

FAIR POLITICAL PRACTICES COMMISSION

**STIPULATION, DECISION AND ORDER
Lobbying Report Late Disclosure Violations
Lobbying Report Late Filer Violations
(Streamline Program)**

Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondent(s) hereby agree that this stipulation will be included on the next regularly scheduled meeting, or as soon thereafter as the matter can be heard, of the Fair Political Practices Commission. Once presented and approved by the Chief of Enforcement, the parties agree that this stipulation will be the final disposition of the violation(s) described herein.

FPPC CASE NUMBER: 2021-00312

RESPONDENT(S): Sloat Higgins Jensen and Associates, LLC

REPRESENTATION: N/A

GOVERNMENT CODE SECTION(S) VIOLATED: 86116

Total Penalty: \$3,600

STATEMENT BY RESPONDENT(S):

I acknowledge that the violation(s) of the Political Reform Act described above have occurred and voluntarily request that the Fair Political Practices Commission resolve this matter by imposition of the monetary penalty specified above. I acknowledge receipt of the *Statement of Respondent's Rights* and voluntarily waive any and all procedural rights to contest this matter in an administrative hearing. I have paid the amount of the penalty described above. I understand that I must also pay any potential late filing penalties assessed by my filing officer.

Dated: _____

Sign: _____

Print Name: _____

Dated: _____

Sign: _____

Print Name: _____

ORDER OF THE COMMISSION:

I have reviewed this stipulation, taken into consideration any comments made regarding this item, and am executing this agreement under the authority of the Fair Political Practices Commission granted to me by Regulation 18360.3. This agreement is effective upon execution below.

IT IS SO ORDERED.

Dated: _____

JAMES M. LINDSAY, ENFORCEMENT CHIEF

**FAIR POLITICAL PRACTICES COMMISSION
STATEMENT OF RESPONDENT'S RIGHTS**

The Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondent, both identified by name on the front of this document, hereby agree that this Stipulation, Decision and Order will be submitted for notice at the next regularly scheduled Fair Political Practices Commission meeting, or as soon as the matter can be heard.

The parties agree to enter into this Stipulation to resolve all factual and legal issues raised in this matter and to reach a final disposition without the necessity of holding an administrative hearing to determine the liability of Respondent.

Respondent understands and hereby knowingly and voluntarily waives any and all procedural rights under California Government Code sections 83115.5, 11500, *et seq.*, and Title 2 of the California Code of Regulations sections 18361.4 through 18361.11, including but not limited to the issuance and receipt of an accusation, and the right to appear personally and be represented by counsel at his or her own expense in any administrative hearing held in this matter, to confront and cross examine all witnesses testifying at the hearing, to subpoena witnesses to testify at the hearing, and to have an impartial administrative law judge present at the hearing to act as a hearing officer.

It is further stipulated and agreed that Respondent has violated the Political Reform Act as described herein.

Respondent agrees to the issuance of the Decision and Order and imposition by the Commission through the Chief of Enforcement of a penalty in the amount specified on the Stipulation, and a payment in full has been submitted by Respondent to be held by the State of California until the issuance of the Decision and Order. This will be the final disposition of the violation(s) described herein.

The parties agree that in the event the Chief of Enforcement refuses to accept this Stipulation, it shall become null and void, and within fifteen (15) business days after the Commission meeting at which this Stipulation is presented, payments tendered shall be reimbursed to Respondent. Respondent further stipulates and agrees that in the event the Chief of Enforcement rejects the Stipulation and a full evidentiary hearing before the Commission becomes necessary, neither a member of the Commission, nor the Executive Director, shall be disqualified because of prior consideration of this Stipulation.

DESCRIPTION OF VIOLATION:

Lobbying Late Filer – Tier One

Count	Report	Reporting Period	Due Date	Total amount received or made for lobbying	Base Penalty	Penalty ¹
1	Paper 601	1/11/2020	1/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
2	Electronic 601	1/19/2020	1/29/2020	\$0 - Agencies Lobbied Added	\$0	\$0
3	Paper 601	10/11/2020	1/21/2020	\$0 - Added as Client	\$0	\$0
4	Electronic 601	10/11/2020	1/21/2020	\$0 - Added as Client	\$0	\$0
5	Paper 601	4/11/2020	4/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
6	Electronic 601	4/11/2020	4/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
7	Paper 601	1/11/2020	1/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
8	Electronic 601	1/11/2020	1/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
9	Paper 601	4/11/2020	4/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
10	Electronic 601	4/11/2020	4/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
11	Paper 601	7/11/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
12	Electronic 601	7/11/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
13	Electronic 601	7/12/2020	7/22/2020	\$0 - Agencies Lobbied Added	\$0	\$0
14	Paper 601	7/11/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
15	Electronic 601	7/11/2019	7/21/2019	\$0 - Agencies Lobbied Added	\$0	\$0
16	Paper 601	4/10/2020	4/20/2020	\$0 - Deleted as Client	\$0	\$0
17	Electronic 601	4/10/2020	4/20/2020	\$0 - Deleted as Client	\$0	\$0
18	Paper 601	1/11/2020	1/21/2020	\$0 - Added as Client	\$0	\$0
19	Paper 601	1/11/2020	1/21/2020	\$0 - Address Change	\$0	\$0
20	Electronic 601	1/11/2020	1/21/2020	\$0 - Address Change	\$0	\$0
21	Paper 601	5/31/2020	6/9/2020	\$0 - Added as Client	\$0	\$0
22	Electronic 601	5/31/2020	6/9/2020	\$0 - Added as Client	\$0	\$0
23	Paper 601	12/11/2020	12/21/2020	\$0 - Added as Client	\$0	\$0
24	Electronic 601	12/11/2020	12/21/2020	\$0 - Added as Client	\$0	\$0
25	Electronic 601	9/11/2020	9/21/2020	\$0 - Deleted as Client	\$0	\$0
26	Electronic 601	7/11/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
27	Paper 601	10/11/2020	10/21/2020	\$0 - Added as Client	\$0	\$0
28	Electronic 601	10/11/2020	10/21/2020	\$0 - Added as Client	\$0	\$0
29	Electronic 601	7/12/2020	7/22/2020	\$0 - Added as Client	\$0	\$0
30	Paper 602	10/11/2020	10/21/2020	\$0 - Added as Client	\$0	\$0
31	Paper 602	10/11/2020	10/21/2020	\$0 - Added as Client	\$0	\$0
32	Paper 602	5/31/2020	6/9/2020	\$0 - Added as Client	\$0	\$0
33	Electronic 602	5/31/2020	6/9/2020	\$0 - Added as Client	\$0	\$0
34	Electronic 602	5/14/2020	5/24/2020	\$0 - Added as Client	\$0	\$0
35	Electronic 602	4/23/2020	5/3/2020	\$0 - Added as Client	\$0	\$0
36	Paper 602	2/11/2020	2/22/2020	\$0 - Added as Client	\$0	\$0
37	Electronic 602	2/11/2020	2/22/2020	\$0 - Added as Client	\$0	\$0
38	Electronic 602	2/1/2020	2/11/2020	\$0 - Added as Client	\$0	\$0
39	Electronic 602	10/26/2020	11/5/2020	\$0 - Added as Client	\$0	\$0
40	Paper 605	1/1/2020	1/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0

¹ The base penalty is \$200-\$600, plus 1% of all payments received or made for lobbying activity, whichever is greater, per report. The base penalty can vary in \$100 increments based on the extent and the gravity of the public harm caused by the specific violation, the level of experience the respondents have with the Political Reform Act, the level of diligence to come into compliance, and the level of cooperation during investigation and in reaching settlement.

41	Paper 605	4/1/2020	4/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
42	Paper 605	1/1/2020	1/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
43	Paper 605	4/1/2020	4/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
44	Paper 605	7/1/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
45	Paper 605	7/1/2020	7/21/2020	\$0 - Added as Client	\$0	\$0
46	Paper 605	7/1/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
47	Paper 605	4/1/2020	4/21/2020	\$0 - Deleted as Client	\$0	\$0
48	Paper 605	1/1/2020	1/21/2020	\$0 - Address Change	\$0	\$0
49	Paper 605	5/20/2020	6/9/2020	\$0 - Added as Client	\$0	\$0
50	Paper 605	7/11/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
51	Paper 605	12/11/2020	12/21/2020	\$0 - Added as Client	\$0	\$0
52	Paper 605	9/11/2020	9/21/2020	\$0 - Added as Client	\$0	\$0
53	Paper 605	9/11/2020	9/21/2020	\$0 - Deleted as Client	\$0	\$0
54	Paper 605	7/11/2020	7/21/2020	\$0 - Agencies Lobbied Added	\$0	\$0
55	Paper 605	10/11/2020	10/21/2020	\$0 - Added as Client	\$0	\$0
56	Paper 605	7/11/2020	7/21/2020	\$0 - Added as Client	\$0	\$0

TOTAL PENALTY: \$0

Chief discretion was used in this matter.

This matter is eligible for a streamline resolution under the criteria provided in Regulation 18360.2.

Filer is a Lobbying Firm.

DESCRIPTION OF VIOLATION:

Lobbying Late Disclosure – Tier Two

Count	Report	Reporting Period	Due Date	Total amount received or made for lobbying	Base Penalty	Penalty²
1	Paper 601	7/11/2019	7/21/2019	\$0 - Agencies Lobbied Added	\$600	\$600
2	Paper 601	7/11/2019	7/21/2019	\$0 - Agencies Lobbied Added	\$600	\$600
3	Paper 601	7/11/2019	7/21/2019	\$0 - Agencies Lobbied Added	\$600	\$600
4	Paper 602	10/11/2020	10/21/2020	\$0 - Agencies Lobbied Added	\$600	\$600
5	Paper 602	1/11/2020	1/21/2020	\$0 - Added as Client	\$600	\$600
6	Paper 602	1/11/2020	10/21/2020	\$0 - Added as Client	\$600	\$600

TOTAL PENALTY: \$3,600

Chief discretion was used in this matter.

This matter is eligible for a streamline resolution under the criteria provided in Regulation 18360.2.

Filer is a Lobbying Firm.

² The base penalty is \$600-\$1,000, plus 2% of all unreported payments received or made for lobbying activity per report. The base penalty can vary in \$100 increments based on the extent and the gravity of the public harm caused by the specific violation, the level of experience the respondents have with the Political Reform Act, the level of diligence to come into compliance, and the level of cooperation during investigation and in reaching settlement.