 Primary Election and the November 8, 2016 General Election. Respondent Shelley Levine (“Levine”) served as the Committee’s treasurer.

The Political Reform Act (the “Act”)¹ requires every recipient committee to amend its statement of organization within ten days of a change. Recipient committees are also required to timely file pre-election and semiannual campaign statements as well as 24-hour contribution reports. Additionally, the Act requires recipient committees to maintain supporting records for all contributions received and expenditures made.

This matter arose from an audit conducted by the Franchise Tax Board (“FTB”) and a referral submitted by the Secretary of State (“SOS”). The FTB audit report covered the audit period of January 1, 2016 through December 13, 2016. The SOS referred the Committee for failure to timely file a pre-election campaign statement.

As a recipient committee and its treasurer, the Committee and Levine failed to timely amend a statement of organization, failed to timely file four pre-election campaign statements and two 24-hour contribution reports, and failed to maintain campaign records.

DEFAULT PROCEEDINGS UNDER THE ADMINISTRATIVE PROCEDURE ACT

When the Commission determines that there is probable cause for believing that the Act has been violated, it may hold a hearing to determine if a violation has occurred.² Notice of the hearing, and the hearing itself, must be conducted in accordance with the Administrative Procedure Act (the “APA”).³ A hearing to determine whether the Act has been violated is initiated by the filing of an accusation, which shall be a concise written statement of the charges, specifying the statutes and rules which the respondent is alleged to have violated.⁴

Included among the rights afforded a respondent under the APA, is the right to file the Notice of Defense with the Commission within 15 days after service of the accusation, by which

¹ The Act is contained in Government Code Sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission (“Commission”) are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

² Section 83116.

³ The California Administrative Procedure Act, which governs administrative adjudications, is contained in Sections 11370 through 11529 of the Government Code; Section 83116.

⁴ Section 11503.

the respondent may (1) request a hearing; (2) object to the accusation on the ground it does not state acts or omissions upon which the agency may proceed; (3) object to the form of the accusation on the ground that it is so indefinite or certain that the respondent cannot identify the transaction or prepare a defense; (4) admit the accusation in whole or in part; (5) present new matter by way of a defense; or (6) object to the accusation on the ground that, under the circumstances, compliance with a Commission regulation would result in a material violation of another department's regulation affecting substantive rights.⁵

The APA provides that a respondent's failure to file a Notice of Defense within 15 days after service of an accusation constitutes a waiver of the respondent's right to a hearing.⁶ Moreover, when a respondent fails to file a Notice of Defense, the Commission may take action based on the respondent's express admissions or upon other evidence and affidavits may be used as evidence without any notice to the respondent.⁷

PROCEDURAL REQUIREMENTS AND HISTORY

A. Initiation of the Administrative Action

The service of the probable cause hearing notice, as required by Section 83115.5, upon the person alleged to have violated starts the administrative action.⁸

A finding of probable cause may not be made by the Commission unless the person alleged to have violated the Act is 1) notified of the violation by service of process or registered mail with return receipt requested; 2) provided with a summary of the evidence; and 3) informed of his or her right to be present in person and represented by counsel at any proceeding of the Commission held for the purpose of considering whether probable cause exists for believing the person violated the Act.⁹ Additionally, the required notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office.¹⁰

No administrative action pursuant to Chapter 3 of the Act alleging a violation of any of the provisions of the Act may be commenced more than five years after the date on which the violation occurred.¹¹

Documents supporting the procedural history are included in the attached Certification of Records ("Certification") filed herewith at Exhibit 1, A-1 through A-18, and incorporated herein by reference.

⁵ Section 11506, subd. (a)(1)–(6).

⁶ Section 11506, subd. (c).

⁷ Section 11520, subd. (a).

⁸ Section 91000.5, subd. (a).

⁹ Section 83115.5.

¹⁰ Section 83115.5.

¹¹ Section 91000.5.

In accordance with Sections 83115.5 and 91000.5, the Enforcement Division initiated the administrative action against the Committee and Levine in this matter by serving them with a Report in Support of a Finding of Probable Cause (the “Report”) (Certification, Exhibit A-1) by certified mail.¹² The Committee and Levine were served with the Report on June 22, 2020. (Certification, Exhibit A-2.) The administrative action commenced on June 22, 2020, and the five-year statute of limitations was effectively tolled on this date.

As required by Section 83115.5, the packet served on the Committee and Levine contained a cover letter and a memorandum describing probable cause proceedings, advising that the Committee and Levine had 21 days in which to request a probable cause conference, file a written response to the Report, or both. (Certification, Exhibit A-3.) The Committee and Levine did not request a probable cause conference or submit a written response to the Report.

B. Ex Parte Request for a Finding of Probable Cause

Because the Committee and Levine failed to request a probable cause conference or submit a written response to the Report by the statutory deadline, the Enforcement Division submitted an Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served to the Hearing Officer of the Commission on July 28, 2020. (Certification, Exhibit A-4.)

On July 31, 2020, the Hearing Officer, Legal Division, Jack Woodside, issued a Finding of Probable Cause and an Order to Prepare and Serve an Accusation on the Committee and Levine. (Certification, Exhibit A-5.)

C. The Issuance and Service of the Accusation

Under the Act, if the Hearing Officer makes a finding of probable cause, the Enforcement Division must prepare an accusation pursuant to Section 11503 of the APA, and have it served on the persons who are the subject of the probable cause finding.¹³

Section 11503 states:

A hearing to determine whether a right, authority, license, or privilege should be revoked, suspended, limited, or conditioned shall be initiated by filing an accusation or District Statement of Reduction in Force. The accusation or District Statement of Reduction in Force shall be a written statement of charges that shall set forth in ordinary and concise language the acts or omissions with which the respondent is charged, to the end that the respondent will be able to prepare his or her defense. It shall specify the statutes and rules that the respondent is alleged to

¹² Section 83115.5.

¹³ Regulation 18361.4, subd. (e).

have violated, but shall not consist merely of charges phrased in the language of those statutes and rules. The accusation or District Statement of Reduction in Force shall be verified unless made by a public officer acting in his or her official capacity or by an employee of the agency before which the proceeding is to be held. The verification may be on information and belief.

Upon the filing of the accusation, the agency must 1) serve a copy thereof on the respondent as provided in Section 11505, subdivision (c); 2) include a post card or other form entitled Notice of Defense that, when signed by or on behalf of the respondent and returned to the agency, will acknowledge service of the accusation and constitute a notice of defense under Section 11506; 3) include (i) a statement that respondent may request a hearing by filing a notice of defense as provided in Section 11506 within 15 days after service upon the respondent of the accusation, and that failure to do so will constitute a waiver of the respondent's right to a hearing, and (ii) copies of Sections 11507.5, 11507.6, and 11507.7.¹⁴ The APA also sets forth the language required in the accompanying statement to the respondent.¹⁵

The Accusation and accompanying information may be sent to the respondent by any means selected by the agency, but no order adversely affecting the rights of the respondent may be made by the agency in any case unless the respondent has been served personally or by registered mail as set forth in the APA.¹⁶

On January 22, 2021, the Commission's Chief of Enforcement, Angela J. Brereton, issued an Accusation against the Committee and Levine. (Certification, Exhibit A-6.) In accordance with Section 11505, the Accusation and accompanying information, consisting of a Statement to Respondent, two copies of a Notice of Defense Form for each respondent, copies of Government Code Sections 11506, 11507.5, 11507.6, and 11507.7, were served upon the Committee and Levine by personal service on January 25, 2021. (Certification, Exhibit A-7.)

Along with the Accusation, the Enforcement Division served the Committee and Levine with a "Statement to Respondent," which notified them that they could request a hearing on the merits and warned that, unless a Notice of Defense was filed within 15 days of service of the Accusation, they would be deemed to have waived the right to a hearing. (Certification, Exhibit A-8.) The Committee and Levine did not file a Notice of Defense within the statutory time period, which ended on February 9, 2021.

As a result, on January 28, 2022, the Enforcement Division sent a letter to the Committee and Levine advising that this matter would be submitted for a Default Decision and Order at the Commission's public meeting scheduled for February 17, 2022. (Certification, Exhibit A-17.)

On February 18, 2022, the Enforcement Division sent another letter to the Committee and Levine advising that this matter would be submitted for a Default Decision and Order at the

¹⁴ Section 11505, subd. (a).

¹⁵ Section 11505, subd. (b).

¹⁶ Section 11505, subd. (c).

Commission’s public meeting scheduled for March 17, 2022. (Certification, Exhibit A-18.) A copy of the Default Decision and Order, and this accompanying Exhibit 1 with attachments, was included with the letter.

SUMMARY OF THE LAW

The Act and its regulations are amended from time to time. The violations in this case occurred in 2016. For this reason, all legal references and discussions of law pertain to the Act’s provisions as they existed at that time.

An express purpose of the Act is to ensure voters are fully informed and improper practices are inhibited by requiring all candidates, as well as the committees that support or oppose them, to disclose all contributions and expenditures made throughout a campaign.¹⁷ Along these lines, the Act includes a comprehensive campaign reporting system.¹⁸

A “committee” includes any person or combination of persons who received contributions totaling \$2,000 or more in a calendar year, commonly known as a “recipient committee.”¹⁹ Any committee that comes under the definition of a recipient committee is required to file a statement of organization with the SOS and with the local filing officer.²⁰ Once the committee qualifies as a committee, it must amend the statement of organization to include the date of qualification and the name and address of the financial institution where the committee has established a designated campaign contribution account and the account number.²¹ The committee must submit an amended statement within 10 days of any change in the information submitted on the form, such as updating the form to indicate the date the committee reached the threshold of raising or spending \$2,000 and qualifying as a committee.²²

A “primarily formed committee” means a recipient committee which is formed or exists primarily to support or oppose either a single candidate; a single measure; a group of specific candidates being voted upon in the same city, county, or multicounty election; or two or more measures being voted upon in the same city, county, multicounty, or state election.²³

A “sponsored committee” is defined as a committee, other than a candidate-controlled committee, with one or more sponsors. An organization sponsors a committee if any of the following apply: (1) the committee receives 80 percent or more of its contributions from the organization; (2) the organization collects contributions for the committee by use of payroll deduction or dues; (3) the organization provides all or nearly all of the administrative services for

¹⁷ Section 81002, subd. (a).

¹⁸ Section 84200, *et seq.*

¹⁹ Section 82013, subd. (a).

²⁰ Section 84101.

²¹ Sections 84101 and 84102.

²² Section 84103, subd. (a).

²³ Section 82047.5.

the committee; or (4) the organization sets the policies for soliciting contributions or making expenditures of committee funds.²⁴

The name of the committee is required to include the name of the sponsor.²⁵ Whenever identification of a sponsored committee is required, such as on advertisement disclosures or sender identification on mass mailings, the identification shall include the full name of the committee as required in its statement of organization.²⁶

At the core of the Act's campaign reporting system is the requirement that committees file campaign statements and reports for certain reporting periods, by certain deadlines, and including certain information.²⁷ The Act requires committees primarily formed to support or oppose a candidate appearing on the ballot at the next election to file two pre-election campaign statements. For the period ending 45 days before the election, the statement shall be filed no later than 40 days before the election. For the period ending 17 days before the election, the statement shall be filed no later than 12 days before the election.²⁸

In connection with the 2016 Primary Election held on June 7, 2016, the first pre-election campaign statement was due by April 28, 2016 and covered the period from January 1, 2016 through April 23, 2016, and the second pre-election campaign statement was due by May 26, 2016 and covered the period from April 24, 2016 through May 21, 2016. In connection with the 2016 General Election held on November 8, 2016, the first pre-election campaign statement was due by September 29, 2016 and covered the period from July 1, 2016 through September 24, 2016, and the second pre-election campaign statement was due by October 27, 2016 and covered the period from September 25, 2016 through October 22, 2016.²⁹

Committees formed primarily to support or oppose candidates for members of the Legislature shall file a campaign statement by online or electronic means, provided the committee has received or spent \$25,000 or more, and shall file the original and one copy of the campaign statement in paper format with the SOS.³⁰

Each candidate or committee that makes or receives a late contribution must file a report within 24 hours of making or receiving the contribution.³¹ A "late contribution" includes a contribution in total or in the aggregate \$1,000 or more that is made or received by a candidate, a controlled committee, or a committee formed or existing primarily to support or oppose a candidate or measure during the 90-day period preceding the date of the election or on the date of the election at which the candidate is to be voted on.³² The late contribution period began on March 9, 2016

²⁴ Section 82048.7.

²⁵ Section 84102.

²⁶ Section 84106.

²⁷ Sections 84200, *et seq.*

²⁸ Section 84200.5, subd. (a)(1).

²⁹ Section 84200.8.

³⁰ Sections 84215 and 84605.

³¹ Section 84203.

³² Section 82036.

for the June 7, 2016 Primary Election and on August 10, 2016 for the November 8, 2016 General Election.

Treasurers have a duty to maintain detailed accounts, records, bills, and receipts necessary to prepare campaign statements and establish campaign statements were properly filed.³³ This duty includes maintenance of detailed information and original source documentation for a period of four years following the date the campaign statement to which they relate is filed.³⁴ Examples of original source documentation that must be maintained include copies of bills, receipts, and invoices for expenditures of \$25 or more.³⁵

SUMMARY OF THE EVIDENCE

The Committee formed as a primarily formed committee supporting Michael D. Antonovich by filing a statement of organization with the SOS on March 22, 2016. (Certification, Exhibit A-9.) On this statement, the Committee indicated that it did not yet qualify as a recipient committee. Levine was listed as both the treasurer and the principal officer.

Michael D. Antonovich was a successful candidate for State Senate District 25 during the June 7, 2016 Primary Election, but he was unsuccessful in the November 8, 2016 General Election. Between January 1, 2016 through December 13, 2016, the Committee raised approximately \$193,000 and spent \$192,580.

The Committee qualified on or around April 4, 2016 when it reported receiving a \$100,000 contribution from De Anza Properties, also known as De Anza Building and Maintenance, Inc. (Certification, Exhibit A-10.) The contributor's full name, according to committee records and corporation filings, is De Anza Building and Maintenance, Inc. According to campaign statements and committee records, the Committee received no additional contributions until after the Primary Election held on June 7, 2016. (Certification, Exhibits A-11; A-12; A-13.)

De Anza Building and Maintenance, Inc. contributed an additional \$80,000 on or around September 30, 2016. (Certification, Exhibit A-14.) During the life of the Committee, De Anza Building and Maintenance, Inc. contributed \$180,000, accounting for approximately 93% of the Committee's contributions, qualifying as the Committee's sponsor by exceeding 80% of the Committee's total contributions.

The Committee and Levine were required to file an amended statement of organization within 10 days of qualifying to indicate that the Committee had qualified as a recipient committee and to indicate that the Committee was sponsored by De Anza Building and Maintenance, Inc. The Committee and Levine were also required to include the name of the sponsor in the name of the Committee. The Committee and Levine failed to do so.

³³ Section 84104.

³⁴ Regulation 18401.

³⁵ Regulation 18401, subd. (a)(4).

The Committee's expenditures were either administrative or paid for the supported candidate, Antonovich, to be featured in slate mailers distributed by slate mailer organizations. Therefore, the Committee's name did not need to be disclosed on any advertisement disclosures. However, slate mailer organizations are required to disclose payments received and therefore, due to the failure of the Committee to amend the name, the slate mailer organizations did not disclose on their filings that the payments were made by a committee sponsored by De Anza Building and Maintenance, Inc.

The Committee and Levine were required to timely file pre-election and semiannual campaign statements and 24-hour contribution reports in electronic and/or paper format but failed to do so, as described in the chart below. The Committee and Levine were also required to file campaign statements for the reporting periods prescribed by law but failed to do so, as described in the chart below.

Required Reporting Period	Reporting Period as Filed	Date Due	Date Filed	Contributions/Expenditures
Pre-election 01/01/16 – 04/23/16	03/21/16 – 04/25/16	04/28/16	Electronic: 4/25/16 Paper: 6/19/17 (417 days late)	\$100,000 Contributions \$53,394 Expenditures
Pre-election 04/24/16 – 05/21/16	04/26/16 – 05/26/16	05/26/16	Electronic: 5/30/16 (4 days late) Paper: 06/02/16 (7 days late)	\$0 Contributions \$44,827 Expenditures
Semiannual 05/22/16 – 06/30/16 ³⁶		08/01/16	Electronic: 06/20/16 Paper: 06/19/17 (322 days late)	\$0 Contributions \$889 Expenditures
Pre-election 07/01/16 – 09/24/16		09/29/16	Electronic: 10/8/16 (9 days late) Paper: 06/19/17 (263 days late)	\$0 Contributions \$0 Expenditures
24-Hr Report		10/19/16	11/13/16 (25 days late) (Certification, Exhibit A-15.)	\$10,000 from Fieldstead & Company received on 10/18/16
Pre-election 09/25/16 – 10/22/16		10/27/16	NOT FILED	\$90,000 Contributions \$60,370 Expenditures *Per bank records and per Form 497s and 496s filed.
24-Hr Report		11/2/16	11/13/16 (11 days late) (Certification, Exhibit A-15.)	\$3,000 from Margaret A. Perenchio received on 11/01/16

³⁶ Both the filed paper copy and the electronic format copy included only 3 pages as submitted to the Secretary of State. Both versions indicated that the total page length of the statement was longer than 3 pages. However, to date, the Committee has not filed an amended version to fully report all reportable activity.

Termination 10/23/16 – 12/31/16	11/6/16 – 12/13/16	01/31/17	Electronic only: 12/13/16	\$0 Contributions \$14,300 Expenditures
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The Committee and Levine were required to maintain adequate records and source documentation to substantiate the filings but failed to do so. For example, the Committee received a \$100,000 contribution on or around April 4, 2016 but failed to keep a copy of the check. A check register, duplicate deposit slip, and bank statements were provided.

Receipts and/or invoices were not maintained for the expenditures during the audit period that totaled approximately \$25,627. This included an expenditure of \$3,500, which was reported as office expenses and the payee was reportedly Levine, but the bank records show this was a cash withdrawal on April 6, 2016. The lack of records regarding this expenditure inhibits the ability to determine if this expenditure violated the prohibition on cash expenditures of \$100 or more or if the expenditure was a legitimate use of campaign funds.

For a \$9,000 payment made on or by November 16, 2016, the Committee provided only an undated invoice and a bank statement. There was no copy of the canceled check or electronic image provided to verify the payee.

The Committee filed a statement of termination with the SOS on December 13, 2016. (Certification, Exhibit A-16.)

Summary of Contact

Overall, the Enforcement Division contacted the Committee and Levine approximately 12 times throughout this case, as follows:

- May 31, 2017: letter sent
- October 30, 2017; email sent
- November 21, 2017: letter sent
- December 12, 2017: letter sent
- March 23, 2020: letter and email sent
- May 5, 2020: emails exchanged
- June 22, 2020: Report in Support of Probable Cause served on the Committee and Levine
- July 28, 2020: copy of Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served mailed to the Committee and Levine
- January 25, 2021: Accusation served on the Committee and Levine
- March 10, 2021: email sent
- March 24, 2021: email received from Levine and Enforcement Division responded by email
- January 28, 2022: letter to the Committee and Levine informing them the Default Decision and Order would appear on the agenda for the February 17, 2022 Commission meeting as

a notice item, and would be presented at the March 17, 2022 meeting for Commission action

- February 2, 2022: emailed a copy of the letter to the Committee and Levine informing them the Default Decision and Order would appear on the agenda for the February 17, 2022 Commission meeting as a notice item, and would be presented at the March 17, 2022 meeting for Commission action
- February 18, 2022: Notice of Intent to Enter Default Decision and Order to the Committee and Levine informing them that the Default Decision and Order would be presented at the March 17, 2022 meeting for Commission action

VIOLATIONS

The Committee and Levine committed eight violations of the Act as follows:

COUNT 1

Failure to Amend the Statement of Organization and to Include Sponsor in the Name of the Committee

The Committee and Levine had a duty to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and had a duty to include the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee. By failing to amend the statement of organization on or by April 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failing to include the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee, the Committee and Levine violated Government Code Sections 84103 and 84106.

COUNT 2

Failure to Timely File Pre-election Campaign Statements

The Committee and Levine had a duty to file a pre-election campaign statement in paper format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline of April 28, 2016. The Committee and Levine had a duty to file a pre-election campaign statement in electronic and paper format for the reporting period of April 24, 2016 through May 21, 2016 by the deadline of May 26, 2016. By failing to file the pre-election campaign statements in electronic and/or paper format by the respective deadline, the Committee and Levine violated Government Code Section 84200.5.

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COUNT 3

Failure to Timely File Pre-election Campaign Statements

The Committee and Levine had a duty to file a pre-election campaign statement in electronic and paper format for the reporting period of July 1, 2016 through September 24, 2016 by the deadline of September 29, 2016. The Committee and Levine had a duty to file a pre-election campaign statement in electronic and paper format for the reporting period of September 25, 2016 through October 22, 2016 by the deadline of October 27, 2016. By failing to file the pre-election campaign statements in electronic and/or paper format by respective deadlines, the Committee and Levine violated Government Code Section 84200.5.

COUNT 4

Failure to Timely File 24-Hour Contribution Reports

The Committee and Levine had a duty to file a 24-hour contribution report for a \$10,000 contribution by the deadline of October 19, 2016. By failing to file the 24-hour contribution report for a \$10,000 contribution by October 19, 2016 and by failing to file the 24-hour contribution report for a \$3,000 contribution by November 2, 2016, the Committee and Levine violated Government Code Section 84203.

COUNT 5

Failure to Maintain Campaign Records

The Committee and Levine had a duty to maintain adequate source documentation and records sufficient to substantiate the reporting for the period occurring between January 1, 2016 through December 13, 2016. By failing to maintain adequate source documentation and records sufficient to substantiate the reporting for the period occurring between January 1, 2016 through December 13, 2016, the Committee and Levine violated Government Code Section 84104.

CONCLUSION

This matter consists of five counts of violating the Act, which carry a maximum total administrative penalty of \$40,000.³⁷

In determining the appropriate penalty for a particular violation of the Act, the Enforcement Division considers the typical treatment of a violation in the overall statutory scheme of the Act, with an emphasis on serving the purposes and intent of the Act. Additionally, the Enforcement Division considers the facts and circumstances of the violation in the context of the following factors set forth in Regulation 18361.5 subdivision (e)(1) through (8): (1) The extent and gravity

³⁷ Section 83116, subd. (c).

of the public harm caused by the specific violation; (2) The level of experience of the violator with the requirements of the Political Reform Act; (3) Penalties previously imposed by the Commission in comparable cases; (4) The presence or absence of any intention to conceal, deceive or mislead; (5) Whether the violation was deliberate, negligent or inadvertent; (6) Whether the violator demonstrated good faith by consulting the Commission staff or any other governmental agency in a manner not constituting complete defense under Government Code Section 83114(b); (7) Whether the violation was isolated or part of a pattern and whether the violator has a prior record of violations of the Political Reform Act or similar laws; and (8) Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.³⁸

In this matter, the Committee and Levine failed to amend the statement of organization and failed to include the name of the sponsor in the name of the Committee. Failing to identify the sponsor deprives the public of transparency as to the true source of political spending.

The Committee and Levine also failed to timely file four pre-election campaign statements and two 24-hour contribution reports and failed to maintain campaign records. The public harm inherent in campaign reporting violations is that the public is deprived of important, time-sensitive information regarding campaign activity. Here, the failure to comply with campaign filing obligations resulted in a lack of complete and accurate information for the voting public regarding the Committee's campaign activity before the June 7, 2016 Primary Election and the November 8, 2016 General Election. In mitigation, the Committee and Levine provided some disclosure before the relevant elections in electronic format. To date, the Committee has failed to file a pre-election campaign statement for the reporting period ending on October 22, 2016, even though the Committee approximately raised \$90,000 and spent \$60,370 during this time. The Committee's two pre-election campaign statements and the termination campaign statement were filed for the incorrect reporting periods. The filing violations and failure to maintain records appear to be the result of negligence, as opposed to being deliberate or inadvertent acts. Additionally, the filing violations were part of a pattern, as opposed to an isolated incident, as the Committee and Levine failed to timely file the required campaign statements during two election cycles. In mitigation, some reports were filed prior to the election or timely

Levine does not appear to be an experienced treasurer; however, she has been contacted multiple times regarding the required campaign statements by the SOS and the Enforcement Division and failed to file the outstanding campaign statements.

The Committee and Levine do not have prior enforcement history. There is no information or evidence to show that Levine sought or received advice from the Commission or any other governmental agency.

The Enforcement Division also takes into consideration previous cases that were approved by the Commission in determining penalties. In this matter, the following cases were used as guidelines.

³⁸ Regulation 18361.5, subd. (e).

Count 1

- *In the Matter of California Citizens for Fair Government and Chris Anderson*, FPPC No. 14/507. (The Commission approved a stipulated decision on November 19, 2015.) The Respondents failed to include their sponsor in the committee's name and failed to disclose the sponsor on the committee's statement of organization. However, in mitigation, contributions received from the sponsor were properly disclosed on the Respondents' campaign statements. The Commission approved a penalty of \$3,500 per count.

Here, the Committee and Levine also failed to include their sponsor in the Committee's name and failed to amend the statement of organization. The contribution of \$100,000 received from the sponsor was disclosed on a pre-election campaign statement filed before the election only in electronic format. The second contribution of \$80,000 was disclosed timely on a 24-hour contribution report. In mitigation, the Committee did not pay for ads where a failure to amend a statement of organization would have resulted in an advertisement disclosure violation. In consideration of the factors, a penalty of \$4,000 is recommended.

Counts 2 – 3

- *In the Matter of Ralph Denney and Vote Ralph Denney 2012 Committee to Elect Ralph Denney to the Assembly – 78th District*, FPPC No. 15/156. (The Commission approved a default decision on April 20, 2017.) The respondents, among other violations, failed to timely file two pre-election campaign statements. The respondents filed the required statements in electronic format, albeit late and well after the election. The Commission imposed a penalty of \$4,000 per count.
- *In the Matter of Alfonso Sanchez and Alfonso Sanchez for School Board 2016*, FPPC No. 16/20105. (The Commission approved a default decision on January 15, 2020.) The respondents, among other violations, failed to timely file two pre-election campaign statements. The statements were not filed before the relevant election. The Commission imposed a penalty of \$5,000 per count.

The Committee and Levine failed to timely file three pre-election campaign statements. The statements in question were filed late but before the relevant elections in electronic format. Additionally, the Committee and Levine failed to timely file a pre-election campaign statement and to date, it has not been filed. Here, the Committee and Levine were not experienced filers. Most of the relevant information, including large contributions, were disclosed, albeit untimely, on 24-hour reports filed prior to the election. Most of the statements were filed either timely electronically or were filed only a few days late but before the election. Though some information continues to fail to be disclosed properly, there is sufficient mitigation to justify combining violations into a single count. For Count 2, where the Respondents filed two statements late but before the relevant election in electronic format, a penalty of \$4,500 is recommended. For Count

3, where the Respondents filed one statement late but never filed another pre-election statement, a penalty of \$5,000 is recommended.

Count 4

- *In the Matter of Angel Santiago, Friends of Angel Santiago for Inland Empire Utilities Agency Director Division 4, and Valerie Santiago*, FPPC No. 13/547. (The Commission approved a default decision on December 17, 2015.) The Respondents, among other violations, failed to timely file four 24-hour contribution reports for contributions in the amount of \$1,500; \$5,000; \$1,000; and \$3,000. The respondents were experienced with the Act and failed to disclose the contributions in question before the election. The Commission imposed a penalty of \$4,000 per count.

Here, the Respondents were aware of the 24-hour filing obligation as they timely filed a 24-hour contribution for a contribution received on September 30, 2016. They failed to timely file two 24-hour contribution reports for contributions of \$10,000 and \$3,000. Both reports were filed after the election, and contributions in question were not disclosed before the election. Unlike in the comparable case, the Respondents were not experienced with the Act. Therefore, a penalty of \$4,500 is recommended.

Count 5

- *In the Matter of Wyman for Attorney General 2014 and James M. O'Hearn*, FPPC No. 17/378. (The Commission approved a default decision April 16, 2020.) The Respondents, among other violations, failed to maintain adequate records for \$29,863 in contributions received and \$1,207.49 in expenditures made. The Commission imposed a penalty of \$3,000 per count.

The Committee and Levine failed to keep a copy of the check for a \$100,000 contribution and failed to maintain receipts and/or invoices for expenditures made totaling \$25,627. A similar penalty is recommended.

//

PROPOSED PENALTY

After considering the factors of Regulation 18361.5 and the penalties imposed in prior cases, the following penalties are proposed:

Counts	Violations	Proposed Penalty
1	Failure to Amend the Statement of Organization and to Include Sponsor in the Name of the Committee	\$4,000
2	Failure to Timely File a Pre-election Campaign Statement	\$4,500
3	Failure to Timely File a Pre-election Campaign Statement	\$5,000
4	Failure to Timely File 24-Hour Contribution Reports	\$4,500
5	Failure to Maintain Campaign Records	\$3,000
	Total:	\$21,000

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, California 95811. On February 22, 2022, I served the following document(s):

1. Letter re: Notice of Default Decision and Order and Administrative Fine, dated 02/18/2022, from Theresa Gilbertson, Senior Commission Counsel, Enforcement Division;
2. Copy of the signed Default Decision and Order, FPPC No. 17/193
3. Exhibit 1 in Support of a Default Decision and Order and Exhibits A-1 through A-18; and
4. Copy of California Code of Regulations, Title 2, Section 18361.11, "Default Proceedings."

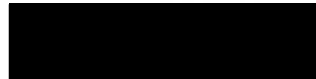
By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by first class mail, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

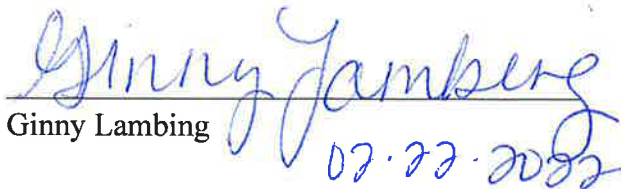
I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Shelley Levine
Californians in Support of Mike
Antonovich State Senate 2016



I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on February 22, 2022.


Ginny Lambing
02.22.2022



**DECLARATION OF CUSTODIAN OF RECORDS
CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION
Enforcement Division**

CERTIFICATION OF RECORDS

The undersigned declares and certifies as follows:

1. I am employed as an Associate Governmental Program Analyst by the California Fair Political Practices Commission (Commission). My business address is: California Fair Political Practices Commission, 1102 Q St, Ste 3000, Sacramento, CA 95811.
2. I am a duly authorized custodian of the records maintained by the Commission in the Enforcement Division. As such, I am authorized to certify copies of those records as being true and correct copies of the original business records which are in the custody of the Commission.
3. I have reviewed documents maintained in *FPPC Case Nos. 2017/01397 and 2018/00821; Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine*, and have caused copies to be made of documents contained therein. I certify that the copies attached hereto are true and correct copies of the documents prepared in the normal course of business and which are contained in files maintained by the Commission. The attached documents are as follows:

EXHIBIT A-1: Report in Support of a Finding of Probable Cause, dated June 17, 2020

EXHIBIT A-2: Proof of Service for the Report in Support of a Finding of Probable Cause and applicable statutes and regulations, dated June 18, 2020, USPS tracking, and a certified mail receipt

EXHIBIT A-3: Cover letter to the Respondents regarding the Report in Support of a Finding of Probable Cause, dated June 17, 2020, memorandum describing Probable Cause Proceedings, and applicable statutes and regulations

EXHIBIT A-4: Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served, dated July 28, 2020

EXHIBIT A-5: Finding of Probable Cause and Order to Prepare and Serve an Accusation, dated July 31, 2020

- EXHIBIT A-6: Accusation, dated January 22, 2021
- EXHIBIT A-7: Proof of Service on January 25, 2021, for Accusation and accompanying documents, dated February 3, 2021
- EXHIBIT A-8: Statement to Respondents, Notices of Defense, Office of Administrative Hearings Consent to Electronic Service (E-Service or “SFT”) Agreement, Office of Administrative Hearings Privacy Notice, and applicable statutes
- EXHIBIT A-9: Initial statement of organization, filed on March 22, 2016
- EXHIBIT A-10: Pre-election campaign statement for the reporting period of March 21, 2016 through April 25, 2016
- EXHIBIT A-11: Pre-election campaign statement for the reporting period of April 26, 2016 through May 26, 2016
- EXHIBIT A-12: Semiannual campaign statement for the reporting period of May 22, 2016 through June 30, 2016
- EXHIBIT A-13: Pre-election campaign statement for the reporting period July 1, 2016 through September 24, 2016
- EXHIBIT A-14: 24-hour contribution report for a contribution of \$80,000 received from DeAnza Properties
- EXHIBIT A-15: 24-hour contribution report for a contribution of \$10,000 received from Fieldstead & Company and a contribution of \$3,000 received from Margaret Perenchio
- EXHIBIT A-16: Termination campaign statement for the reporting period of November 6, 2016 through December 13, 2016
- EXHIBIT A-17: Notice of Default Decision and Order, dated January 28, 2022
- EXHIBIT A-18: Notice of Intent to Enter Default Decision and Order, dated February 18, 2022

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on February 22, 2022, at Sacramento, California.



Shaina Elkin
Associate Governmental Program Analyst
Enforcement Division
Fair Political Practices Commission

Exhibit A-1

1 Commission confirmed, that the Committee and Levine violated the Political Reform Act¹ (“Act”) by
2 failing to timely file pre-election campaign statements for both the primary and general elections, failing
3 to timely file two 24-hour contribution reports, failed to amend the statement of organization to indicate
4 the date of qualification and to include the name of the sponsor, and failed to maintain adequate records
5 and source documents to substantiate the campaign reporting.

6 SUMMARY OF THE LAW

7 Jurisdiction

8 The Fair Political Practices Commission (the “Commission”) has administrative jurisdiction to
9 enforce the provisions of the Act.²

10 Probable Cause Proceedings

11 Prior to the Enforcement Division commencing an administrative action, the General Counsel of
12 the Commission or his designee (the “hearing officer”), must make a finding that there is probable cause
13 to believe the respondent has violated the Act.³ After a finding of probable cause, the Commission may
14 hold a noticed hearing in accordance with the Administrative Procedure Act⁴ to determine whether
15 violations occurred, and levy an administrative penalty of up to \$5,000 for each violation.⁵

16 Standard for Finding Probable Cause

17 To make a finding of probable cause, the hearing officer must be presented with sufficient
18 evidence to lead a person of ordinary caution and prudence to believe, or entertain a strong suspicion,
19 that a respondent committed or caused a violation.⁶

20
21 //

22
23 ¹ The Political Reform Act—sometimes simply referred to as the Act—is contained in Government Code
24 sections 81000 through 91014. All statutory references are to this code. The regulations of the Fair Political Practices
Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All
regulatory references are to this source.

² Section 83116.

³ Section 83115.5 and Regulations 18361 and 18361.4.

⁴ Section 11500, et seq.

⁵ Section 83116 and Regulation 18361.4, subdivision (e).

⁶ Section 18361.4, subdivision (e).

1 Need for Liberal Construction and Vigorous Enforcement of the Political Reform Act

2 When enacting the Political Reform Act, the people of the state of California found and declared
3 previous laws regulating political practices suffered from inadequate enforcement by state and local
4 authorities.⁷ To that end, the Act must be liberally construed to achieve its purposes.⁸

5 A central purpose of the Act is to ensure voters are fully informed and improper practices are
6 inhibited by requiring committees to disclose all contributions and expenditures made throughout a
7 campaign.⁹ Another is to provide adequate enforcement mechanisms so that the Act will be “vigorously
8 enforced.”¹⁰

9 Definition of Committee

10 A “committee” included any person or combination of persons who received contributions
11 totaling \$2,000 or more in a calendar year.¹¹ This type of committee is commonly referred to as a
12 “recipient committee.” A recipient committee controlled by a candidate is called a controlled committee.

13 Qualification and Statements of Organization

14 Any committee that comes under the definition of a recipient committee is required to file a
15 statement of organization with the Secretary of State and with the local filing officer.¹² Once the
16 committee qualifies as a committee, it must amend the statement of organization to include the date of
17 qualification. The committee must submit an amended statement within 10 days of any change in the
18 information submitted on the form, such as updating the form to indicate the date the committee reached
19 the threshold of raising or spending \$2,000 and qualifying as a committee.¹³ Once the Committee
20 qualifies, the statement of organization must be updated to include the name and address of the financial
21
22

23 _____
24 ⁷ Section 81001, subdivision (h).

25 ⁸ Section 81003.

26 ⁹ Section 81002, subdivision (a).

27 ¹⁰ Section 81002, subdivision (f).

28 ¹¹ Section 82013, subdivision (a).

¹² Section 84101.

¹³ Section 84103, subdivision (a).

1 institution where the candidate has established a designated campaign contribution account and the
2 account number.¹⁴

3 Primarily Formed Committee

4 A “primarily formed committee” means a recipient committee which is formed or exists primarily
5 to support or oppose either a single candidate; a single measure; a group of specific candidates being
6 voted upon in the same city, county, or multicounty election; or two or more measures being voted upon
7 in the same city, county, multicounty, or state election.¹⁵

8 Committee Sponsor

9 A “sponsored committee” is defined as a committee, other than a candidate-controlled committee,
10 with one or more sponsors. An organization sponsors a committee if any of the following apply: (1) the
11 committee receives 80 percent or more of its contributions from the organization; (2) the organization
12 collects contributions for the committee by use of payroll deduction or dues; (3) the organization provides
13 all or nearly all of the administrative services for the committee; or (4) the organization sets the policies
14 for soliciting contributions or making expenditures of committee funds.¹⁶ The name of the committee is
15 required to include the name of the sponsor.¹⁷ Whenever identification of a sponsored committee is
16 required, such as on advertisement disclosures or sender identification on mass mailings, the
17 identification shall include the full name of the committee as required in its statement of organization.¹⁸

18 Mandatory Filing of Campaign Statements

19 At the core of the Act’s campaign reporting system is the requirement that committees file
20 campaign statements and reports for certain reporting periods, by certain deadlines, and including certain
21 information.¹⁹ The Act requires committees primarily formed to support or oppose a candidate appearing
22 on the ballot at the next election.²⁰ In connection with the 2016 General Election held on November 8,

23 _____
24 ¹⁴ Section 85200 and 85001.

25 ¹⁵ Section 82047.5.

26 ¹⁶ Section 82048.7.

27 ¹⁷ Section 84102.

28 ¹⁸ Section 84106.

¹⁹ Sections 84200, *et seq.*

²⁰ Section 84200.5, subdivision (a)(1).

1 2016, the first pre-election campaign statement was due by September 29, 2016 and covered the period
2 from July 1, 2016 through September 24, 2016 and the second pre-election campaign statement was due
3 by October 27, 2016 and covered the period from September 25, 2016 through October 22, 2016.²¹

4 Committees formed primarily to support or oppose candidates for members of the Legislature
5 shall file a campaign statement by online or electronic means, provided the committee has received or
6 spent totals \$25,000 or more, and shall file the original and one copy of the campaign statement in paper
7 format with the Secretary of State.²²

8 24-hour Contribution Reports

9 Each candidate or committee that makes or receives a late contribution must file a report within
10 24 hours of making or receiving the contribution.²³ A “late contribution” includes a contribution in total
11 or in the aggregate \$1,000 or more that is made or received by a candidate, a controlled committee, or a
12 committee formed or existing primarily to support or oppose a candidate or measure during the 90-day
13 period preceding the date of the election or on the date of the election at which the candidate is to be
14 voted on.²⁴ The late contribution period began on March 9, 2016 for the June 7, 2016 Primary Election
15 and on August 10, 2016 for the November 8, 2016 General Election.

16 Recordkeeping Requirements

17 Treasurers have a duty to maintain detailed accounts, records, bills, and receipts necessary to
18 prepare campaign statements and establish campaign statements were properly filed.²⁵ This duty includes
19 maintenance of detailed information and original source documentation for a period of four years
20 following the date the campaign statement to which they relate is filed.²⁶ Examples of original source
21 documentation that must be maintained include copies of bills, receipts, and invoices for expenditures of
22 \$25 or more.²⁷

23 ²¹ Section 84200.8.

24 ²² Section 84215 and 84605.

25 ²³ Section 84203.

26 ²⁴ Section 82036.

27 ²⁵ Section 84104.

28 ²⁶ Regulation 18401.

²⁷ Regulation 18401, subdivision (a)(4).

1 Treasurer Liability

2 Under the Act, it is the duty of the treasurer of a committee to ensure that the committee complies
3 with all the requirements of the Act concerning the receipt, expenditure, and reporting of funds.²⁸ The
4 treasurer may be held jointly and severally liable, along with the committee, for violations committed by
5 the committee.²⁹

6 **SUMMARY OF THE EVIDENCE**

7 The Committee formed as a primarily formed recipient committee by filing a statement of
8 organization with the Secretary of State on March 22, 2016. On this statement, the Committee indicated
9 that it did not yet qualify. Levine was listed as both the treasurer and the principal officer.

10 The Committee qualified on or around April 4, 2016 when it reported receiving a \$100,000
11 contribution from De Anza Properties. The contributor's full name, according to committee records and
12 corporation filings, is De Anza Building and Maintenance, Inc. According to campaign statements and
13 committee records, the Committee received no additional contributions until after the Primary Election
14 held on June 7, 2016. De Anza Building and Maintenance, Inc. contributed an additional \$80,000 on or
15 around September 30, 2016. During the life of the Committee, De Anza Building and Maintenance, Inc.
16 contributed \$180,000 in contributions, accounting for approximately 93% of the Committee's
17 contributions. The Committee and Levine were required to file an amended statement of organization
18 within 10 days of qualifying to indicate that the Committee had qualified and to indicate that the
19 Committee was sponsored by De Anza Building and Maintenance, Inc. The Committee and Levine were
20 required to include the name of the sponsor in the name of the Committee.

21 According to campaign statements, the Committee made no contributions or expenditures to
22 candidates or measures. Instead, the Committee's expenditures were either administrative or paid for the
23 candidate, Antonovich, to be featured in slate mailers distributed by slate mailer organizations. Therefore,
24 the Committee's name did not need to be disclosed on any advertisement disclosures. However, the slate
25

26 ²⁸ Sections 81004, 84100, 84104, 84213, and Regulation 18427.

27 ²⁹ Sections 83116.5 and 91006.

1 mailer organizations are required to disclose payments received and therefore, due to the failure of the
 2 Committee to amend the name, the slate mailer organizations did not disclose on their filings that the
 3 payments were made by a committee sponsored by De Anza Building and Maintenance, Inc.

4 The Committee failed to timely file electronic and/or paper copies of four pre-election campaign
 5 statements and two 24-hour contribution reports, as described in the chart below. The Committee also
 6 failed to report for the full required reporting period. For example, the first pre-election campaign
 7 statement was required to include disclosure for the period dating back to January 1, 2016 but the
 8 statement as filed only covered the period starting March 21, 2016. In addition, some of the reporting
 9 periods as filed did not match the required reporting periods prescribed by law:

11 12 13	Required Reporting Period	Reporting Period as Filed	Date Due	Date Filed	Contributions/Expenditures
14 15 16	Pre-election 01/01/16 – 04/23/16	03/21/16 – 04/25/16	4/28/16	Electronic: 4/25/16 Paper: 6/19/17 (417 days late)	\$100,000 Contributions \$53,394 Expenditures
17 18 19 20	Pre-election 04/24/16 – 05/21/16	04/26/16 – 05/26/16	05/25/16	Electronic: 5/30/16 (4 days late) Paper: 06/02/16 (373 days late)	\$0 Contributions \$44,827 Expenditures
21 22 23	Semiannual 05/22/16 – 06/30/16		08/01/16	Electronic: 06/20/16 Paper: 06/19/17 (322 days late)	\$0 Contributions \$889 Expenditures

1	Pre-election		09/29/16	Electronic: 10/8/16	\$0 Contributions
2	07/01/16 –			(9 days late)	\$0 Expenditures
3	09/24/16			Paper: 06/19/17	
4				(263 days late)	
5	24-Hr Report		10/19/16	11/13/16 (25 days late)	\$10,000 from Fieldstead &
6					Company received on
7					10/18/16
8	Pre-election		10/27/16	NOT FILED	\$90,000 Contributions
9	09/25/16 –				\$60,370 Expenditures
10	10/22/16				*Per bank records and per
11					Form 497s and 496s filed.
12	24-Hr Report		11/2/16	11/13/16 (11 days late)	\$3,000 from Margaret A.
13					Perenchio received on
14					11/01/16
15	Termination	11/6/16 –	01/31/17	Electronic only:	\$0 Contributions
16	10/23/16 –	012/13/16		12/13/16	\$14,300 Expenditures
17	12/31/16				

18

19

20 According to the audit and verified by the Enforcement Division, the Committee failed to

21 maintain adequate records and source documentation to substantiate the filings. For example, the

22 Committee received a \$100,000 contribution on or around April 4, 2016 but failed to keep a copy of the

23 check. A check register, duplicate deposit slip, and bank statements were provided. Receipts and/or

24 invoices were not maintained for expenditures during the audit period that totaled approximately \$25,627.

25 This included an expenditure of \$3,500, which was reported as office expenses and the payee was

26 reportedly Levine, but the bank records show this was a cash withdrawal on April 6, 2016. The lack of

27 records regarding this expenditure inhibits the ability to determine if this expenditure violated the

1 prohibition on cash expenditures of \$100 or more or if the expenditure was a legitimate use of campaign
2 funds. For a \$9,000 payment made on or by November 16, 2016, the Committee provided only an undated
3 invoice and a bank statement. There was no copy of the canceled check or electronic image provided to
4 verify the payee.

5 The Committee filed a statement of termination with the Secretary of State on December 16,
6 2016.

7 VIOLATIONS

8 Count 1: Failure to Amend the Statement of Organization and 9 to Include Sponsor in the Name of the Committee

10 The Committee and Levine failed to amend the statement of organization on or by April 14, 2016
11 to indicate the committee's date of qualification of April 4, 2016 and failed to include the name of the
12 sponsor, De Anza Building and Maintenance, in the name of the Committee, in violation of Government
13 Code sections 84103 and 84106.

14 Count 2: Failure to Timely File a Pre-election Campaign Statement

15 The Committee and Levine failed to timely file a pre-election campaign statement in paper format
16 for the reporting period of January 1, 2016 through April 23, 2016 by the deadline of April 28, 2016, in
17 violation of Government Code section 84200.5.

18 Count 3: Failure to Timely File a Pre-election Campaign Statement

19 The Committee and Levine failed to timely file a pre-election campaign statement in electronic
20 and paper format for the reporting period of April 24, 2016 through May 21, 2016 by the deadline of
21 May 26, 2016, in violation of Government Code section 84200.5.

22 Count 4: Failure to Timely File a Pre-election Campaign Statements

23 The Committee and Levine failed to timely file a pre-election campaign statement in electronic
24 and paper format for the reporting period of July 1, 2016 through September 24, 2016 by the deadline of
25 September 29, 2016, in violation of Government Code section 84200.5.

1 The failure to timely file pre-election campaign statements and 24-hour contribution reports
2 causes public harm as it deprives the public of transparency in political spending during the crucial weeks
3 prior to an election. The Committee and Levine have failed to file all outstanding campaign statements.

4 **CONCLUSION**

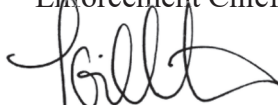
5 Probable cause exists to believe that the Committee and Levine violated the Act as described
6 above. The Enforcement Division respectfully requests an order finding probable cause pursuant to
7 Section 83115.5 and Regulation 18361.4.

8
9
10 Dated: 06/17/2020

11
12 Respectfully Submitted,

13 **FAIR POLITICAL PRACTICES COMMISSION**

14 Galena West
15 Enforcement Chief



16 By: Theresa Gilbertson
17 Commission Counsel
18 Enforcement Division

Exhibit A-2

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On June 18, 2020, I served the following document(s):

1. Letter dated June 17, 2020, from Theresa Gilbertson;
2. FPPC No. 17/01397 and 18/00821 Report in Support of a Finding of Probable Cause;
3. Probable Cause Fact Sheet;
4. Selected Sections of the California Government Code regarding Probable Cause Proceedings for the Fair Political Practices Commission; and
5. Selected Regulations of the Fair Political Practices Commission regarding Probable Cause Proceedings.

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by certified mail, return receipt requested, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

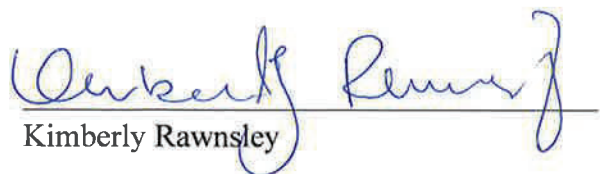
I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Certified Mail, Return Receipt Requested

Shelley Levine


I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on June 18, 2020.



Kimberly Rawnsley

[Track Another Package +](#)

Tracking Number: 70182290000191663216

[Remove X](#)

Your item was delivered to an individual at the address at 3:09 pm on June 22, 2020 in STUDIO CITY, CA 91604.

Delivered

June 22, 2020 at 3:09 pm
Delivered, Left with Individual
STUDIO CITY, CA 91604

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Fair Political Practices
Commission, Attn. Theresa Gilbertson
1102 Q Street, Ste. 3000
Sacramento, CA 95811

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul style="list-style-type: none"> ■ Complete items 1, 2, and 3. ■ Print your name and address on the reverse so that we can return the card to you. ■ Attach this card to the back of the mailpiece, or on the front if space permits. 	<p>A. Signature <input type="checkbox"/> Agent <input type="checkbox"/> Addressee</p> <p>X</p> <p>B. Received by (<i>Printed Name</i>) C. Date of Delivery</p>
<p>1. Article Addressed to:</p> <p style="font-size: 1.2em; color: blue;">Shelley Levine</p> <div style="background-color: black; width: 100%; height: 40px; margin-top: 5px;"></div>	<p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No</p> <div style="text-align: center; margin-top: 10px;">  </div>
<div style="text-align: center;">  9590 9402 5536 9249 2024 83 </div>	<p>3. Service Type</p> <ul style="list-style-type: none"> <input type="checkbox"/> Adult Signature <input type="checkbox"/> Adult Signature Restricted Delivery <input checked="" type="checkbox"/> Certified Mail® <input type="checkbox"/> Certified Mail Restricted Delivery <input type="checkbox"/> Collect on Delivery <input type="checkbox"/> Collect on Delivery Restricted Delivery <input type="checkbox"/> Priority Mail Express® <input type="checkbox"/> Registered Mail™ <input type="checkbox"/> Registered Mail Restricted Delivery <input checked="" type="checkbox"/> Return Receipt for Merchandise <input type="checkbox"/> Signature Confirmation™ <input type="checkbox"/> Signature Confirmation Restricted Delivery
<p>2. Article Number (<i>Transfer from service label</i>)</p> <p style="font-size: 1.2em;">7018 2290 0001 9166 3216</p>	<p><input type="checkbox"/> Restricted Delivery</p>
<p>PS Form 3811, July 2015 PSN 7530-02-000-9053 Domestic Return Receipt</p>	

PROBABLE CAUSE FACT SHEET

INTRODUCTION

The Fair Political Practices Commission is required by law to determine whether probable cause exists to believe that the Political Reform Act (the “Act”) was violated before a public administrative accusation may be issued.

The probable cause proceedings before the Fair Political Practices Commission are unique, and most respondents and their attorneys are unfamiliar with them. Therefore, we have prepared this summary to acquaint you with the process.

THE LAW

Government Code sections 83115.5 and 83116 set forth the basic requirement that a finding of probable cause be made in a “private” proceeding before a public accusation is issued and a public hearing conducted in accordance with the Administrative Procedure Act.

The Commission has promulgated regulations further defining the probable cause procedure and delegating to the General Counsel (the “Hearing Officer” for purposes of these proceedings) the authority to preside over such proceedings and decide probable cause. A copy of these statutes and regulations are attached for your convenience.

In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
- d) If a timely request was made, a non-public conference with the General Counsel and the Enforcement Division staff to consider whether or not probable cause exists to believe the Act was violated.

THE PROCEDURE

Probable Cause Report

Administrative enforcement proceedings are commenced with the service, by registered or certified mail or in person, of a probable cause report. The report will contain a summary of the law and the evidence, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. It is filed with the Hearing Officer.

Discovery

Within 21 calendar days following the service of the probable cause report, you may request discovery of the evidence in the possession of the Enforcement Division. This is not a right to full discovery of the Enforcement Division file, but to the evidence relied upon by the Division along with any exculpatory or mitigating evidence.¹

This request must be sent by registered or certified mail to the Commission Assistant.

Response to Probable Cause Report

Within 21 calendar days following the service of the probable cause report (or, if you timely requested discovery, within 21 calendar days from the service of the evidence) you may submit a response to the Report. By regulation, the written response may contain, "... a summary of evidence, legal arguments, and any mitigating or exculpatory information."²

You must file your response with the Commission Assistant and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report.

Staff Reply

Within 10 calendar days following the date the response was filed with the Commission Assistant, Commission staff may submit any evidence or argument in rebuttal. You will be served with a copy of any such reply.

Probable Cause Conference

Probable cause conferences are held at the offices of the Fair Political Practices Commission, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone. The proceedings are not public unless all proposed respondents agree to open the conference to the public. Otherwise, the probable cause report, any written responses, and the probable cause conference itself are confidential.

Unless the probable cause conference is public, the only persons who may attend are the staff of the Commission, any proposed respondent and his or her attorney or representative, and, at the discretion of the Hearing Officer, witnesses.

The Hearing Officer may, but need not, permit testimony from witnesses. Probable cause conferences are less formal than court proceedings. The rules of evidence do not apply. The conferences will be recorded and a copy of the recording will be provided upon request.

Since it has the burden of proof, the Enforcement Division is permitted to open and close the conference presentations. The Hearing Officer may also hold the record open to receive additional evidence or arguments.

Probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether or not there is probable cause to believe that the Political Reform Act was violated. Anyone who wishes to discuss settlement with the Enforcement

¹ But see 2 CCR § 18362, which states that the Commission provides access to complaints, responses to complaints, and investigative files and information in accordance with the requirements of the Public Records Act (Govt. Code § 6250, et seq.).

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Division may do so before or after the probable cause conference but not during the conference.

The Hearing Officer will find probable cause “if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation.”³

Ordinarily, probable cause determinations are made based upon the written probable cause report, any written response by the respondent, any written reply by the Enforcement Division, and the oral arguments presented at the conference. Timely written presentations are strongly recommended.

Probable Cause Order and Accusation

Once the matter is submitted to the Hearing Officer, the probable cause decision will normally be made within ten days. If the Hearing Officer finds probable cause, he will issue a Finding of Probable Cause, which will be publicly announced at the next Commission Meeting. An Accusation will be issued soon after the Finding of Probable Cause is publicly announced.

Continuances

Every reasonable effort is made to accommodate the schedules of parties and counsel. However, once a date has been set, it is assumed to be firm and will not be continued except upon the order of the Hearing Officer after a showing of good cause.

Settlements

Settlement discussions may take place at any time between a proposed respondent (or his or her counsel or representative) and the Enforcement Division except during the probable cause conference. The Hearing Officer will not participate in any settlement negotiations.

CONCLUSION

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

Attachments: Relevant Sections of (1) California Government Code , and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.

³ 2 CCR §18361.4, subd. (e).

CALIFORNIA GOVERNMENT CODE

Probable Cause Statutes

§ 83115.5. Probable cause; violation of title; notice of violation; summary of evidence; notice of rights; private proceedings

No finding of probable cause to believe this title has been violated shall be made by the commission unless, at least 21 days prior to the commission's consideration of the alleged violation, the person alleged to have violated this title is notified of the violation by service of process or registered mail with return receipt requested, provided with a summary of the evidence, and informed of his right to be present in person and represented by counsel at any proceeding of the commission held for the purpose of considering whether probable cause exists for believing the person violated this title. Notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. A proceeding held for the purpose of considering probable cause shall be private unless the alleged violator files with the commission a written request that the proceeding be public.

§ 83116. Violation of title; probable cause; hearing; order

When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.

**REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION
TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS**

Probable Cause Regulations

§ 18361 (b). Delegation by the Executive Director Pertaining to Enforcement Proceedings and Authority to Hear Probable Cause Proceedings.

Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

§ 18361.4. Probable Cause Proceedings

(a) Probable Cause Report. If the Chief of the Enforcement Division decides to commence probable cause proceedings pursuant to Sections 83115.5 and 83116, he or she shall direct the Enforcement Division staff to prepare a written report, hereafter referred to as “the probable cause report.” The probable cause report shall contain a summary of the law and evidence gathered in connection with the investigation, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. The evidence recited in the probable cause report may include hearsay, including declarations of investigators or others relating the statements of witnesses or concerning the examination of physical evidence.

(b) No probable cause hearing will take place until at least 21 calendar days after the Enforcement Division staff provides the following, by service of process or registered or certified mail with return receipt requested, to all proposed respondents:

- (1) A copy of the probable cause report;
- (2) Notification that the proposed respondents have the right to respond in writing to the probable cause report and to request a probable cause conference at which the proposed respondent may be present in person and represented by counsel, and;
- (3) If the Commission met in executive session on this matter pursuant to Regulation 18361.2, a copy of any staff memoranda submitted to the Commission at that time along with the recording of any discussion between the Commission and the staff at the executive session as required in subdivision (b) of Regulation 18361.2.

(c) Response to Probable Cause Report.

- (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the “hearing officer”) and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
- (2) Within 21 calendar days following the service of the probable cause report, a proposed

respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

- (3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) Finding of Probable Cause. The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

§ 18362. Access to Enforcement Records

(a) Production of Enforcement Records. The Commission will make complaints, investigative files, and related records available to the public and members of the media in accordance with the Public Records Act (Government Code Section 6250, et seq.) Enforcement records requests should specifically identify the documents sought.

(b) Format of Enforcement Records. The Commission will make records available for inspection at its office during office hours. The Commission may provide copies of requested records either in paper or electronic format to the person that requested the records in lieu of inspecting the records at the Commission offices. Any person requesting paper copies of records must reimburse the Commission ten cents (\$0.10) per page to pay the cost of copying, or supply copying equipment and make copies in the offices of the Commission. Original records of the Commission may not be removed from the offices of the Commission.

(c) Complaint Notice Period. The Commission may not provide or make available complaints or related records until after the disclosure periods provided in Regulation 18360.

§ 18361.2. Memorandum Respecting Civil Litigation.

(a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.

(b) The Commission shall review the memorandum at an executive session. The General

Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
 - (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
 - (3) Return the matter to the staff for further investigation.
 - (4) Take no further action on the matter or take any other action it deems appropriate.
- (c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.
- (d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.
- (e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

Exhibit A-3



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3000 • Sacramento, CA 95811
(916) 322-5660 • Fax (916) 322-0886

June 17, 2020

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Shelley Levine
[REDACTED]

In the Matter of Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine, FPPC Case Nos. 2017/01397 and 2018/00821

Dear Ms. Levine:

The Enforcement Division of the Fair Political Practices Commission (the “Commission”) is proceeding with an administrative action against you for your failure to comply with the filing and disclosure provisions of the Political Reform Act (the “Act”), as described in our previous discussions. The enclosed Report in Support of a Finding of Probable Cause (the “Report”) contains a summary of the alleged violations and the relevant law and evidence.

Please note, this Report does not impact settlement negotiations. If you have questions or concerns about the purpose of this Report or about settlement negotiations, *please contact me at (916) 323-6421 or tgilbertson@fppc.ca.gov*.

You have the right to file a written response to the Report. That response may contain any information you think is relevant and that you wish to bring to the attention of the Hearing Officer. In your response, please indicate whether you would like the Hearing Officer to make a determination of probable cause based on the written materials alone (the Report and your response) or request a conference, during which you may orally present your case to the Hearing Officer. Probable cause conferences are held in our office, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone and you are entitled to be represented by counsel. *If you wish to submit a written response or request a probable cause conference, it must be filed with the Commission Assistant at the address listed above within 21 days from the date of service of this letter.* You can reach the Commission Assistant at (916) 327-8269.

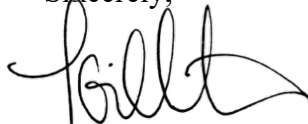
Please note that probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether there is probable cause to believe that the Act was violated.

Finally, you have the right to request discovery of the evidence in possession of, and relied upon by, the Enforcement Division. ***This request must also be filed with the Commission Assistant within 21 days from the date of service of this letter.*** Should you request discovery, the Enforcement Division will provide the evidence by service of process or certified mail. From the date you are served with the evidence, you would have an additional 21 days to file a written response to the Report, just as described above.

Should you take no action within 21 days from the date of service of this letter, your rights to respond and to request a conference are automatically waived and the Enforcement Division will independently pursue the issuance of an accusation.

For your convenience, I have enclosed a fact sheet on probable cause proceedings and copies of the most relevant statutes and regulations.

Sincerely,

A handwritten signature in black ink, appearing to read 'Theresa Gilbertson', with a stylized flourish at the end.

Theresa Gilbertson
Commission Counsel

Enclosures

PROBABLE CAUSE FACT SHEET

INTRODUCTION

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In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
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CONCLUSION

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

Attachments: Relevant Sections of (1) California Government Code , and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.

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CALIFORNIA GOVERNMENT CODE

Probable Cause Statutes

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When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.

**REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION
TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS**

Probable Cause Regulations

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- (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the “hearing officer”) and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
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respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

- (3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) Finding of Probable Cause. The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

§ 18362. Access to Enforcement Records

(a) Production of Enforcement Records. The Commission will make complaints, investigative files, and related records available to the public and members of the media in accordance with the Public Records Act (Government Code Section 6250, et seq.) Enforcement records requests should specifically identify the documents sought.

(b) Format of Enforcement Records. The Commission will make records available for inspection at its office during office hours. The Commission may provide copies of requested records either in paper or electronic format to the person that requested the records in lieu of inspecting the records at the Commission offices. Any person requesting paper copies of records must reimburse the Commission ten cents (\$0.10) per page to pay the cost of copying, or supply copying equipment and make copies in the offices of the Commission. Original records of the Commission may not be removed from the offices of the Commission.

(c) Complaint Notice Period. The Commission may not provide or make available complaints or related records until after the disclosure periods provided in Regulation 18360.

§ 18361.2. Memorandum Respecting Civil Litigation.

(a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.

(b) The Commission shall review the memorandum at an executive session. The General

Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
 - (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
 - (3) Return the matter to the staff for further investigation.
 - (4) Take no further action on the matter or take any other action it deems appropriate.
- (c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.
- (d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.
- (e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

Exhibit A-4

GALENA WEST
Chief of Enforcement
THERESA GILBERTSON
Senior Commission Counsel
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3000
Sacramento, CA 95811
Telephone: (916) 323-6421
Email: tgilbertson@fppc.ca.gov

Attorneys for Complainant

BEFORE THE FAIR POLITICAL PRACTICES COMMISSION

STATE OF CALIFORNIA

In the Matter of) FPPC No. 17/01397 and 18/00821
)
) EX PARTE REQUEST FOR A FINDING OF
) PROBABLE CAUSE AND AN ORDER THAT
CALIFORNIANS IN SUPPORT OF MIKE) AN ACCUSATION BE PREPARED AND
ANTONOVICH STATE SENATE 2016) SERVED
and SHELLEY LEVINE,)
) Gov. Code § 83115.5
Respondents.)

TO THE HEARING OFFICER OF THE FAIR POLITICAL PRACTICES COMMISSION:

Pursuant to Section 83115.5 of the Political Reform Act (the “Act”)¹ and Regulation 18361.4, Respondents Californians in Support of Mike Antonovich State Senate 2016 (“Committee”) and committee treasurer, Shelley Levine (“Levine”) were served with a copy of a report in support of a finding of probable cause (“Report”) in the above-entitled matter.² The Report, attached as “Exhibit A,” was part of a packet of materials, including a cover letter and a memorandum describing probable cause proceedings, which was served to Levine by means of certified mail, return receipt requested on June 22, 2020. A copy of the return receipt is attached as “Exhibit B.”

¹ The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Gov. Code § 83115.5; Cal. Code Reg., tit. 2, § 18361.4.

1 In the cover letter, dated June 17, 2020, and the attached materials, the Committee and Levine
2 were advised that they could respond in writing to the Report and orally present the case to the Hearing
3 Officer at a probable cause conference to be held in Sacramento. Respondents were further advised that
4 in order to have a probable cause conference, they needed to make a written request for one on or before
5 21 days of the date they received the Report. Additionally, Respondents were advised that if they did not
6 request a probable cause conference, such a conference would not be held and probable cause would be
7 determined based solely on the Report and any written response that they submitted within 21 days of the
8 date they were served with the Report. To date, the Committee and Levine have not submitted a written
9 response or requested a probable cause conference.

10 WHEREFORE, based on the attached Report, the Enforcement Division requests a finding by the
11 Hearing Officer that probable cause exists to believe that the Respondent committed eight violations of
12 the Act, stated as follows:

13
14 Count 1: The Committee and Levine failed to amend the statement of organization on or by April
15 14, 2016 to indicate the committee's date of qualification of April 4, 2016 and failed to
16 include the name of the sponsor, De Anza Building and Maintenance, in the name of the
17 Committee, in violation of Government Code sections 84103 and 84106.

18 Count 2: The Committee and Levine failed to timely file a pre-election campaign statement in paper
19 format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline
20 of April 28, 2016, in violation of Government Code section 84200.5.

21 Count 3: The Committee and Levine failed to timely file a pre-election campaign statement in
22 electronic and paper format for the reporting period of April 24, 2016 through May 21,
23 2016 by the deadline of May 26, 2016, in violation of Government Code section 84200.5.

24 Count 4: The Committee and Levine failed to timely file a pre-election campaign statement in
25 electronic and paper format for the reporting period of July 1, 2016 through September 24,
26

1 2016 by the deadline of September 29, 2016, in violation of Government Code section
2 84200.5.

3 Count 5: The Committee and Levine failed to timely file a pre-election campaign statement in
4 electronic and paper format for the reporting period of September 25, 2016 through
5 October 22, 2016 by the deadline of October 27, 2016, in violation of Government Code
6 section 84200.5.

7 Count 6: The Committee and Levine failed to timely file a 24-hour contribution report due on
8 October 19, 2016 for a \$10,000 contribution, in violation of Government Code section
9 84203.

10 Count 7: The Committee and Levine failed to timely file a 24-hour contribution report due on
11 November 2, 2016 for a \$3,000 contribution, in violation of Government Code section
12 84203.

13 Count 8: The Committee and Levine failed to maintain adequate source documentation and records
14 sufficient to substantiate the reporting for the period occurring between January 1, 2016
15 through December 13, 2016 in violation of Government Code section 84104.
16
17
18

19 //

20 //

1 Additionally, after finding probable cause exists, the Enforcement Division requests an order by
2 the Hearing Officer that an accusation be prepared against the Committee and Levine and served upon
3 them.³

4 A copy of this Request was mailed via U.S. Mail to the Committee and Levine on July 30, 2020
5 at the last known address and the place of service, as follows:

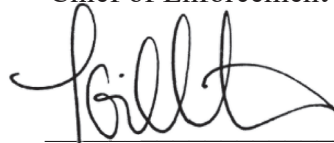
6 Shelley Levine
7 [REDACTED]
8 [REDACTED]

9 Dated: July 28, 2020

Respectfully Submitted,

12 **FAIR POLITICAL PRACTICES COMMISSION**

13 Galena West
14 Chief of Enforcement

15 

16 By: Theresa Gilbertson
17 Senior Commission Counsel
18 Enforcement Division

25 _____
26 ³ Gov. Code § 11503.

Exhibit A-5

1 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION

2 STATE OF CALIFORNIA

3
4 In the Matter of) FPPC No. 17/01397 and 18/00821
5)
6 CALIFORNIANS IN SUPPORT OF MIKE) FINDING OF PROBABLE CAUSE AND
ANTONOVICH STATE SENATE 2016) ORDER TO PREPARE AND SERVE AN
and SHELLEY LEVINE,) ACCUSATION
7 Respondents.) Gov. Code § 83115.5
8)

9 By means of an Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation
10 Be Prepared and Served, dated July 27, 2020, the Enforcement Division submitted the above-entitled
11 matter to the Hearing Officer for a determination of Probable Cause. As set forth in the Ex Parte Request
12 for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served (Ex Parte
13 Request), the Enforcement Division served a Report in Support of a Finding of Probable Cause (PC
14 Report) on Respondents Californians in Support of Mike Antonovich State Senate 2016 (“Committee”
15 and Shelley Levine (“Levine”) on June 22, 2020 by certified mail, return receipt requested. Accompanying
16 the PC Report was a packet of materials that informed Respondents of their right to file a written response
17 to the PC Report and to request a probable cause conference within 21 days following service of the PC
18 Report. During the 21 days that followed service of the PC Report, Respondents did not file a response to
19 the PC Report or request a probable cause conference. Pursuant to California Code of Regulations title 2,
20 section 18361.4, determination of probable cause may be made solely on papers submitted when the
21 respondent does not request a probable cause conference.¹

22 In making a probable cause determination, it is the duty of the Hearing Officer of the Fair Political
23 Practices Commission to determine whether probable cause exists to believe that a respondent violated
24 the Political Reform Act as alleged by the Enforcement Division in the PC Report served on the
25 respondents.

26
27 ¹ The Political Reform Act is contained in Government Code sections 81000 through 91014. The regulations of the
Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of
Regulations.

1 Probable cause to believe a violation has occurred can be found to exist when “the evidence is
2 sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that
3 the proposed respondent(s) committed or caused a violation.”²

4 The PC Report served on the Committee and Levine and the subsequent Ex Parte Request in this
5 matter alleges eight violations of the Political Reform Act were committed, as follows:
6

7 Count 1: The Committee and Levine failed to amend the statement of organization on or by April
8 14, 2016 to indicate the committee’s date of qualification of April 4, 2016 and failed to
9 include the name of the sponsor, De Anza Building and Maintenance, in the name of the
10 Committee, in violation of Government Code sections 84103 and 84106.

11 Count 2: The Committee and Levine failed to timely file a pre-election campaign statement in paper
12 format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline
13 of April 28, 2016, in violation of Government Code section 84200.5.

14 Count 3: The Committee and Levine failed to timely file a pre-election campaign statement in
15 electronic and paper format for the reporting period of April 24, 2016 through May 21,
16 2016 by the deadline of May 26, 2016, in violation of Government Code section 84200.5.

17 Count 4: The Committee and Levine failed to timely file a pre-election campaign statement in
18 electronic and paper format for the reporting period of July 1, 2016 through September 24,
19 2016 by the deadline of September 29, 2016, in violation of Government Code section
20 84200.5.

21 Count 5: The Committee and Levine failed to timely file a pre-election campaign statement in
22 electronic and paper format for the reporting period of September 25, 2016 through
23 October 22, 2016 by the deadline of October 27, 2016, in violation of Government Code
24 section 84200.5.
25
26

27
28 ² Cal. Code Reg., tit. 2, § 18361.4, subd. (e).

1 Count 6: The Committee and Levine failed to timely file a 24-hour contribution report due on
2 October 19, 2016 for a \$10,000 contribution, in violation of Government Code section
3 84203.

4 Count 7: The Committee and Levine failed to timely file a 24-hour contribution report due on
5 November 2, 2016 for a \$3,000 contribution, in violation of Government Code section
6 84203.

7 Count 8: The Committee and Levine failed to maintain adequate source documentation and records
8 sufficient to substantiate the reporting for the period occurring between January 1, 2016
9 through December 13, 2016 in violation of Government Code section 84104.

10 Based on the Ex Parte Request given to me, I find that notice has been given to the Committee and
11 Levine.³ I further find, based on the PC Report and the Ex Parte Request, that there is probable cause to
12 believe that the Committee and Levine violated the Political Reform Act as alleged in Counts 1-8, as
13 identified above.

14 I therefore direct that the Enforcement Division issue an accusation against the Committee and
15 Levine in accordance with this finding.

16
17 IT IS SO ORDERED.

18
19 Dated: July 31, 2020

Jack Woodside

Hearing Officer
Fair Political Practices Commission

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³ Government Code § 83115.5; Cal. Code Reg., tit. 2, §18361.4, subd. (b).

**FPPC No. 17/01397 and 18/00821, In the matter of Californians in Support of Mike Antonovich
State Senate 2016 and Shelley Levine**

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On the date below, I served the following document:

FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION

MANNER OF SERVICE

(U.S. Mail) By causing a true copy thereof to be served on the parties in this action through the U.S. Mail and addressed as listed below. I am familiar with the procedure of the Fair Political Practices Commission for collection and processing of correspondence for mailing with the United States Postal Service, and the fact that the correspondence would be deposited with the United States Postal Service that same day in the ordinary course of business.

SERVICE LIST

Shelley Levine


(By Personal Service) On Tuesday, August 4, 2020, at approximately 10:30 a.m., I personally served:

Theresa Gilbertson, Senior Commission Counsel, at 1102 Q Street, Suite 3000, Sacramento, CA 95811.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct and that this document is executed at Sacramento, California, on August 4, 2020.



Sasha Linker

Exhibit A-6

1 ANGELA J. BRERETON
Chief of Enforcement
2 THERESA GILBERTSON
Senior Commission Counsel
3 **FAIR POLITICAL PRACTICES COMMISSION**
1102 Q St, Suite 3000
4 Sacramento, CA 95811
Telephone: (916) 323-6421
5 Email: tgilbertson@fppc.ca.gov

6 Attorneys for Complainant

7
8 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**
9 **STATE OF CALIFORNIA**

10
11 In the Matter of) FPPC Nos. 2017/01397 and 2018/00821
12)
13 CALIFORNIANS IN SUPPORT OF) **ACCUSATION**
14 MIKE ANTONOVICH STATE SENATE)
2016 and SHELLEY LEVINE,)
15 Respondents.) (Gov. Code §11503)
16)
17)

18 Complainant, the Enforcement Division of the Fair Political Practices Commission, after a finding
19 of probable cause pursuant to Government Code Section 83115.5, alleges the following:

20 **JURISDICTION**

21 1. Complainant is the Enforcement Division of the Fair Political Practices Commission (the
22 “Commission”) and makes this Accusation in its official capacity and in the public interest.

23 2. The authority to bring this action is derived from Title 2, California Code of Regulations,
24 Sections 18361 and 18361.4, subdivision (g), and the statutory law of the State of California, specifically
25 including, but not limited to, Government Code Sections 83111, 83116, and 91000.5, which assign to the
26 Enforcement Division the duty to administer, implement, and enforce the provisions of the Political
27 Reform Act, found at Government Code Sections 81000 through 91014.

1 to include the date of qualification and the name and address of the financial institution where the
2 candidate has established a designated campaign contribution account and the account number.⁶

3 12. The committee must submit an amended statement within 10 days of any change in the
4 information submitted on the form, such as updating the form to indicate the date the committee reached
5 the threshold of raising or spending \$2,000 and qualifying as a committee.⁷

6 **C. Primarily Formed Committee**

7 13. A “primarily formed committee” means a recipient committee which is formed or exists
8 primarily to support or oppose either a single candidate; a single measure; a group of specific candidates
9 being voted upon in the same city, county, or multicounty election; or two or more measures being voted
10 upon in the same city, county, multicounty, or state election.⁸

11 **D. Committee Sponsor**

12 14. A “sponsored committee” is defined as a committee, other than a candidate-controlled
13 committee, with one or more sponsors.

14 15. An organization sponsors a committee if any of the following apply: (1) the committee
15 receives 80 percent or more of its contributions from the organization; (2) the organization collects
16 contributions for the committee by use of payroll deduction or dues; (3) the organization provides all or
17 nearly all of the administrative services for the committee; or (4) the organization sets the policies for
18 soliciting contributions or making expenditures of committee funds.⁹

19 16. The name of the committee is required to include the name of the sponsor.¹⁰

20 17. Whenever identification of a sponsored committee is required, such as on advertisement
21 disclosures or sender identification on mass mailings, the identification shall include the full name of the
22 committee as required in its statement of organization.¹¹

23 ///

24 ///

26 ⁶ Sections 84101 and 84102.

27 ⁷ Section 84103, subdivision (a).

28 ⁸ Section 82047.5.

⁹ Section 82048.7.

¹⁰ Section 84102.

¹¹ Section 84106.

1 **E. Mandatory Filing of Campaign Statements**

2 18. At the core of the Act’s campaign reporting system is the requirement that committees file
3 campaign statements and reports for certain reporting periods, by certain deadlines, and including certain
4 information.¹²

5 19. The Act requires committees primarily formed to support or oppose a candidate appearing
6 on the ballot at the next election to file two pre-election campaign statements. For the period ending 45
7 days before the election, the statement shall be filed no later than 40 days before the election. For the
8 period ending 17 days before the election, the statement shall be filed no later than 12 days before the
9 election.¹³

10 20. In connection with the 2016 Primary Election held on June 7, 2016, the first pre-election
11 campaign statement was due by April 28, 2016 and covered the period from January 1, 2016 through April
12 23, 2016 and the second pre-election campaign statement was due by May 26, 2016 and covered the period
13 from April 24, 2016 through May 21, 2016.

14 21. In connection with the 2016 General Election held on November 8, 2016, the first pre-
15 election campaign statement was due by September 29, 2016 and covered the period from July 1, 2016
16 through September 24, 2016 and the second pre-election campaign statement was due by October 27,
17 2016 and covered the period from September 25, 2016 through October 22, 2016.¹⁴

18 22. Committees formed primarily to support or oppose candidates for members of the
19 Legislature shall file a campaign statement by online or electronic means, provided the committee has
20 received or spent \$25,000 or more, and shall file the original and one copy of the campaign statement in
21 paper format with the Secretary of State.¹⁵

22 **F. 24-Hour Contribution Reports**

23 23. Each candidate or committee that makes or receives a late contribution must file a report
24 within 24 hours of making or receiving the contribution.¹⁶

26 _____
¹² Sections 84200, *et seq.*

27 ¹³ Section 84200.5, subdivision (a)(1).

28 ¹⁴ Section 84200.8.

¹⁵ Sections 84215 and 84605.

¹⁶ Section 84203.

1 24. A “late contribution” includes a contribution in total or in the aggregate \$1,000 or more
2 that is made or received by a candidate, a controlled committee, or a committee formed or existing
3 primarily to support or oppose a candidate or measure during the 90-day period preceding the date of the
4 election or on the date of the election at which the candidate is to be voted on.¹⁷

5 25. The late contribution period began on March 9, 2016 for the June 7, 2016 Primary Election
6 and on August 10, 2016 for the November 8, 2016 General Election.

7 **G. Recordkeeping Requirements**

8 26. Treasurers have a duty to maintain detailed accounts, records, bills, and receipts necessary
9 to prepare campaign statements and establish campaign statements were properly filed.¹⁸

10 27. This duty includes maintenance of detailed information and original source documentation
11 for a period of four years following the date the campaign statement to which they relate is filed.¹⁹

12 28. Examples of original source documentation that must be maintained include copies of bills,
13 receipts, and invoices for expenditures of \$25 or more.²⁰

14 **H. Factors to be Considered by the Fair Political Practices Commission**

15 29. In framing a proposed order following a finding of a violation pursuant to Section 83116,
16 the Commission and the administrative law judge shall consider all the surrounding circumstances
17 including but not limited to: (1) The extent and gravity of the public harm caused by the specific violation;
18 (2) The level of experience of the violator with the requirements of the Political Reform Act; (3) Penalties
19 previously imposed by the Commission in comparable cases; (4) The presence or absence of any intention
20 to conceal, deceive or mislead; (5) Whether the violation was deliberate, negligent or inadvertent; (6)
21 Whether the violator demonstrated good faith by consulting the Commission staff or any other government
22 agency in a manner not constituting a complete defense under Section 83114(b); (7) Whether the violation
23 was isolated or part of a pattern and whether the violator has a prior record of violations of the Act or
24 similar laws; and (8) Whether the violator, upon learning of a reporting violation, voluntarily filed
25 amendments to provide full disclosure.²¹

26 ¹⁷ Section 82036.

27 ¹⁸ Section 84104.

¹⁹ Regulation 18401.

28 ²⁰ Regulation 18401, subdivision (a)(4).

²¹ Regulation 18361.5, subd. (e).

1 **GENERAL FACTS**

2 30. The Committee formed as a primarily formed committee supporting Michael D.
3 Antonovich by filing a statement of organization with the Secretary of State on March 22, 2016. On this
4 statement, the Committee indicated that it did not yet qualify as a recipient committee. Levine was listed
5 as both the treasurer and the principal officer.

6 31. Michael D. Antonovich was a successful candidate for State Senate District 25 during the
7 June 7, 2016 Primary Election, but he was unsuccessful in the November 8, 2016 General Election.

8 32. Between January 1, 2016 through December 13, 2016, the Committee raised approximately
9 \$193,000 and spent \$192,580.

10 33. The Committee qualified on or around April 4, 2016 when it reported receiving a \$100,000
11 contribution from De Anza Properties. The contributor's full name, according to committee records and
12 corporation filings, is De Anza Building and Maintenance, Inc.

13 34. According to campaign statements and committee records, the Committee received no
14 additional contributions until after the Primary Election held on June 7, 2016.

15 35. De Anza Building and Maintenance, Inc. contributed an additional \$80,000 on or around
16 September 30, 2016. During the life of the Committee, De Anza Building and Maintenance, Inc.
17 contributed \$180,000, accounting for approximately 93% of the Committee's contributions, qualifying as
18 the Committee's sponsor by exceeding 80% of the committee's total contributions.

19 36. The Committee and Levine were required to file an amended statement of organization
20 within 10 days of qualifying to indicate that the Committee had qualified as a recipient committee and to
21 indicate that the Committee was sponsored by De Anza Building and Maintenance, Inc. The Committee
22 and Levine were also required to include the name of the sponsor in the name of the Committee. The
23 Committee and Levine failed to do so.

24 37. The Committee's expenditures were either administrative or paid for the supported
25 candidate, Antonovich, to be featured in slate mailers distributed by slate mailer organizations. Therefore,
26 the Committee's name did not need to be disclosed on any advertisement disclosures.

27 38. However, the slate mailer organizations are required to disclose payments received and
28 therefore, due to the failure of the Committee to amend the name, the slate mailer organizations did not

disclose on their filings that the payments were made by a committee sponsored by De Anza Building and Maintenance, Inc.

39. The Committee and Levine were required to timely file pre-election and semi-annual campaign statements and 24-hour contribution reports in electronic and/or paper format but failed to do so, as described in the chart below.

40. The Committee and Levine were also required to file campaign statements for the reporting periods prescribed by law but failed to do so, as described in the chart below.

Required Reporting Period	Reporting Period as Filed	Date Due	Date Filed	Contributions/Expenditures
Pre-election 01/01/16 – 04/23/16	03/21/16 – 04/25/16	04/28/16	Electronic: 4/25/16 Paper: 6/19/17 (417 days late)	\$100,000 Contributions \$53,394 Expenditures
Pre-election 04/24/16 – 05/21/16	04/26/16 – 05/26/16	05/26/16	Electronic: 5/30/16 (4 days late) Paper: 06/02/16 (373 days late)	\$0 Contributions \$44,827 Expenditures
Semiannual 05/22/16 – 06/30/16		08/01/16	Electronic: 06/20/16 Paper: 06/19/17 (322 days late)	\$0 Contributions \$889 Expenditures
Pre-election 07/01/16 – 09/24/16		09/29/16	Electronic: 10/8/16 (9 days late) Paper: 06/19/17 (263 days late)	\$0 Contributions \$0 Expenditures
24-Hr Report		10/19/16	11/13/16 (25 days late)	\$10,000 from Fieldstead & Company received on 10/18/16
Pre-election 09/25/16 – 10/22/16		10/27/16	NOT FILED	\$90,000 Contributions \$60,370 Expenditures *Per bank records and per Form 497s and 496s filed.
24-Hr Report		11/2/16	11/13/16 (11 days late)	\$3,000 from Margaret A. Perenchio received on 11/01/16
Termination 10/23/16 – 12/31/16	11/6/16 – 12/13/16	01/31/17	Electronic only: 12/13/16	\$0 Contributions \$14,300 Expenditures

41. The Committee and Levine were required to maintain adequate records and source documentation to substantiate the filings but failed to do so. For example, the Committee received a \$100,000 contribution on or around April 4, 2016 but failed to keep a copy of the check. A check register, duplicate deposit slip, and bank statements were provided.

1 42. Receipts and/or invoices were not maintained for all expenditures during the audit period
2 that totaled approximately \$25,627. This included an expenditure of \$3,500, which was reported as office
3 expenses and the payee was reportedly Levine, but the bank records show this was a cash withdrawal on
4 April 6, 2016. The lack of records regarding this expenditure inhibits the ability to determine if this
5 expenditure violated the prohibition on cash expenditures of \$100 or more or if the expenditure was a
6 legitimate use of campaign funds.

7 43. For a \$9,000 payment made on or by November 16, 2016, the Committee provided only
8 an undated invoice and a bank statement. There was no copy of the canceled check or electronic image
9 provided to verify the payee.

10 44. The Committee filed a statement of termination with the Secretary of State on December
11 13, 2016.

PROCEDURAL HISTORY

12
13 45. This case arose from an audit conducted by the Franchise Tax Board (“FTB”). The FTB
14 audit report covered the audit period of January 1, 2016 through December 13, 2016.

15 46. The Enforcement Division initiated an administrative action against the Committee and
16 Levine in this matter by serving them with a packet containing a cover letter, a Report in Support of a
17 Finding of Probable Cause (“PC Report”), a fact sheet regarding probable cause proceedings, selected
18 sections of the Government Code regarding probable cause proceedings for the Commission, and selected
19 regulations of the Commission regarding probable cause proceedings.

20 47. The Committee and Levine were served with the PC Report on June 22, 2020. The
21 information contained in the PC Report packet advised the Committee and Levine that they had 21 days
22 in which to request a probable cause conference, file a written response to the PC Report, or both. As of
23 the date of this Accusation, the Committee and Levine have not responded to the PC Report.

24 48. By means of an Ex Parte Request for a Finding of Probable Cause and an Order that an
25 Accusation Be Prepared and Served (“Ex Parte Request”), dated July 28, 2020, the Enforcement Division
26 submitted the matter to the Hearing Officer for a determination of probable cause.

27 49. On or about July 31, 2020, the Hearing Officer issued an order finding, based on the Ex
28 Parte Request and the PC Report, that there was probable cause to believe the Committee and Levine

1 violated the Act and directed the Enforcement Division to issue an accusation against the Committee and
2 Levine in accordance with the finding.

3 **VIOLATIONS**

4 50. The Committee and Levine committed eight violations of the Act as follows:

5 **Count 1**

6 **Failure to Amend the Statement of Organization and to Include Sponsor in the Name of the Committee**

7 51. Complainant incorporates paragraphs 1 – 50 of this Accusation, as though completely set
8 forth here.

9 52. The Committee and Levine had a duty to amend the statement of organization on or by
10 April 14, 2016 to indicate the committee’s date of qualification of April 4, 2016 and had a duty to include
11 the name of the sponsor, De Anza Building and Maintenance, in the name of the Committee.

12 53. The Committee and Levine failed to amend the statement of organization on or by April
13 14, 2016 to indicate the committee’s date of qualification of April 4, 2016 and failed to include the name
14 of the sponsor, De Anza Building and Maintenance, in the name of the Committee.

15 54. By failing to amend the statement of organization on or by April 14, 2016 to indicate the
16 committee’s date of qualification of April 4, 2016 and failing to include the name of the sponsor, De Anza
17 Building and Maintenance, in the name of the Committee, the Committee and Levine violated
18 Government Code Sections 84103 and 84106.

19 **Count 2**

20 **Failure to Timely File a Pre-Election Campaign Statement**

21 55. Complainant incorporates paragraphs 1 – 54 of this Accusation, as though completely set
22 forth here.

23 56. The Committee and Levine had a duty to file a pre-election campaign statement in paper
24 format for the reporting period of January 1, 2016 through April 23, 2016 by the deadline of April 28,
25 2016.

26 57. The Committee and Levine failed to file a pre-election campaign statement in paper format
27 for the reporting period of January 1, 2016 through April 23, 2016 by the deadline.
28

1 **Count 8**

2 **Failure to Maintain Campaign Records**

3 79. Complainant incorporates paragraphs 1 – 78 of this Accusation, as though completely set
4 forth here.

5 80. The Committee and Levine had a duty to maintain adequate source documentation and
6 records sufficient to substantiate the reporting for the period occurring between January 1, 2016 through
7 December 13, 2016.

8 81. The Committee and Levine failed to maintain adequate source documentation and records
9 sufficient to substantiate the reporting for the period occurring between January 1, 2016 through December
10 13, 2016.

11 82. By failing to maintain adequate source documentation and records sufficient to substantiate
12 the reporting for the period occurring between January 1, 2016 through December 13, 2016, the
13 Committee and Levine violated Government Code Section 84104.

14 **MITIGATING OR EXCULPATORY FACTORS**

15 83. The Committee and Levine have no prior record of violations.

16 84. The filing violations and failure to maintain records appear to be the result of negligence,
17 as opposed to being deliberate or inadvertent acts.

18 85. The electronic filing of campaign statements provided some disclosure to the public.

19 **AGGRAVATING FACTORS AND OTHER RELEVANT MATERIALS**

20 86. The failure to include the name of the sponsor and the choice to pay for features of the
21 supported candidate, Antonovich, in several slate mailers suggests that the intention was to hide or
22 minimize the role of the sponsor, De Anza Building and Maintenance, Inc., in supporting the candidate,
23 Antonovich. Failing to identify the sponsor deprives the public of transparency as to the true source of
24 political spending.

25 87. The failure to timely file pre-election campaign statements and 24-hour contribution
26 reports causes public harm as it deprives the public of transparency in political spending during the crucial
27 weeks prior to an election.

1 88. As of the date of this Accusation, the Committee and Levine have failed to file all
2 outstanding campaign statements.

3 **PRAYER**

4 WHEREFORE, Complainant prays as follows:

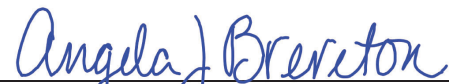
- 5 1. That the Fair Political Practices Commission hold a hearing pursuant to Section 83116 and
6 Regulation 18361.5, and at such hearing find that the Committee and Levine violated the
7 Act as alleged herein;
- 8 2. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
9 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
10 of the Political Reform Act alleged in **Count 1**;
- 11 3. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
12 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
13 of the Political Reform Act alleged in **Count 2**;
- 14 4. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
15 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
16 of the Political Reform Act alleged in **Count 3**;
- 17 5. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
18 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
19 of the Political Reform Act alleged in **Count 4**;
- 20 6. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
21 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
22 of the Political Reform Act alleged in **Count 5**;
- 23 7. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
24 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
25 of the Political Reform Act alleged in **Count 6**;
- 26 8. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
27 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
28 of the Political Reform Act alleged in **Count 7**;

1 9. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
2 order the Committee and Levine to pay a monetary penalty of up to \$5,000 for the violation
3 of the Political Reform Act alleged in **Count 8**;

4 10. That the Fair Political Practices Commission, pursuant to Regulation 18361.5, subdivision
5 (e), consider the following factors in framing a proposed order following a finding of a
6 violation pursuant to Section 83116: (1) the extent and gravity of the public harm caused
7 by the specific violation; (2) the level of experience of the violator with the requirements of
8 the Political Reform Act; (3) penalties previously imposed by the Commission in
9 comparable cases; (4) the presence or absence of any intention to conceal, deceive or
10 mislead; (5) whether the violation was deliberate, negligent or inadvertent; (6) whether the
11 violator demonstrated good faith by consulting the Commission staff or any other
12 government agency in a manner not constituting a complete defense under Section 83114,
13 subdivision (b); (7) whether the violation was isolated or part of a pattern and whether the
14 violator has a prior record of violations of the Act or similar laws; and (8) whether the
15 violator, upon learning of a reporting violation, voluntarily filed amendments to provide
16 full disclosure.

17 11. That the Fair Political Practices Commission grant such other and further relief as it deems
18 just and proper.

19
20 Dated: 01/22/2021



Angela J. Breerton
Chief of Enforcement
Fair Political Practices Commission

Exhibit A-7

BEFORE THE FAIR POLITICAL PRACTICES COMMISSION
STATE OF CALIFORNIA

1
2
3) FPPC Nos. 2017/01397 and 2018/00821
4 In the Matter of)
5 CALIFORNIANS IN SUPPORT OF) AFFIDAVIT OF SERVICE:
6 MIKE ANTONOVICH STATE SENATE) SHELLEY LEVINE
7 2016 and SHELLEY LEVINE,)
8 Respondents.)

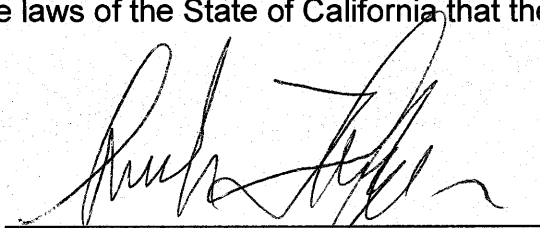
9 I, SARAH THOMPSON, am over the age of 18 and not a party to the within
10 action. I am a registered California Process Server, Los Angeles County #6679. My
11 business address is 1439 N. Highland Ave., #274, Los Angeles, CA 90028. The phone
12 number is 323-508-1711. If called upon to testify in this matter to verify the facts of this
13 service I could and would.

14 On January 22, 2021, I received the "Statement to Respondents, Accusation,
15 two Notice of Defense, two sets of Grounds For Notice of Defense, two sets of Office
16 of Administrative Hearings State of California Consent to Electronic Service (E-Service
17 or "SFT"), two sets of Office of Administrative Hearings State of California Privacy
18 Notice, California Government Code Sections 11506 through 11508, and the Proof of
19 Service."

20 On January 25, 2021 at 6:07PM, at [REDACTED]
21 [REDACTED] I personally served the above documents to Shelley Levine, who confirmed her
22 identity. Shelley Levine is described as female white, about 5'4" tall, around 115
23 pounds, brown hair, brown eyes, in her mid to late 40's.

24 I declare under penalty of perjury and the laws of the State of California that the
25 foregoing is true and correct.

Executed on February 3, 2021
Date



Sarah Thompson

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, California 95811. On 1/22/2021, I served the following document(s):

1. Statement to Respondent;
2. FPPC Case No. 2017/01397 and 2018/00821: Accusation;
3. Notice of Defense (Two Copies);
4. Selected Sections of the California Government Code, Administrative Procedure Act.

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By personal service. At 4:10 a.m./p.m.:


I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By providing the document(s) listed above with instructions for registered process server to personally deliver the envelope(s) to the person(s) at the address(es) set forth on the service list below. **The signed proof of service by the registered process server will be attached as soon as it is available.**

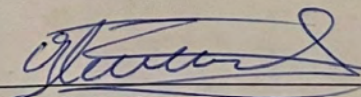
I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Personal Service

Shelley Levine


I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on 1/22/2021.



Suzanna Gevorkyan

Exhibit A-8



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3000 • Sacramento, CA 95811
(916) 322-5660 • Fax (916) 322-0886

STATEMENT TO RESPONDENTS

[Government Code Section 11505, subdivision (b)]

***Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine
FPPC Case No. 2017/01397 and 2018/00821***

Enclosed is an Accusation, which was filed with the Fair Political Practices Commission (the “FPPC”) and which is hereby served upon you, along with two copies of a Notice of Defense and Government Code Sections 11506 through 11508.

Unless a written request for a hearing signed by you or on your behalf is delivered or mailed to the FPPC within 15 days after the Accusation was served on you, the FPPC may proceed upon the Accusation without a hearing. The request for a hearing may be made by delivering or mailing the enclosed form entitled Notice of Defense, or by delivering or mailing a notice of defense as provided by Section 11506 of the Government Code to the Commission Assistant at the FPPC.

You may, but need not, be represented by counsel at any or all stages of these proceedings.

If you desire a list of the names and addresses of witnesses against you, or an opportunity to inspect and copy the items mentioned in Section 11507.6 of the Government Code that are in the possession, custody, or control of this agency, or if you wish to discuss the possibility of resolving this matter without a formal hearing, you may contact Theresa Gilbertson, Commission Counsel, at (916) 323-6421 or tgilbertson@fppc.ca.gov, Enforcement Division.

The hearing may be postponed for good cause. If you have good cause, you are obliged to notify the FPPC or, if an administrative law judge has been assigned to the hearing, the Office of Administrative Hearings, within 10 working days after you discover the good cause. Failure to give notice within 10 days will deprive you of a postponement.

After a hearing, the FPPC will consider the following factors in determining whether to assess a penalty (Title 2, California Code of Regulations, Section 18361.5, subdivision (d).):

1. The seriousness of the violation;
2. The presence or absence of any intention to conceal, deceive, or mislead;
3. Whether the violation was deliberate, negligent, or inadvertent;
4. Whether the violator demonstrated good faith by consulting Commission staff or any other government agency in a manner not constituting a complete defense under Government Code Section 83114, subdivision (b);
5. Whether the violation was isolated or part of a pattern;
6. Whether the violator has a prior record of violations of the Political Reform Act or similar laws; and
7. Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
)	
Californians in Support of Mike)	FPPC Case No. 2017/01397 and
Antonovich State Senate 2016 and)	2018/00821
Shelley Levine,)	
)	
)	
Respondents.)	

Shelley Levine, a respondent named in the above entitled proceeding and on behalf of the Committee, Californians in Support of Mike Antonovich State Senate 2016, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

By law, this *NOTICE OF DEFENSE*, must be in writing and include your mailing address.

You may include your email address and telephone number and indicate if you agree to service by electronic means. If you wish to receive service through electronic means, you must complete and sign the Consent to Electronic Service Agreement (OAH 44). (Enclosed)

See information regarding the Office of Administrative Hearings Secure eFile Transfer (SFT) system at <https://www.dgs.ca.gov/OAH/Services/Page-Content/Office-of-Administrative-Hearings-Services-List-Folder/OAH-Secure-e-File-Information>

GROUNDNS FOR NOTICE OF DEFENSE

- 1) I request a hearing;
- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
 - b) I admit the Accusation in part as indicated below:

- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip

Email address

Phone number

- By checking this box, I agree to accept service using the OAH e-File Transfer System. I have enclosed the Consent to Electronic Service Agreement (OAH 44).

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or “SFT”) Agreement

Attention: In an effort to expedite the service of documents, the Office of Administrative Hearings (OAH) allows parties to receive documents electronically. By completing this form, you are agreeing to receive your documents from OAH by Secure e-File (SFT). You may access the OAH secure e-File system at <https://www.applications.dgs.ca.gov/oah/oahsftweb> to register for an account, if you have not done so already.

Instructions

1. Complete the form.
2. Requestor information. Enter the firm/agency name, requestor's name, telephone number and the program(s) to which this form will apply.
3. Method of Service. Select the method of service and complete the contact information as applicable. Remove previous names.
4. Terms and Conditions. Read the terms and conditions. Select a condition in which this form will apply. Complete the signature authorizing service of process.

5. Submit the completed form using the Office of Administrative Hearings Secure File Transfer System at: <https://www.applications.dgs.ca.gov/oah/oahsftweb>.

One agreement must be submitted per person, per LEA, or per Governmental Agency or Law Firm, as applicable.

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or "SFT") Agreement

Requestor Information

Full Name of Firm/Agency Requesting

Full Name of Person Requesting

Telephone Number

Please identify the program(s) to which this applies

Method of Service (Select ONE option)

The Office of Administrative Hearings will serve your documents according to the option indicated below.

Complete the information for the desired service option selected below.

Option #1 Secure e-File (SFT) Only

Option #2 U.S. Mail + Secure e-File (SFT)

Requestor's Email Address

Additional Email Addresses for Copies

Mailing Address (if mail option selected)

Remove the following additional email address(es) related to the attorney named above

Do not remove any names already in place

Terms and Conditions (Select ONE option)

By signing this form, you acknowledge and agree to receive documents from OAH according to the option selected above until notified otherwise. In the event that your contact information should change it is your responsibility to notify OAH.

I agree to accept service of documents from OAH by the option selected above for ALL current and future cases with OAH.

I no longer wish to participate in electronic service. Please cancel my previous agreement.

Requestor's Signature

By checking this box and typing my name below, I am electronically signing this agreement.

Date

Title of person making this request

For multiple requestors, you may attach an additional sheet containing a list of each requestor's contact information and additional email address(es) to be applied to each requestor.

For E-filing <https://www.applications.dgs.ca.gov/oah/oahsftweb>

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Privacy Notice

This notice is provided pursuant to the Information Practices Act of 1977 (Civil Code, Section 1798 et seq.).

All information and records submitted to OAH may be subject to disclosure in accordance with the California Public Records Act (Government Code, Section 6250 et seq.), and other applicable authority unless expressly prohibited by law. Proceedings before OAH and records held by OAH are public unless otherwise provided by statute (Government Code, Section 11425.20). For example, the Family Educational Rights and Privacy Act (FERPA 20 United States Code Section 1232(g)) recognizes privacy rights to educational records in certain limited circumstances. It is the obligation of the parties to determine if case filings or proceedings require privacy protections. OAH cannot provide legal advice.

The Information Practices Act requires OAH to provide notice to individuals who submit personal information to OAH.

- 1) This notice does not apply to information provided by an agency or to routine contact information collected by OAH for the purpose of identification or communication regarding the case.

- 2) To the extent this form seeks information about a need for accommodation, OAH requests the information for the sole purpose of making a determination about the accommodation an individual is seeking. An individual seeking an accommodation is not required to use this form; it is provided as a convenience only. OAH can request this information in accordance with the Americans with Disabilities Act (42 United State Code Section 12101 et seq.).

- 3) Requests for Public Records or information maintained in accordance with the Information Practices Act shall be directed to the OAH Public Records Officer, 2349 Gateway Oaks Drive, Suite 200, Sacramento, CA 95833, (916) 263-0550, or OAHPR@[dgs.ca.gov](mailto:OAHPR@dgs.ca.gov).



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
)	
Californians in Support of Mike)	FPPC Case No. 2017/01397 and
Antonovich State Senate 2016 and)	2018/00821
Shelley Levine,)	
)	
)	
Respondents.)	

Shelley Levine, a respondent named in the above entitled proceeding and on behalf of the Committee, Californians in Support of Mike Antonovich State Senate 2016, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

By law, this *NOTICE OF DEFENSE*, must be in writing and include your mailing address.

You may include your email address and telephone number and indicate if you agree to service by electronic means. If you wish to receive service through electronic means, you must complete and sign the Consent to Electronic Service Agreement (OAH 44). (Enclosed)

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GROUNDNS FOR NOTICE OF DEFENSE

- 1) I request a hearing;
- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
 - b) I admit the Accusation in part as indicated below:

- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip

Email address

Phone number

- By checking this box, I agree to accept service using the OAH e-File Transfer System. I have enclosed the Consent to Electronic Service Agreement (OAH 44).

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or “SFT”) Agreement

Attention: In an effort to expedite the service of documents, the Office of Administrative Hearings (OAH) allows parties to receive documents electronically. By completing this form, you are agreeing to receive your documents from OAH by Secure e-File (SFT). You may access the OAH secure e-File system at <https://www.applications.dgs.ca.gov/oah/oahsftweb> to register for an account, if you have not done so already.

Instructions

1. Complete the form.
2. Requestor information. Enter the firm/agency name, requestor’s name, telephone number and the program(s) to which this form will apply.
3. Method of Service. Select the method of service and complete the contact information as applicable. Remove previous names.
4. Terms and Conditions. Read the terms and conditions. Select a condition in which this form will apply. Complete the signature authorizing service of process.

5. Submit the completed form using the Office of Administrative Hearings Secure File Transfer System at: <https://www.applications.dgs.ca.gov/oah/oahsftweb>.

One agreement must be submitted per person, per LEA, or per Governmental Agency or Law Firm, as applicable.

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

Consent to Electronic Service (E-Service or "SFT") Agreement

Requestor Information

Full Name of Firm/Agency Requesting

Full Name of Person Requesting

Telephone Number

Please identify the program(s) to which this applies

Method of Service (Select ONE option)

The Office of Administrative Hearings will serve your documents according to the option indicated below.

Complete the information for the desired service option selected below.

Option #1 Secure e-File (SFT) Only

Option #2 U.S. Mail + Secure e-File (SFT)

Requestor's Email Address

Additional Email Addresses for Copies

Mailing Address (if mail option selected)

Remove the following additional email address(es) related to the attorney named above

Do not remove any names already in place

Terms and Conditions (Select ONE option)

By signing this form, you acknowledge and agree to receive documents from OAH according to the option selected above until notified otherwise. In the event that your contact information should change it is your responsibility to notify OAH.

I agree to accept service of documents from OAH by the option selected above for ALL current and future cases with OAH.

I no longer wish to participate in electronic service. Please cancel my previous agreement.

Requestor's Signature

By checking this box and typing my name below, I am electronically signing this agreement.

Date

Title of person making this request

For multiple requestors, you may attach an additional sheet containing a list of each requestor's contact information and additional email address(es) to be applied to each requestor.

For E-filing <https://www.applications.dgs.ca.gov/oah/oahsftweb>

OFFICE OF ADMINISTRATIVE HEARINGS

STATE OF CALIFORNIA

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The Information Practices Act requires OAH to provide notice to individuals who submit personal information to OAH.

- 1) This notice does not apply to information provided by an agency or to routine contact information collected by OAH for the purpose of identification or communication regarding the case.

- 2) To the extent this form seeks information about a need for accommodation, OAH requests the information for the sole purpose of making a determination about the accommodation an individual is seeking. An individual seeking an accommodation is not required to use this form; it is provided as a convenience only. OAH can request this information in accordance with the Americans with Disabilities Act (42 United State Code Section 12101 et seq.).

- 3) Requests for Public Records or information maintained in accordance with the Information Practices Act shall be directed to the OAH Public Records Officer, 2349 Gateway Oaks Drive, Suite 200, Sacramento, CA 95833, (916) 263-0550, or OAHPR@dgs.ca.gov.

California Government Code sections 11506 through 11508

§ 11506. Filing of notice of defense or notice of participation; Contents; Right to hearing on the merits

(a) Within 15 days after service of the accusation or District Statement of Reduction in Force the respondent may file with the agency a notice of defense, or, as applicable, notice of participation, in which the respondent may:

(1) Request a hearing.

(2) Object to the accusation or District Statement of Reduction in Force upon the ground that it does not state acts or omissions upon which the agency may proceed.

(3) Object to the form of the accusation or District Statement of Reduction in Force on the ground that it is so indefinite or uncertain that the respondent cannot identify the transaction or prepare a defense.

(4) Admit the accusation or District Statement of Reduction in Force in whole or in part.

(5) Present new matter by way of defense.

(6) Object to the accusation or District Statement of Reduction in Force upon the ground that, under the circumstances, compliance with the requirements of a regulation would result in a material violation of another regulation enacted by another department affecting substantive rights.

(b) Within the time specified the respondent may file one or more notices of defense, or, as applicable, notices of participation, upon any or all of these grounds but all of these notices shall be filed within that period unless the agency in its discretion authorizes the filing of a later notice.

(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense or notice of participation, and the notice shall be deemed a specific denial of all parts of the accusation or District Statement of Reduction in Force not expressly admitted. Failure to file a notice of defense or notice of participation shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing. Unless objection is taken as provided in paragraph (3) of subdivision (a), all objections to the form of the accusation or District Statement of Reduction in Force shall be deemed waived.

(d) The notice of defense or notice of participation shall be in writing signed by or on behalf of the respondent and shall state the respondent's mailing address. It need not be verified or follow any particular form.

(e) As used in this section, "file," "files," "filed," or "filing" means "delivered or mailed" to the agency as provided in Section 11505.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 931 § 1; Stats 1982 ch 606 § 1; Stats 1986 ch 951 § 20; Stats 1995 ch 938 § 29 (SB 523), operative July 1, 1997; Stats 2013 ch 90 § 5 (SB 546), effective January 1, 2014.

§ 11507. Amended or supplemental accusation or District Statement of Reduction in Force; Objections

At any time before the matter is submitted for decision, the agency may file, or permit the filing of, an amended or supplemental accusation or District Statement of Reduction in Force. All parties shall be notified of the filing. If the amended or supplemental accusation or District Statement of Reduction in Force presents new charges, the agency shall afford the respondent a reasonable opportunity to prepare his or her defense to the new charges, but he or she shall not be entitled to file a further pleading unless the agency in its discretion so orders. Any new charges shall be deemed controverted, and any objections to the amended or supplemental accusation or District Statement of Reduction in Force may be made orally and shall be noted in the record.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 2013 ch 90 § 6 (SB 546), effective January 1, 2014; Stats 2014 ch 71 § 69 (SB 1304), effective January 1, 2015.

§ 11507.3. Consolidated proceedings; Separate hearings

(a) When proceedings that involve a common question of law or fact are pending, the administrative law judge on the judge's own motion or on motion of a party may order a joint hearing of any or all the matters at issue in the proceedings. The administrative law judge may order all the proceedings consolidated and may make orders concerning the procedure that may tend to avoid unnecessary costs or delay.

(b) The administrative law judge on the judge's own motion or on motion of a party, in furtherance of convenience or to avoid prejudice or when separate hearings will be conducive to expedition and economy, may order a separate hearing of any issue, including an issue raised in the notice of defense or notice of participation, or of any number of issues.

HISTORY: Added Stats 1995 ch 938 § 30 (SB 523), operative July 1, 1997. Amended Stats 2013 ch 90 § 7 (SB 546), effective January 1, 2014.

///

§ 11507.5. Exclusivity of discovery provisions

The provisions of Section 11507.6 provide the exclusive right to and method of discovery as to any proceeding governed by this chapter.

HISTORY: Added Stats 1968 ch 808 § 3.

§ 11507.6. Request for discovery

After initiation of a proceeding in which a respondent or other party is entitled to a hearing on the merits, a party, upon written request made to another party, prior to the hearing and within 30 days after service by the agency of the initial pleading or within 15 days after the service of an additional pleading, is entitled to (1) obtain the names and addresses of witnesses to the extent known to the other party, including, but not limited to, those intended to be called to testify at the hearing, and (2) inspect and make a copy of any of the following in the possession or custody or under the control of the other party:

(a) A statement of a person, other than the respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the respondent as to this person is the basis for the administrative proceeding;

(b) A statement pertaining to the subject matter of the proceeding made by any party to another party or person;

(c) Statements of witnesses then proposed to be called by the party and of other persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, not included in (a) or (b) above;

(d) All writings, including, but not limited to, reports of mental, physical and blood examinations and things which the party then proposes to offer in evidence;

(e) Any other writing or thing which is relevant and which would be admissible in evidence;

(f) Investigative reports made by or on behalf of the agency or other party pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of his or her investigation, or (3) contain or include by attachment any statement or writing described in (a) to (e), inclusive, or summary thereof.

For the purpose of this section, "statements" include written statements by the person signed or otherwise authenticated by him or her, stenographic, mechanical, electrical or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements.

Nothing in this section shall authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as the attorney's work product.

HISTORY: Added Stats 1968 ch 808 § 4. Amended Stats 1985 ch 1328 § 5; Stats 1995 ch 938 § 31 (SB 523), operative July 1, 1997.

§ 11507.7. Motion to compel discovery; Order

(a) Any party claiming the party's request for discovery pursuant to Section 11507.6 has not been complied with may serve and file with the administrative law judge a motion to compel discovery, naming as respondent the party refusing or failing to comply with Section 11507.6. The motion shall state facts showing the respondent party failed or refused to comply with Section 11507.6, a description of the matters sought to be discovered, the reason or reasons why the matter is discoverable under that section, that a reasonable and good faith attempt to contact the respondent for an informal resolution of the issue has been made, and the ground or grounds of respondent's refusal so far as known to the moving party.

(b) The motion shall be served upon respondent party and filed within 15 days after the respondent party first evidenced failure or refusal to comply with Section 11507.6 or within 30 days after request was made and the party has failed to reply to the request, or within another time provided by stipulation, whichever period is longer.

(c) The hearing on the motion to compel discovery shall be held within 15 days after the motion is made, or a later time that the administrative law judge may on the judge's own motion for good cause determine. The respondent party shall have the right to serve and file a written answer or other response to the motion before or at the time of the hearing.

(d) Where the matter sought to be discovered is under the custody or control of the respondent party and the respondent party asserts that the matter is not a discoverable matter under the provisions of Section 11507.6, or is privileged against disclosure under those provisions, the administrative law judge may order lodged with it matters provided in subdivision (b) of *Section 915 of the Evidence Code* and examine the matters in accordance with its provisions.

(e) The administrative law judge shall decide the case on the matters examined in camera, the papers filed by the parties, and such oral argument and additional evidence as the administrative law judge may allow.

(f) Unless otherwise stipulated by the parties, the administrative law judge shall no later than 15 days after the hearing make its order denying or granting the motion. The order shall be in writing setting forth the matters the moving party is entitled to discover under Section 11507.6. A copy of the order shall forthwith be served by mail by the administrative law judge upon the parties. Where the order grants the motion in whole or in part, the order shall not become

effective until 10 days after the date the order is served. Where the order denies relief to the moving party, the order shall be effective on the date it is served.

HISTORY: Added Stats 1968 ch 808 § 5. Amended Stats 1971 ch 1303 § 8; Stats 1980 ch 548 § 2; Stats 1995 ch 938 § 32 (SB 523), operative July 1, 1997.

§ 11508. Time and place of hearing

(a) The agency shall consult the office, and subject to the availability of its staff, shall determine the time and place of the hearing. The hearing shall be held at a hearing facility maintained by the office in Sacramento, Oakland, Los Angeles, or San Diego and shall be held at the facility that is closest to the location where the transaction occurred or the respondent resides.

(b) Notwithstanding subdivision (a), the hearing may be held at either of the following places:

(1) A place selected by the agency that is closer to the location where the transaction occurred or the respondent resides.

(2) A place within the state selected by agreement of the parties.

(c) The respondent may move for, and the administrative law judge has discretion to grant or deny, a change in the place of the hearing. A motion for a change in the place of the hearing shall be made within 10 days after service of the notice of hearing on the respondent.

Unless good cause is identified in writing by the administrative law judge, hearings shall be held in a facility maintained by the office.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 710 § 1; Stats 1967 ch 17 § 39; Stats 1987 ch 50 § 1; Stats 1995 ch 938 § 33 (SB 523), operative July 1, 1997; Stats 2005 ch 674 § 22 (SB 231), effective January 1, 2006.

Exhibit A-9

**Statement of Organization
Recipient Committee**

1384178

Statement Type

Initial

Not yet qualified or

Amendment

List I.D. number:

_____/_____/_____
Date qualified as committee

_____/_____/_____
Date qualified as committee
(If applicable)

Termination - See Part 5

List I.D. number:

_____/_____/_____
Date of Termination

RECEIVED AND FILED

in the office of the Secretary of State
of the State of California

MAR 22 2016

Date Stamp

**CALIFORNIA
FORM 410**

For Official Use Only

RH
P

[Handwritten Signature]

1. Committee Information

NAME OF COMMITTEE

Californians in support of Mike Antonovich State Senate 2016

STREET ADDRESS (NO P.O. BOX)

12400 Ventura Blvd., Ste 363

CITY

Studio City

STATE

CA 91604

ZIP CODE

AREA CODE/PHONE

(818) 486-6313

MAILING ADDRESS (IF DIFFERENT)

13038 Landale Street, Studio City, CA 91604

FAX / E-MAIL ADDRESS

shelleylevine@rocketmail.com

COUNTY OF DOMICILE

Los Angeles

JURISDICTION WHERE COMMITTEE IS ACTIVE

Los Angeles

2. Treasurer and Other Principal Officers

NAME OF TREASURER

Shelley Levine

STREET ADDRESS (NO P.O. BOX)

13038 Landale Street

CITY

Studio City

STATE

CA

ZIP CODE

91604

AREA CODE/PHONE

(818) 486-6313

NAME OF ASSISTANT TREASURER, IF ANY

STREET ADDRESS (NO P.O. BOX)

CITY

STATE

ZIP CODE

AREA CODE/PHONE

NAME OF PRINCIPAL OFFICER(S)

Shelley Levine

STREET ADDRESS (NO P.O. BOX)

13038 Landale Street

CITY

Studio City

STATE

CA

ZIP CODE

91604

AREA CODE/PHONE

(818) 486-6313

Attach additional information on appropriately labeled continuation sheets.

3. Verification

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on March 21, 2016

Executed on

DATE

By

[Handwritten Signature]

SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on

DATE

By

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on

DATE

By

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on

DATE

By

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**Statement of Organization
Recipient Committee**

INSTRUCTIONS ON REVERSE

**CALIFORNIA
FORM 410**

Page 2

COMMITTEE NAME

Californians in support of Mike Antonovich State Senate 2016

I.D. NUMBER

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION First Republic Bank	AREA CODE/PHONE	BANK ACCOUNT NUMBER TBD	
ADDRESS 888 S. Figueroa Street Suite 100	CITY Los Angeles	STATE CA	ZIP CODE 90017

4. Type of Committee Complete the applicable sections.

Controlled Committee

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan."
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY
			<input type="checkbox"/> Nonpartisan
			<input type="checkbox"/> Nonpartisan

Primarily Formed Committee

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER)	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
Mike Antonovich	State Senate 25	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

Exhibit A-10

**Recipient Committee
Campaign Statement
Cover Page**

(Government Code Sections 84200-84216.5)

Type or print in ink.

COVER PAGE

COPY

SEE INSTRUCTIONS ON REVERSE

Date Stamp	CALIFORNIA 2001/02 FORM	460
RECEIVED AND FILED		Page 1 of 12
in the office of the Secretary of State of the State of California		For Official Use Only
JUN 19 2017 NO PM		

Statement covers period
from 3/21/2016
through 4/25/2016

Date of election if applicable:
(Month, Day, Year)
6/7/2016

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- | | |
|---|--|
| <input type="checkbox"/> Officeholder, Candidate Controlled Committee
<input type="radio"/> State Candidate Election Committee
<input type="radio"/> Recall
<i>(Also Complete Part 5)</i> | <input type="checkbox"/> Primarily Formed Ballot Measure Committee
<input type="radio"/> Controlled
<input type="radio"/> Sponsored
<i>(Also Complete Part 6)</i> |
| <input type="checkbox"/> General Purpose Committee
<input type="radio"/> Sponsored
<input type="radio"/> Small Contributor Committee
<input type="radio"/> Political Party/Central Committee | <input checked="" type="checkbox"/> Primarily Formed Candidate/Officeholder Committee
<i>(Also Complete Part 7)</i> |

2. Type of Statement:

- | | |
|---|---|
| <input checked="" type="checkbox"/> Preelection Statement | <input type="checkbox"/> Quarterly Statement |
| <input type="checkbox"/> Semi-annual Statement | <input type="checkbox"/> Special Odd-Year Report |
| <input type="checkbox"/> Termination Statement
<i>(Also file a Form 410 Termination)</i> | <input type="checkbox"/> Supplemental Preelection Statement - Attach Form 495 |
| <input type="checkbox"/> Amendment (Explain below) | |

3. Committee Information

I.D. NUMBER
1384178

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

STREET ADDRESS (NO P.O. BOX)
12400 VENTURA BLVD STE 363

CITY	STATE	ZIP CODE	AREA CODE/PHONE
STUDIO CITY	CA	91604	(818) 486-6313

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX
13038 LANDALE ST

CITY	STATE	ZIP CODE	AREA CODE/PHONE
STUDIO CITY	CA	91604	

OPTIONAL: FAX / E-MAIL ADDRESS
shelleylevine@rocketmail.com

Treasurer(s)

NAME OF TREASURER
SHELLEY LEVINE

MAILING ADDRESS
13808 LANDALE STREET

CITY	STATE	ZIP CODE	AREA CODE/PHONE
STUDIO CITY	CA	91604	(818) 486-6313

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
------	-------	----------	-----------------

OPTIONAL: FAX / E-MAIL ADDRESS
Treasurer: SHELLEYLEVINE@ROCKETMAIL.COM

4. Verification

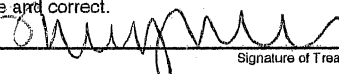
I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 4/25/2016
Date

Executed on _____
Date

Executed on _____
Date

Executed on _____
Date

By 
Signature of Treasurer or Assistant Treasurer

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Recipient Committee Campaign Statement Cover Page - Part 2

Type or print in ink.

COVER PAGE - PART 2

CALIFORNIA
FORM **460**

Page 2 of 12

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)	
CITY STATE ZIP CODE	AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)	
CITY STATE ZIP CODE	AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER

JURISDICTION

SUPPORT
 OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

7. Primarily Formed Candidate/Officeholder Committee

List names of

officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE MIKE ANTONOVICH	OFFICE SOUGHT OR HELD State Senator District 25	<input checked="" type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

Campaign Disclosure Statement Summary Page

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
	Page <u>3</u> of <u>12</u>
I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
Contributions Received		
1. Monetary Contributions Schedule A, Line 3	\$100,000.00	\$100,000.00
2. Loans Received Schedule B, Line 3	\$0.00	\$0.00
3. SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2	\$100,000.00	\$100,000.00
4. Nonmonetary Contributions Schedule C, Line 3	\$0.00	\$0.00
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines 3 + 4	\$100,000.00	\$100,000.00

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections		
	1/1 through 6/30	7/1 to Date
20. Contributions Received		
21. Expenditures Made		

Expenditures Made		
6. Payments Made Schedule E, Line 4	\$53,394.00	\$53,394.00
7. Loans Made Schedule H, Line 3	\$0.00	\$0.00
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$53,394.00	\$53,394.00
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	\$0.00	\$0.00
10. Nonmonetary Adjustment Schedule C, Line 3	\$0.00	\$0.00
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9 + 10	\$53,394.00	\$53,394.00

Expenditure Limit Summary for State Candidates		
22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)		
Date of Election (mm/dd/yy)	Total to Date	

Current Cash Statement	
12. Beginning Cash Balance Previous Summary Page, Line 16	\$0.00
13. Cash Receipts Column A, Line 3 above	\$100,000.00
14. Miscellaneous Increases to Cash Schedule I, Line 4	\$0.00
15. Cash Payments Column A, Line 8 above	\$53,394.00
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Line 15	\$46,606.00

To calculate Column B, add amounts in Column A to the corresponding amount from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

Amounts in this section may be different from amounts reported in Column B.

17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$0.00
Cash Equivalents and Outstanding Debts	
18. Cash Equivalents See instructions on reverse	\$0.00
19. Outstanding Debts Add Line 2 + Line 9 in Column B above	\$0.00

Schedule A Monetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
	Page <u>4</u> of <u>12</u>
I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
4/4/2016	DEANZA PROPERTIES 960 N. SAN ANTONIO ROAD SUITE 114 LOS ALTOS, CA 94022	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$100,000.00	\$100,000.00	2016 P: \$100,000.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
SUBTOTAL \$						

Schedule A Summary

1. Amount received this period - itemized monetary contributions. (Include all Schedule A subtotals.)	\$100,000.00
2. Amount received this period - unitemized monetary contributions of less than \$100	\$0.00
3. Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.)	TOTAL \$100,000.00

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

Schedule B - Part 1 Loans Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE B - PART 1

Statement covers period
from 3/21/2016
through 4/25/2016

CALIFORNIA FORM 460

Page 5 of 12

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*		(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID					CALENDAR YEAR
				<input type="checkbox"/> FORGIVEN					RATE %
						DATE DUE		DATE INCURRED	
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID					CALENDAR YEAR
				<input type="checkbox"/> FORGIVEN					RATE %
						DATE DUE		DATE INCURRED	
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID					CALENDAR YEAR
				<input type="checkbox"/> FORGIVEN					RATE %
						DATE DUE		DATE INCURRED	
		SUBTOTAL \$		\$	\$	\$	\$		

(Enter (e) on
Schedule E, Line 3)

Schedule B Summary

1. Loans received this period \$0.00
(Total Column (b) plus unitemized loans of less than \$100.)
2. Loans paid or forgiven this period \$0.00
(Total Column (c) plus loans under \$100 paid or forgiven.)
(Include loans paid by a third party that are also itemized on Schedule A.)
3. Net change this period. (Subtract Line 2 from Line 1.) NET \$0.00
Enter the net here and on the Summary Page, Column A, Line 2. (May be a negative number)

***Contributor Codes**
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

*Amounts forgiven or paid by another party also must be reported on Schedule A.

** If required.

Schedule C Nonmonetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
	Page <u>6</u> of <u>12</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					

Attach additional information on appropriately labeled continuation sheets. **SUBTOTAL \$**

Schedule C Summary

1. Amount received this period - itemized nonmonetary contributions. (Include all Schedule C subtotals.)	\$0.00
2. Amount received this period - unitemized nonmonetary contributions of less than \$100	\$0.00
3. Total nonmonetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.)	TOTAL \$0.00

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

Schedule D Summary of Expenditures Supporting/Opposing Other Candidates, Measures and Committees

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE D

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
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I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

DATE	NAME OF CANDIDATE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
4/25/2016	MIKE ANTONOVICH State Senator District 25 Jurisdiction: State Senate District	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure	SLATE	\$52,644.00	\$52,644.00	2016 P: \$32,450. 2016 P: \$12,277. 2016 P: \$7,917.0
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
SUBTOTAL \$						

Schedule D Summary

- | | |
|--|-------------|
| 1. Itemized contributions and independent expenditures made this period. (Include all Schedule D subtotals.) | \$52,644.00 |
| 2. Unitemized contributions and independent expenditures made this period of under \$100 | \$0.00 |
| 3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) | \$52,644.00 |

Schedule E Payments Made

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
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I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
LANDSLIDE COMMUNICATIONS 30011 IVY GLENN DRIVE STE. 223 LAGUNA NIGUEL, CA 92677	LIT		\$32,450.00
BUDGET WATCHDOGS 1954 W. CARSON STREET SUITE B TORRANCE, CA 90501	LIT		\$12,277.00
CITIZENS FOR GOOD GOVERNMENT 728 W. EDNA PLACE COVINA, CA 91722	LIT		\$7,917.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$

Schedule E Summary

1. Itemized payment made this period. (Include all Schedule E subtotals.)	\$53,394.00
2. Unitemized payments made this period of under \$100	\$0.00
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	\$53,394.00

**Schedule E
(Continuation Sheet)
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E (CONT.)

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
POLITICAL DATA INC PO BOX 90652 NORWALK, CA 90652	POL		\$700.00
SECRETARY OF STATE 1500 11th St SACRAMENTO, CA 95814	FIL		\$50.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$

Schedule F Accrued Expenses (Unpaid Bills)

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE F

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSING OF THIS PERIOD
SUBTOTAL \$					

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

Schedule F Summary

1. Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.).....	INCURRED TOTALS	<u>\$0.00</u>
2. Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.).....	PAID TOTALS	<u>\$0.00</u>
3. Net change this period. (Subtract Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.).....	NET	<u>\$0.00</u> <small>(May be a negative number)</small>

Schedule H Loans Made to Others*

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE H

Statement covers period from <u>3/21/2016</u> through <u>4/25/2016</u>	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*		(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
		SUBTOTAL	\$	\$	\$	\$			

*Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E.

(Enter (e) on
Schedule I, Line 3)

Schedule H Summary

- Loans made this period \$0.00
(Total Column (b) plus unitemized loans of less than \$100.)
- Payments received on loans \$0.00
(Total Column (c) plus unitemized payments of less than \$100.)
- Net change this period. (Subtract Line 2 from Line 1.) **NET** \$0.00
Enter the net here and on the Summary Page, Column A, Line 7.
(May be a negative number)

** If required.

Exhibit A-11

COPY

Type or print in ink.

COVER PAGE

Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

RECEIVED AND FILED
in the office of the Secretary of State
of the State of California
JUN 02 2016

Date Stamp

CALIFORNIA 2001/02 FORM 460

Page 1 of 13

For Official Use Only

Statement covers period

from 4/26/2016

through 5/26/2016

Date of election if applicable:
(Month, Day, Year)

6/7/2016

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
 - State Candidate Election Committee
 - Recall
 - (Also Complete Part 5)*
- General Purpose Committee
 - Sponsored
 - Small Contributor Committee
 - Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
 - Controlled
 - Sponsored
 - (Also Complete Part 6)*
- Primarily Formed Candidate/Officeholder Committee
 - (Also Complete Part 7)*

2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement
(Also file a Form 410 Termination)
- Amendment *(Explain below)*
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

3. Committee Information

I.D. NUMBER
1384178

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

Treasurer(s)

NAME OF TREASURER
Shelley Levine

MAILING ADDRESS
13038 Landale Street

CITY Studio City	STATE CA	ZIP CODE 91604	AREA CODE/PHONE (818) 486-6313
---------------------	-------------	-------------------	-----------------------------------

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
------	-------	----------	-----------------

OPTIONAL: FAX / E-MAIL ADDRESS
Treasurer: shelleylevine@rocketmail.com

4. Verification

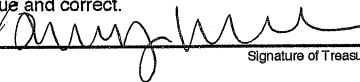
I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 5/31/2016 _____
Date

Executed on _____
Date

Executed on _____
Date

Executed on _____
Date

By  _____
Signature of Treasurer or Assistant Treasurer

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Recipient Committee Campaign Statement Cover Page - Part 2

Type or print in ink.

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME I.D. NUMBER

NAME OF TREASURER CONTROLLED COMMITTEE?
 YES NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME I.D. NUMBER

NAME OF TREASURER CONTROLLED COMMITTEE?
 YES NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION
 SUPPORT
 OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

7. Primarily Formed Candidate/Officeholder Committee List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD
 Mike Antonovich State Senator District 25
 SUPPORT
 OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD
 SUPPORT
 OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD
 SUPPORT
 OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD
 SUPPORT
 OPPOSE

Attach continuation sheets if necessary

Campaign Disclosure Statement Summary Page

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

Contributions Received		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions	Schedule A, Line 3	\$0.00	\$100,000.00
2. Loans Received	Schedule B, Line 3	\$0.00	\$0.00
3. SUBTOTAL CASH CONTRIBUTIONS	Add Lines 1 + 2	\$0.00	\$100,000.00
4. Nonmonetary Contributions	Schedule C, Line 3	\$0.00	\$0.00
5. TOTAL CONTRIBUTIONS RECEIVED	Add Lines 3 + 4	\$0.00	\$100,000.00

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	_____	_____
21. Expenditures Made	_____	_____

Expenditures Made		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made	Schedule E, Line 4	\$44,827.00	\$94,721.00
7. Loans Made	Schedule H, Line 3	\$0.00	\$0.00
8. SUBTOTAL CASH PAYMENTS	Add Lines 6 + 7	\$44,827.00	\$94,721.00
9. Accrued Expenses (Unpaid Bills)	Schedule F, Line 3	\$0.00	\$0.00
10. Nonmonetary Adjustment	Schedule C, Line 3	\$0.00	\$0.00
11. TOTAL EXPENDITURES MADE	Add Lines 8 + 9 + 10	\$44,827.00	\$94,721.00

Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made*	
(If Subject to Voluntary Expenditure Limit)	
Date of Election (mm/dd/yy)	Total to Date
_____	_____
_____	_____

Current Cash Statement		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)
12. Beginning Cash Balance	Previous Summary Page, Line 16	\$46,606.00
13. Cash Receipts	Column A, Line 3 above	\$0.00
14. Miscellaneous Increases to Cash	Schedule I, Line 4	\$0.00
15. Cash Payments	Column A, Line 8 above	\$44,827.00
16. ENDING CASH BALANCE	Add Lines 12 + 13 + 14, then subtract Line 15	\$1,779.00

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amount from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

Amounts in this section may be different from amounts reported in Column B.

17. LOAN GUARANTEES RECEIVED	Schedule B, Part 2	\$0.00
Cash Equivalents and Outstanding Debts		
18. Cash Equivalents	See instructions on reverse	\$0.00
19. Outstanding Debts	Add Line 2 + Line 9 in Column B above	\$0.00

Schedule A Monetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
4/4/2016	Deanza Properties 960 N. San Antonio Road Suite 114 Los Altos, CA 94022	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$0.00	\$100,000.00	2016 P: \$100,000.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
SUBTOTAL \$						

Schedule A Summary

1. Amount received this period - itemized monetary contributions. (Include all Schedule A subtotals.)	\$0.00
2. Amount received this period - unitemized monetary contributions of less than \$100	\$0.00
3. Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.)	TOTAL \$0.00

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

Schedule B - Part 1 Loans Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
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I.D. NUMBER
1384178

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE	
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID		%		CALENDAR YEAR	
				<input type="checkbox"/> FORGIVEN				RATE	PER ELECTION**
								DATE DUE	DATE INCURRED
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID		%		CALENDAR YEAR	
				<input type="checkbox"/> FORGIVEN				RATE	PER ELECTION**
								DATE DUE	DATE INCURRED
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID		%		CALENDAR YEAR	
				<input type="checkbox"/> FORGIVEN				RATE	PER ELECTION**
								DATE DUE	DATE INCURRED
SUBTOTAL \$				\$	\$	\$	\$		

Schedule B Summary

1. Loans received this period (Total Column (b) plus unitemized loans of less than \$100.)	\$0.00
2. Loans paid or forgiven this period (Total Column (c) plus loans under \$100 paid or forgiven.) (Include loans paid by a third party that are also itemized on Schedule A.)	\$0.00
3. Net change this period. (Subtract Line 2 from Line 1.) NET	\$0.00

Enter the net here and on the Summary Page, Column A, Line 2. (May be a negative number)

***Contributor Codes**
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

*Amounts forgiven or paid by another party also must be reported on Schedule A.
 ** If required.

Schedule C Nonmonetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE C

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
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NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					

Attach additional information on appropriately labeled continuation sheets.

SUBTOTAL \$

Schedule C Summary

1. Amount received this period - itemized nonmonetary contributions. (Include all Schedule C subtotals.)	\$0.00
2. Amount received this period - unitemized nonmonetary contributions of less than \$100	\$0.00
3. Total nonmonetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.)	TOTAL \$0.00

***Contributor Codes**

IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule D
Summary of Expenditures
Supporting/Opposing Other
Candidates, Measures and Committees**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE D

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
	Page <u>7</u> of <u>13</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

DATE	NAME OF CANDIDATE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
5/1/2016	Californians in support of Mike Antonovich State Senate 2016	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	Slate	\$9,242.00	\$9,242.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
4/28/2016	Californians in support of Mike Antonovich State Senate 2016	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	Consultant	\$950.00	\$950.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
5/1/2016	Californians in support of Mike Antonovich State Senate 2016	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	Slate	\$6,135.00	\$6,135.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
SUBTOTAL \$						

Schedule D Summary

- Itemized contributions and independent expenditures made this period. (Include all Schedule D subtotals.) \$44,827.00
- Unitemized contributions and independent expenditures made this period of under \$100 \$0.00
- Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) \$44,827.00

**Schedule D
(Continuation Sheet)
Summary of Expenditures
Supporting/Opposing Other
Candidates, Measures and Committees**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
	Page <u>8</u> of <u>13</u>
I.D. NUMBER 1384178	

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

DATE	NAME OF CANDIDATE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
4/28/2016	Californians in support of Mike Antonovich State Senate 2016	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	Consultant	\$25,000.00	\$25,000.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
4/28/2016	Californians in support of Mike Antonovich State Senate 2016	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	office expenses	\$3,500.00	\$3,500.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
SUBTOTAL \$						

Schedule E Payments Made

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E

Statement covers period from <u>4/26/2016</u>	CALIFORNIA FORM 460
through <u>5/26/2016</u>	
Page <u>9</u> of <u>13</u>	
I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (Internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Darby Levin 13260 Moorpark Street #5 Sherman Oaks, CA 91423	CNS		\$950.00
Michael Berman Inc 8665 Wilshire Blvd Beverly Hills, CA 90210	CNS		\$25,000.00
CALSAL VOTER GUIDE 1954 W. Carson St Suite B Torrance, CA 90501	LIT		\$9,242.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$

Schedule E Summary

1. Itemized payment made this period. (Include all Schedule E subtotals.)	<u>\$44,827.00</u>
2. Unitemized payments made this period of under \$100	<u>\$0.00</u>
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	<u>\$0.00</u>
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	<u>\$44,827.00</u>

**Schedule E
(Continuation Sheet)
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E (CONT.)

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
	Page <u>10</u> of <u>13</u>
I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|---|---|---|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FIL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (Internet, e-mail) |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
California Voter Guide 1954 W. Carson St Suite B Torrance, CA 90501	LIT		\$6,135.00
Californians in support of Mike Antonovich State Senate 2016 13038 Landale Street Studio City, CA 91604	OFC	office expenses	\$3,500.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$

Schedule F Accrued Expenses (Unpaid Bills)

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE F

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
	Page <u>11</u> of <u>13</u>
I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSING OF THIS PERIOD
SUBTOTAL \$			\$	\$	\$

Schedule F Summary

1. Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.).....	INCURRED TOTALS	\$ 0.00
2. Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.).....	PAID TOTALS	\$ 0.00
3. Net change this period. (Subtract Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.).....	NET	\$ 0.00 <small>(May be a negative number)</small>

Schedule H Loans Made to Others*

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE H

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
	Page <u>12</u> of <u>13</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*		(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
		SUBTOTAL	\$	\$	\$	\$			

*Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E.

(Enter (e) on
Schedule I, Line 3)

Schedule H Summary

- Loans made this period \$0.00
(Total Column (b) plus unitemized loans of less than \$100.)
- Payments received on loans \$0.00
(Total Column (c) plus unitemized payments of less than \$100.)
- Net change this period. (Subtract Line 2 from Line 1.) NET \$0.00
Enter the net here and on the Summary Page, Column A, Line 7.
(May be a negative number)

** If required.

**Schedule I
Miscellaneous Increases to Cash**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE I

Statement covers period from <u>4/26/2016</u> through <u>5/26/2016</u>	CALIFORNIA FORM 460
	Page <u>13</u> of <u>13</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH

SUBTOTAL \$

Schedule I Summary

1. Itemized increases to cash this period.	\$0.00
2. Unitemized increases to cash of under \$100 this period.	\$0.00
3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).)	\$0.00
4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) TOTAL	\$0.00

Exhibit A-12

Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

Type or print in ink.

COVER PAGE

COPY

SEE INSTRUCTIONS ON REVERSE

Statement covers period from <u>5/22/2016</u> through <u>6/30/2016</u>	Date of election If applicable: (Month, Day, Year) <u>6/7/2016</u>	Date Stamp <u>NO PM</u>	CALIFORNIA 2001/02 FORM 460
RECEIVED AND FILED in the office of the Secretary of State of the State of California <u>JUN 19 2017.</u>		Page <u>1</u> of <u>11</u> For Official Use Only	

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- | | |
|---|--|
| <input type="checkbox"/> Officeholder, Candidate Controlled Committee
<input type="radio"/> State Candidate Election Committee
<input type="radio"/> Recall
<i>(Also Complete Part 5)</i> | <input type="checkbox"/> Primarily Formed Ballot Measure Committee
<input type="radio"/> Controlled
<input type="radio"/> Sponsored
<i>(Also Complete Part 6)</i> |
| <input type="checkbox"/> General Purpose Committee
<input type="radio"/> Sponsored
<input type="radio"/> Small Contributor Committee
<input type="radio"/> Political Party/Central Committee | <input checked="" type="checkbox"/> Primarily Formed Candidate/Officeholder Committee
<i>(Also Complete Part 7)</i> |

2. Type of Statement:

- | | |
|---|---|
| <input type="checkbox"/> Preelection Statement
<input checked="" type="checkbox"/> Semi-annual Statement
<input type="checkbox"/> Termination Statement
(Also file a Form 410 Termination)
<input type="checkbox"/> Amendment (Explain below) | <input type="checkbox"/> Quarterly Statement
<input type="checkbox"/> Special Odd-Year Report
<input type="checkbox"/> Supplemental Preelection Statement - Attach Form 495 |
|---|---|

3. Committee Information

I.D. NUMBER
1384178

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

STREET ADDRESS (NO P.O. BOX)
12400 VENTURA BLVD STE 363

CITY	STATE	ZIP CODE	AREA CODE/PHONE
STUDIO CITY	CA	91604	(818) 486-6313

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX
13038 LANDALE ST

CITY	STATE	ZIP CODE	AREA CODE/PHONE
STUDIO CITY	CA	91604	

OPTIONAL: FAX / E-MAIL ADDRESS
shelleylevine@rocketmail.com

Treasurer(s)

NAME OF TREASURER
Shelley Levine

MAILING ADDRESS
13038 Landale Street

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Studio City	Ca	91604	(818) 486-6313

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS
Treasurer: shelleylevine@rocketmail.com

4. Verification

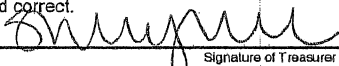
I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 6/20/2016
Date

Executed on _____
Date

Executed on _____
Date

Executed on _____
Date

By 
Signature of Treasurer or Assistant Treasurer

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Campaign Disclosure Statement Summary Page

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period from <u>5/22/2016</u> through <u>6/30/2016</u>	CALIFORNIA FORM 460
	Page <u>3</u> of <u>11</u>
	I.D. NUMBER 1384178

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions Schedule A, Line 3	\$0.00	\$100,000.00
2. Loans Received Schedule B, Line 3	\$0.00	\$0.00
3. SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2	\$0.00	\$100,000.00
4. Nonmonetary Contributions Schedule C, Line 3	\$0.00	\$0.00
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines 3 + 4	\$0.00	\$100,000.00

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

20. Contributions Received	1/1 through 6/30	7/1 to Date
21. Expenditures Made		

Expenditures Made

6. Payments Made Schedule E, Line 4	\$889.60	\$95,610.60
7. Loans Made Schedule H, Line 3	\$0.00	\$0.00
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$889.60	\$95,610.60
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	\$0.00	\$0.00
10. Nonmonetary Adjustment Schedule C, Line 3	\$0.00	\$0.00
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9 + 10	\$889.60	\$95,610.60

Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	
Date of Election (mm/dd/yy)	Total to Date

Current Cash Statement

12. Beginning Cash Balance Previous Summary Page, Line 18	\$1,779.00
13. Cash Receipts Column A, Line 3 above	\$0.00
14. Miscellaneous Increases to Cash Schedule I, Line 4	\$0.00
15. Cash Payments Column A, Line 8 above	\$889.60
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Line 15	\$889.40

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amount from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

Amounts in this section may be different from amounts reported in Column B.

17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$0.00
---	--------

Cash Equivalents and Outstanding Debts

18. Cash Equivalents See Instructions on reverse	\$0.00
19. Outstanding Debts Add Line 2 + Line 9 in Column B above	\$0.00

Schedule E Payments Made

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E

Statement covers period from <u>5/22/2016</u> through <u>6/30/2016</u>	CALIFORNIA FORM 460
	Page <u>8</u> of <u>11</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Shelley Levine 13038 Landale Street Studio City, CA 91604	OFC		\$239.60
Shelley Levine 13038 Landale Street Studio City, CA 91604	PRO		\$650.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$

Schedule E Summary

1. Itemized payment made this period. (Include all Schedule E subtotals.)	\$889.60
2. Unitemized payments made this period of under \$100	\$0.00
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	\$889.60

Exhibit A-13

**Recipient Committee
Campaign Statement
Cover Page**

(Government Code Sections 84200-84216.5)

Type or print in Ink.

COVER PAGE

Date Stamp
RECEIVED AND FILED
in the office of the Secretary of State
of the State of California

CALIFORNIA
2001/02
FORM **460**

Page 1 of 11
For Official Use Only

JUN 19 2017

COPY

Statement covers period
from 7/1/2016
through 9/24/2016

Date of election if applicable:
(Month, Day, Year)
11/5/2016

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4

- Officeholder, Candidate Controlled Committee
 - State Candidate Election Committee
 - Recall
 - (Also Complete Part 5)*
- General Purpose Committee
 - Sponsored
 - Small Contributor Committee
 - Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
 - Controlled
 - Sponsored
 - (Also Complete Part 6)*
- Primarily Formed Candidate/Officeholder Committee
 - (Also Complete Part 7)*

2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement
(Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

3. Committee Information

I.D. NUMBER
1384178

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

STREET ADDRESS (NO P.O. BOX)
12400 VENTURA BLVD STE 363

CITY STATE ZIP CODE AREA CODE/PHONE
STUDIO CITY CA 91604 (818) 486-6313

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX
13038 LANDALE ST

CITY STATE ZIP CODE AREA CODE/PHONE
STUDIO CITY CA 91604

OPTIONAL: FAX / E-MAIL ADDRESS
shelleylevine@rocketmail.com

Treasurer(s)

NAME OF TREASURER
Shelley Levine

MAILING ADDRESS
13038 Landale St

CITY STATE ZIP CODE AREA CODE/PHONE
Studio City CA 91604 (818) 486-6313

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

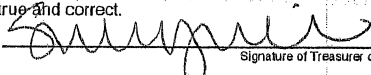
CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS
Treasurer: shelleylevine@rocketmail.com

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 10/8/2016 Date _____
Executed on _____ Date _____
Executed on _____ Date _____
Executed on _____ Date _____

By  Signature of Treasurer or Assistant Treasurer
By _____ Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor
By _____ Signature of Controlling Officeholder, Candidate, State Measure Proponent
By _____ Signature of Controlling Officeholder, Candidate, State Measure Proponent

FPPC Form 460 (January 05)
FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)
State of California

Recipient Committee Campaign Statement Cover Page - Part 2

Type or print in ink.

COVER PAGE - PART 2

CALIFORNIA
FORM **460**

Page 2 of 11

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME I.D. NUMBER

NAME OF TREASURER CONTROLLED COMMITTEE?
 YES NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME I.D. NUMBER

NAME OF TREASURER CONTROLLED COMMITTEE?
 YES NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION SUPPORT OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD SUPPORT OPPOSE
Mike Antonovich State Senator District 25

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD SUPPORT OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD SUPPORT OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD SUPPORT OPPOSE

Attach continuation sheets if necessary

Campaign Disclosure Statement Summary Page

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period from <u>7/1/2016</u>	CALIFORNIA FORM 460
through <u>9/24/2016</u>	
Page <u>3</u> of <u>11</u>	
I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

Contributions Received	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions <i>Schedule A, Line 3</i>	\$0.00	\$100,000.00
2. Loans Received <i>Schedule B, Line 3</i>	\$0.00	\$0.00
3. SUBTOTAL CASH CONTRIBUTIONS <i>Add Lines 1 + 2</i>	\$0.00	\$100,000.00
4. Nonmonetary Contributions <i>Schedule C, Line 3</i>	\$0.00	\$0.00
5. TOTAL CONTRIBUTIONS RECEIVED <i>Add Lines 3 + 4</i>	\$0.00	\$100,000.00

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections	1/1 through 6/30	7/1 to Date
20. Contributions Received	_____	_____
21. Expenditures Made	_____	_____

Expenditures Made	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made <i>Schedule E, Line 4</i>	\$0.00	\$99,110.60
7. Loans Made <i>Schedule H, Line 3</i>	\$0.00	\$0.00
8. SUBTOTAL CASH PAYMENTS <i>Add Lines 6 + 7</i>	\$0.00	\$99,110.60
9. Accrued Expenses (Unpaid Bills) <i>Schedule F, Line 3</i>	\$0.00	\$0.00
10. Nonmonetary Adjustment <i>Schedule C, Line 3</i>	\$0.00	\$0.00
11. TOTAL EXPENDITURES MADE <i>Add Lines 8 + 9 + 10</i>	\$0.00	\$99,110.60

Expenditure Limit Summary for State Candidates	Date of Election (mm/dd/yy)	Total to Date
22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	_____	_____

Current Cash Statement	Amount
12. Beginning Cash Balance <i>Previous Summary Page, Line 16</i>	\$889.40
13. Cash Receipts <i>Column A, Line 3 above</i>	\$0.00
14. Miscellaneous Increases to Cash <i>Schedule I, Line 4</i>	\$0.00
15. Cash Payments <i>Column A, Line 8 above</i>	\$0.00
16. ENDING CASH BALANCE <i>Add Lines 12 + 13 + 14, then subtract Line 15</i>	\$889.40

To calculate Column B, add amounts in Column A to the corresponding amount from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

Amounts in this section may be different from amounts reported in Column B.

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED <i>Schedule B, Part 2</i>	\$0.00
--	--------

Cash Equivalents and Outstanding Debts	Amount
18. Cash Equivalents <i>See Instructions on reverse</i>	\$0.00
19. Outstanding Debts <i>Add Line 2 + Line 9 in Column B above</i>	\$0.00

Schedule A Monetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period from <u>7/1/2016</u> through <u>9/24/2016</u>	CALIFORNIA FORM 460
	Page <u>4</u> of <u>11</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
SUBTOTAL \$						

Schedule A Summary

1. Amount received this period - itemized monetary contributions. (Include all Schedule A subtotals.)	\$ 0.00
2. Amount received this period - unitemized monetary contributions of less than \$100	\$ 0.00
3. Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.)	TOTAL \$ 0.00

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

Schedule B - Part 1 Loans Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period
from 7/1/2016
through 9/24/2016

CALIFORNIA FORM 460

Page 5 of 11

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*		(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN		RATE %		CALENDAR YEAR PER ELECTION**
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN		RATE %		CALENDAR YEAR PER ELECTION**
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN		RATE %		CALENDAR YEAR PER ELECTION**
SUBTOTAL \$									

Schedule B Summary

1. Loans received this period \$0.00
(Total Column (b) plus unitemized loans of less than \$100.)
2. Loans paid or forgiven this period \$0.00
(Total Column (c) plus loans under \$100 paid or forgiven.)
(Include loans paid by a third party that are also itemized on Schedule A.)
3. Net change this period. (Subtract Line 2 from Line 1.) NET \$0.00
Enter the net here and on the Summary Page, Column A, Line 2.
(May be a negative number)

(Enter (e) on Schedule E, Line 3)

***Contributor Codes**
IND - Individual
COM - Recipient Committee
(other than PTY or SCC)
OTH - Other (e.g., business entity)
PTY - Political Party
SCC - Small Contributor Committee

*Amounts forgiven or paid by another party also must be reported on Schedule A.
** If required.

Schedule C Nonmonetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE C

Statement covers period from <u>7/1/2016</u> through <u>9/24/2016</u>	CALIFORNIA FORM 460
	Page <u>6</u> of <u>11</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	I.D. NUMBER 1384178
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE*	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					

Attach additional information on appropriately labeled continuation sheets. **SUBTOTAL \$**

Schedule C Summary

1. Amount received this period - itemized nonmonetary contributions. (Include all Schedule C subtotals.)	\$0.00
2. Amount received this period - unitemized nonmonetary contributions of less than \$100	\$0.00
3. Total nonmonetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.)	TOTAL \$0.00

*Contributor Codes
IND - Individual
COM - Recipient Committee (other than PTY or SCC)
OTH - Other (e.g., business entity)
PTY - Political Party
SCC - Small Contributor Committee

**Schedule D
Summary of Expenditures
Supporting/Opposing Other
Candidates, Measures and Committees**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE D

Statement covers period from <u>7/1/2016</u> through <u>9/24/2016</u>	CALIFORNIA FORM 460
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I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

DATE	NAME OF CANDIDATE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
SUBTOTAL \$						

Schedule D Summary

1. Itemized contributions and independent expenditures made this period. (Include all Schedule D subtotals.)	\$0.00
2. Unitemized contributions and independent expenditures made this period of under \$100	\$0.00
3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.)	\$0.00

FPPC Form 460 (January/05)
FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

Schedule E Payments Made

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E

Statement covers period from <u>7/1/2016</u> through <u>9/24/2016</u>	CALIFORNIA FORM 460
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	I.D. NUMBER 1384178

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	FAD	radio airtime and production
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$

Schedule E Summary

1. Itemized payment made this period. (Include all Schedule E subtotals.)	\$0.00
2. Unitemized payments made this period of under \$100	\$0.00
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	\$0.00

**Schedule F
Accrued Expenses (Unpaid Bills)**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE F

Statement covers period from <u>7/1/2016</u> through <u>9/24/2016</u>	CALIFORNIA FORM 460
Page <u>9</u> of <u>11</u>	I.D. NUMBER 1384178

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSING OF THIS PERIOD
SUBTOTAL \$			\$	\$	\$

Schedule F Summary

1. Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.)	INCURRED TOTALS	\$0.00
2. Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.)	PAID TOTALS	\$0.00
3. Net change this period. (Subtract Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.)	NET	\$0.00
		(May be a negative number)

FPPC Form 460 (January/05)
FPPC Toll-Free Helpline: 888/ASK-FPPC (888/276-3772)

Schedule H Loans Made to Others*

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE H

Statement covers period from <u>7/1/2016</u> through <u>9/24/2016</u>	CALIFORNIA FORM 460
	Page <u>10</u> of <u>11</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

ID. NUMBER
1384178

FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*		(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				<input type="checkbox"/> PAID	<input type="checkbox"/> FORGIVEN				
				<input type="checkbox"/> PAID					CALENDAR YEAR
				<input type="checkbox"/> FORGIVEN			RATE %		PER ELECTION**
				<input type="checkbox"/> PAID					CALENDAR YEAR
				<input type="checkbox"/> FORGIVEN			RATE %		PER ELECTION**
		SUBTOTAL		\$	\$	\$	\$		

*Loans that are contributions to another candidate or committee
must also be summarized on Schedule D. Loans forgiven must
also be reported on Schedule E.

(Enter (e) on
Schedule I, Line 9)

Schedule H Summary

1. Loans made this period \$0.00
(Total Column (b) plus unitemized loans of less than \$100.)
2. Payments received on loans \$0.00
(Total Column (c) plus unitemized payments of less than \$100.)
3. Net change this period. (Subtract Line 2 from Line 1.) NET \$0.00
Enter the net here and on the Summary Page, Column A, Line 7.
(May be a negative number)

** If required.

**Schedule I
Miscellaneous Increases to Cash**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE I

Statement covers period from <u>7/1/2016</u> through <u>9/24/2016</u>	CALIFORNIA FORM 460
	Page <u>11</u> of <u>11</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH
SUBTOTAL \$			

Schedule I Summary

1. Itemized increases to cash this period.	<u>\$0.00</u>
2. Unitemized increases to cash of under \$100 this period.	<u>\$0.00</u>
3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).)	<u>\$0.00</u>
4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.)	<u>\$0.00</u>
TOTAL	<u>\$0.00</u>

Exhibit A-14

Late Contribution Report

Type or print in ink.
Amounts may be rounded to whole dollars.

LATE CONTRIBUTION REPORT

NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE			Date of This Filing _____ 09/30/2016 _____	Date Stamp Page 2 of 2	CALIFORNIA FORM 497 For Official Use Only
AREA CODE/PHONE NUMBER 8184866313	I.D. NUMBER (if applicable) 1384178	Report No. _____ 002 _____			
STREET ADDRESS 12400 VENTURA BLVD STE 363			<input type="checkbox"/> Amendment to Report No. _____ (explain below)		
CITY STUDIO CITY	STATE CA	ZIP CODE 91604	No. of Pages _____ 2 _____		

Late Contribution(s) Made

DATE MADE	FULL NAME, MAILING ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CANDIDATE AND OFFICE OR MEASURE AND JURISDICTION	AMOUNT OF CONTRIBUTION	DATE OF ELECTION (IF APPLICABLE)

Reason for Amendment:

Exhibit A-15

Late Contribution Report

Type or print in ink.
Amounts may be rounded to whole dollars.

LATE CONTRIBUTION REPORT

NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE			Date of This Filing _____ 11/13/2016 _____	Date Stamp Page 1 of 2	CALIFORNIA FORM 497 For Official Use Only
AREA CODE/PHONE NUMBER 8184866313	I.D. NUMBER (if applicable) 1384178	Report No. _____ 003 _____			
STREET ADDRESS 12400 VENTURA BLVD STE 363			<input type="checkbox"/> Amendment to Report No. _____ (explain below)		
CITY STUDIO CITY	STATE CA	ZIP CODE 91604	No. of Pages _____ 2 _____		

Late Contribution(s) Received

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR <small>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)</small>	CONTRIBUTOR CODE *	IF AN INDIVIDUAL ENTER OCCUPATION AND EMPLOYER <small>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)</small>	AMOUNT RECEIVED
11/12/2016 - 11/12/2016	Fieldstead & Company PO Box 19599 Irvine, CA 92623	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$10,000.00
11/12/2016 - 11/12/2016	Margaret Perenchio 355 S. Grand Avenue Suite 1710 Los Angeles, CA 90071	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	NA	\$3,000.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		

*Contributor Codes

IND - Individual	PTY - Political Party
COM - Recipient Committee (other than PTY or SCC)	SCC - Small Contributor Committee
OTH - Other	

Reason for Amendment:

Late Contribution Report

Type or print in ink.
Amounts may be rounded to whole dollars.

LATE CONTRIBUTION REPORT

NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE			Date of This Filing _____ 11/13/2016 _____	Date Stamp Page 2 of 2	<div style="background-color: black; color: white; padding: 5px; font-weight: bold; font-size: 1.2em;"> CALIFORNIA FORM 497 </div> For Official Use Only
AREA CODE/PHONE NUMBER 8184866313	I.D. NUMBER (if applicable) 1384178	Report No. _____ 003 _____			
STREET ADDRESS 12400 VENTURA BLVD STE 363			<input type="checkbox"/> Amendment to Report No. _____ (explain below)		
CITY STUDIO CITY	STATE CA	ZIP CODE 91604	No. of Pages _____ 2 _____		

Late Contribution(s) Made

DATE MADE	FULL NAME, MAILING ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CANDIDATE AND OFFICE OR MEASURE AND JURISDICTION	AMOUNT OF CONTRIBUTION	DATE OF ELECTION (IF APPLICABLE)

Reason for Amendment:

Exhibit A-16

Recipient Committee Campaign Statement

(Government Code Sections 84200-84216.5)

Type or print in ink.

COVER PAGE

CALIFORNIA 2001/02 FORM 460

Date Stamp

Statement covers period from <u>11/06/2016</u> through <u>12/13/2016</u>	Date of election if applicable: (Month, Day, Year) <u>11/05/2016</u>
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For Official Use Only

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1,2,3, and 4.

- | | |
|---|---|
| <input type="checkbox"/> Officeholder, Candidate Controlled Committee
<input type="radio"/> State Candidate Election Committee
<input type="radio"/> Recall
(Also Complete Part 5.) | <input type="checkbox"/> Ballot Measure Committee
<input type="radio"/> Primary Formed
<input type="radio"/> Controlled
<input type="radio"/> Sponsored
(Also Complete Part 6.) |
| <input type="checkbox"/> General Purpose Committee
<input type="radio"/> Sponsored
<input type="radio"/> Small Contributor Committee
<input type="radio"/> Political Party/Central Committee | <input checked="" type="checkbox"/> Primary Formed Candidate/
Officeholder Committee
(Also Complete Part 7.) |

2. Type of Statement:

- | | |
|---|--|
| <input type="checkbox"/> Pre-election Statement | <input type="checkbox"/> Quarterly Statement |
| <input type="checkbox"/> Semi-annual Statement | <input type="checkbox"/> Special Odd-Year Report |
| <input checked="" type="checkbox"/> Termination Statement | <input type="checkbox"/> Supplemental Preelection
Statement - Attach Form 495 |
| <input type="checkbox"/> Amendment (Explain below) | |

3. Committee Information

I.D. NUMBER
1384178

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

STREET ADDRESS (NO P.O. BOX)
12400 VENTURA BLVD STE 363

CITY	STATE	ZIP CODE	AREA CODE/PHONE
STUDIO CITY	CA	91604	8184866313

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX
13038 LANDALE ST

CITY	STATE	ZIP CODE	AREA CODE/PHONE
STUDIO CITY	CA	91604	

OPTIONAL: FAX/E-MAIL ADDRESS
shelleylevine@rocketmail.com

Treasurer(s)

NAME OF TREASURER
Shelley Levine

MAILING ADDRESS
13038 Landale Street

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Studio City	CA	91604	8184866313

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
------	-------	----------	-----------------

OPTIONAL: FAX/E-MAIL ADDRESS
shelleylevine@rocketmail.com

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 12/13/2016 By Shelley Levine
DATE SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on _____ By _____
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT OR RESPONSIBLE OFFICER OF SPONSOR

Executed on _____ By _____
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

Executed on _____ By _____
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

FPPC Form 460 (June/01)
FPPC Toll-Free Helpline: 866/ASK-FPPC
State of California

**Recipient Committee
Campaign Statement
Cover Page – Part 2**

Type or print in ink.

COVER PAGE - PART 2

**CALIFORNIA
FORM 460**

Page 2 of 15

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

Related Committees Not Included in this Statement: List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or to make expenditures on behalf of your candidacy.

COMMITTEE NAME I.D. NUMBER

NAME OF TREASURER CONTROLLED COMMITTEE?
 YES NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME I.D. NUMBER

NAME OF TREASURER CONTROLLED COMMITTEE?
 YES NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

6. Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT
		<input type="checkbox"/> OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

7. Primarily Formed Committee List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE Mike Antonovich	OFFICE SOUGHT OR HELD State Senator District No. 25	<input checked="" type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
--	---	--

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

Attach continuation sheets if necessary

Campaign Disclosure Statement Summary Page

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period		CALIFORNIA FORM 460
from	11/06/2016	
through	12/13/2016	Page 3 of 15
		I.D. NUMBER 1384178

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

Contributions Received

		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions	Schedule A, Line 3	\$0.00	\$0.00
2. Loans Received	Schedule B, Line 7	\$0.00	\$0.00
3. SUBTOTAL CASH CONTRIBUTIONS	Add Lines 1 + 2	\$0.00	\$0.00
4. Nonmonetary Contributions	Schedule C, Line 3	\$0.00	\$0.00
5. TOTAL CONTRIBUTIONS RECEIVED	Add Lines 3 + 4	\$0.00	\$0.00

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contribution Received	\$0.00	\$0.00
21. Expenditures Made	\$0.00	\$0.00

Expenditures Made

6. Payments Made	Schedule E, Line 4	\$14,300.00	\$109,910.00
7. Loans Made	Schedule H, Line 7	\$0.00	\$0.00
8. SUBTOTAL CASH PAYMENTS	Add Lines 6 + 7	\$14,300.00	\$109,910.00
9. Accrued Expenses (Unpaid Bills)	Schedule F, Line 3	\$0.00	\$0.00
10. Nonmonetary Adjustment	Schedule C, Line 3	\$0.00	\$0.00
11. TOTAL EXPENDITURES MADE	Add Lines 8 + 9 + 10	\$14,300.00	\$109,910.00

Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Current Cash Statement

12. Beginning Cash Balance	Previous Summary Page, Line 16	\$0.00
13. Cash Receipts	Column A, Line 3 above	\$0.00
14. Miscellaneous Increases to Cash	Schedule I, Line 4	\$0.00
15. Cash Payments	Column A, Line 8 above	\$14,300.00
16. ENDING CASH BALANCE	Add Lines 12 + 13 + 14, then subtract Line 15	(\$14,300.00)

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

17. LOAN GUARANTEES RECEIVED..... Schedule B, Part 2 \$0.00

Cash Equivalents and Outstanding Debts

18. Cash Equivalents	See instructions on reverse	\$0.00
19. Outstanding Debts	Add Line 2 + Line 9 in Column B above	\$0.00

*Since January 1, 2001. Amounts in this section may be different from amounts reported in Column B.

Schedule A Monetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period
from 11/06/2016
through 12/13/2016

CALIFORNIA FORM 460

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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. Number
1384178

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				

SUBTOTAL \$0.00

Schedule A Summary

- Amount received this period - contributions of \$100 or more.
(Include all Schedule A subtotals.) _____
- Amount received this period - unitemized contributions of less than \$100 _____
- Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL** _____

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other
 PTY - Political Party
 SCC - Small Contributor Committee

FPPC Form 460 (JUNE/01)
FPPC Toll-Free Helpline: 866/ASK-FPPC

Schedule B - Part 1 Loans Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period from <u>11/06/2016</u> through <u>12/13/2016</u>	CALIFORNIA FORM 460
	Page <u>5</u> of <u>15</u>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR PER ELECTION**
					DATE DUE		DATE INCURRED	
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR PER ELECTION**
					DATE DUE		DATE INCURRED	
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR PER ELECTION**
					DATE DUE		DATE INCURRED	

SUBTOTALS

Schedule B Summary

(Enter (e) on
Schedule E, Line 3)

1. Loans received this period. _____
(Total Column (b) plus unitemized loans less than \$100.)

2. Loans paid or forgiven this period _____
(Total Column (c) plus loans under \$100 paid or forgiven.)
(Include loans paid by a third party that are also itemized on Schedule A.)

3. Net change this period. (Subtract Line 2 from Line 1.) _____ **Net** _____
Enter the net here and on the Summary Page, Column A, Line 2. (may be a negative number)

* Amounts forgiven or paid by another party also must be reported on Schedule A.

** If required.

*Contributor Codes

IND-Individual COM-Recipient Committee (other than PTY or SCC) OTH-Other PTY-Political Party SCC-Small Contributor Committee

**Schedule B - Part 2
Loan Guarantors**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period from <u>11/06/2016</u> through <u>12/13/2016</u>	CALIFORNIA FORM 460
	Page <u>6</u> of <u>15</u>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

FULL NAME, STREET ADDRESS AND ZIP CODE OF GUARANTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	LOAN	AMOUNT GUARANTEED THIS PERIOD	CUMULATIVE TO DATE	BALANCE OUTSTANDING TO DATE
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	

SUBTOTAL

Enter on
Summary Page,
Line 17 only.

Schedule C Nonmonetary Contributions Received

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE C

Statement covers period from <u>11/06/2016</u> through <u>12/13/2016</u>	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					

Attach additional information on appropriately labeled continuation sheets.

SUBTOTAL

Schedule C Summary

- Amount received this period - nonmonetary contributions of \$100 or more.
(Include all Schedule C subtotals.)..... _____
- Amount received this period - unitemized nonmonetary contributions of less than \$100 _____
- Total nonmonetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) **TOTAL** _____

*Contributor Codes
 IND - Individual
 COM- Recipient Committee
 (other than PTY or SCC)
 OTH - Other
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule D
Summary of Expenditures
Supporting/Opposing Other
Candidates, Measures and Committees**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	11/06/2016	
through	12/13/2016	Page 8 of 15
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE		I.D. NUMBER 1384178

SEE INSTRUCTIONS ON REVERSE

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN.1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	slate	\$1,600.00	\$34,050.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
11/11/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	consultant	\$10,000.00	\$35,000.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	treasury	\$1,000.00	\$4,000.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					

SUBTOTAL

Schedule D Summary

1. Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.)	\$14,300.00
2. Unitemized contributions and independent expenditures made this period of under \$100	\$0.00
3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.)	TOTAL \$14,300.00

**Schedule D
(Continuation Sheet)
Summary of Expenditures
Supporting/Opposing Other
Candidates, Measures and Committees**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		CALIFORNIA FORM 460
from	11/06/2016	
through	12/13/2016	Page 9 of 15

NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	I.D. NUMBER 1384178
--	------------------------

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN.1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Non-Monetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	slate	\$900.00	\$7,234.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	slate	\$400.00	\$400.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	slate	\$200.00	\$200.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
11/16/2016	ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	Slate	\$200.00	\$200.00	
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					

SUBTOTAL \$14,300.00

**Schedule E
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	11/06/2016	
through	12/13/2016	Page 10 of 15
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE		I.D. NUMBER 1384178

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, email)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Michael Berman Inc 8665 Wilshire Blvd Beverly Hills, CA 90210	CNS			\$10,000.00
Landslide Communications 30011 Ivy Glenn Drive Suite 223 Laguna Niguel, CA 92677	LIT	Slate		\$1,600.00
Democratic Voter Guide 6285 E. Spring Street Suite 202 Long Beach, CA 90808	LIT	Slate		\$900.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL

Schedule E Summary

1. Payments made this period of \$100 or more. (Include all Schedule E subtotals.)	\$14,300.00
2. Unitemized payments made this period of under \$100.	\$0.00
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$0.00
4. Total payments made this period. (Add lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	TOTAL \$14,300.00

**Schedule E
(Continuation Sheet)
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	11/06/2016	
through 12/13/2016		Page 11 of 15
NAME OF FILER ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE		I.D. NUMBER 1384178

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|---|---|---|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production costs |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FIL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (internet, email) |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Continuing the Republican Revolution 1300 Bristol St., North Suite 100 Newport Beach, CA 92660	LIT	Slate		\$400.00
Californians for Quality Education Education 728 W. Edna Place Covina, CA 91722	LIT	Slate		\$200.00
Citizens for Good Government 728 W. Edna Place Covina, CA 91722	LIT	Slate		\$200.00
Shelley Levine 13038 Landale Street Studio City, CA 91604	PRO	Treasury		\$1,000.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$14,300.00

**Schedule F
Accrued Expenses (Unpaid Bills)**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period	CALIFORNIA FORM 460
from <u>11/06/2016</u>	
through <u>12/13/2016</u>	Page <u>12</u> of <u>15</u>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, email)

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTALS

Schedule F Summary

- Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.)..... **INCURRED TOTALS** _____
- Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.)..... **PAID TOTALS** _____
- Net change this period. (**Subtract** Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.)..... **NET** _____
May be a negative number.

Schedule G
Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)

Type or print in ink.
 Amounts may be rounded to whole dollars.

Statement covers period
 from 11/06/2016
 through 12/13/2016

CALIFORNIA FORM 460
 Page 13 of 15

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
 ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
 1384178

NAME OF AGENT OR INDEPENDENT CONTRACTOR

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|---|---|---|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production costs |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FIL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (internet, email) |

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID

Attach additional information on appropriately labeled continuation sheets.

TOTAL*

* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule H –
Loans Made to Others***

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period from <u>11/06/2016</u> through <u>12/13/2016</u>	CALIFORNIA FORM 460
	Page <u>14</u> of 15
I.D. NUMBER 1384178	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				<input type="checkbox"/> PAID <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR PER ELECTION**
					DATE DUE		DATE INCURRED	
				<input type="checkbox"/> PAID <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR PER ELECTION**
					DATE DUE		DATE INCURRED	
		SUBTOTALS						

*Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E.

(Enter (e) on
Schedule I, Line 3)

Schedule H Summary

- Loans made this period _____
(Total Column (b) plus unitemized loans less than \$100.)
- Payments received on loans _____
(Total Column (c) plus unitemized payments less than \$100.)
- Net change this period. (Subtract Line 2 from Line 1.) **NET** _____
(Enter the net here and on the Summary Page, Column A, Line 7.)
(May be a negative number)

**** If Required**

**Schedule I
Miscellaneous Increases to Cash**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE I

Statement covers period
from 11/06/2016
through 12/13/2016

**CALIFORNIA
FORM 460**

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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER
ANTONOVICH STATE SENATE 2016; CALIFORNIANS IN SUPPORT OF MIKE

I.D. NUMBER
1384178

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH

Attach additional information on appropriately labeled continuation sheets.

SUBTOTAL \$0.00

Schedule I Summary

- 1. Increases to cash of \$100 or more this period..... \$0.00
- 2. Unitemized increases to cash under \$100 this period..... \$0.00
- 3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).)..... \$0.00
- 4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.)..... **TOTAL** \$0.00

Exhibit A-17



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3000 • Sacramento, CA 95811

January 28, 2022

Shelley Levine
Californians in Support of Mike Antonovich State Senate 2016

NOTICE OF DEFAULT DECISION AND ORDER

Re: FPPC Nos. 2017/01397 and 2018/00821, In the Matter of Californians in Support of Mike Antonovich State Senate 2016 and Shelley Levine

Dear Ms. Levine:

On January 25, 2021, you were personally served with an accusation in the above referenced matter. Pursuant to the Administrative Procedure Act, you were required to file a notice of defense within 15 days after service of the accusation to request an administrative hearing. You did not file a notice of defense. **As a result, you have waived your right to an administrative hearing.**¹

The Fair Political Practices Commission (the “Commission”) will proceed with a default, decision and order (“default”) against you. The initial notice of this default will appear on the published agenda for the Commission’s public meeting on February 17, 2022. This agenda will be public, and you could be contacted by the media with questions. The Commission will be asked to adopt the default at the subsequent public meeting on March 17, 2022 and impose an administrative penalty of \$21,000 against you.

Following the issuance of the default, the Commission will obtain a judgment in superior court for the amount owed and then take action to collect the judgment. Please be advised that administrative penalties for violations of the Political Reform Act cannot be discharged in bankruptcy proceedings.

You may still resolve this matter informally by way of a stipulated settlement if an agreement can be reached prior to this matter appearing for consideration by the Commission. Please contact me at (916) 323-6421 or tgilbertson@fppc.ca.gov if you wish to enter into a settlement to resolve this matter in its entirety.

Sincerely,

Theresa Gilbertson
Senior Commission Counsel
Enforcement Division

¹ Government Code section 11505.

Exhibit A-18



FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3000 • Sacramento, CA 95811

February 18, 2022

Shelley Levine
Californians in Support of Mike Antonovich State Senate 2016



NOTICE OF INTENT TO ENTER DEFAULT DECISION AND ORDER

**Re: FPPC Nos. 2017/01397 and 2018/00821
In the Matter of Californians in Support of Mike Antonovich State Senate
2016 and Shelley Levine**

Dear Ms. Levine:

On January 25, 2021, you were personally served with an accusation in the above referenced matter. Pursuant to the Administrative Procedure Act, you were required to file a notice of defense within 15 days after service of the accusation to request an administrative hearing. You did not file a notice of defense. **As a result, you have waived your right to an administrative hearing.**¹

The Fair Political Practices Commission (the “Commission”) will proceed with a default, decision and order (“default”) against you. The initial notice of this default appeared on the published agenda for the Commission’s public meeting on February 17, 2022. The Commission will be asked to adopt the default at its public meeting scheduled for March 17, 2022 and impose an administrative penalty of \$21,000 against you. A copy of the default, decision, and order and accompanying exhibits the Commission will consider at its meeting on March 17, 2022 is enclosed with this letter.

You may, but you are not required to, provide a response brief, along with any supporting materials, no later than five calendar days before the Commission hearing at which the default is scheduled to be heard. Your response brief must be served on the Commission Assistant, at the above address.

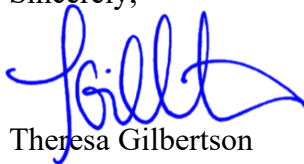
Following the issuance of the default order and imposition of the administrative penalty, we will commence legal proceedings to collect this fine, which may include converting the

¹ Government Code section 11505.

Commission's order to a court judgment. Please be advised that administrative penalties for violations of the Political Reform Act cannot be discharged in bankruptcy proceedings.

This letter is your last opportunity to resolve this matter informally by way of a stipulated settlement, before the default proceedings are commenced. If we do not reach a resolution, the enclosed documents will be placed on the Commission's agenda for the March 17, 2022 meeting. Please contact me at (916) 323-6421 or tgilbertson@fppc.ca.gov if you wish to enter into a negotiated settlement.

Sincerely,



Theresa Gilbertson
Senior Commission Counsel
Enforcement Division

Enclosures